

## APPENDIX C SAFEGUARDING

### Context

This report combines adult and children's safeguarding data and analysis and provides an overview of safeguarding activity in Quarter 4 of 2013/14. It aims to highlight good practice and identify areas for development/improvement which will be incorporated into delivery plans for the relevant service areas. The children's data (except for the re-referral information) is shared with partners as required by the Local Safeguarding Children's Board (LSCB) performance scorecard.

### CHILDREN & YOUNG PEOPLE UPDATE

Performance in relation to children continues to be good with targets being sustained in relation to the timely completion of initial and core assessments.

Weekly monitoring and focused action to achieve improvement continues to be effective.

### Early Intervention

There were 30 new Common Assessment Frameworks (CAF's) opened in quarter 4, 15 of which were referred by Social Care, representing 50% of the total number of CAF for the quarter.

Rutland	Q1	Q2	Q3	Q4	Total	Reporting Frequency
Number of new CAF's	12	31	17	30	<b>90</b>	Quarterly
Number/Proportion of Children's Social Care referrals that result in a CAF*	4	10	8	15	<b>37</b>	Quarterly
	6.5%	16.4%	10.8%	34.1%	<b>15%</b>	

\*The proportion of referrals resulting in a CAF is calculated on referrals only, not referrals/contacts

### Contact referral and assessment

- There was a 5% increase in contacts this quarter (179 as opposed to 169 in quarter 3). Of those contacts, the number that went on to referral was 24% (44) of contacts went on to referral compared to 43.7% (74) in quarter 3.
- The timeliness of initial assessments continues to be good at 93% within 10 days.
- The percentage of referrals going on to initial assessment (PI 68) is 87.4% as at the end March 2014. This is another slight increase on Q3 (84.2%) and shows a gradual improvement throughout the year. This improvement is again due to the implementation of a more effective screening process.

- The percentage of initial assessments that were progressed to core assessments was 20% between January and March 2014. This is a marked decrease on the Q3 figure of 40%.
- There were 17 section 47 enquiries. This is a 21% increase from Q3.
- The number of core assessments opened in quarter 4 was 17.

**\* Section 47 Definition**

The local authorities Children's Social Care Services have a statutory duty to carry out a section 47 enquiry in any of the following circumstances:

- Where there is information to indicate that a child has suffered or is likely to suffer significant harm
- Where a child is subject to an emergency protection order
- Where a child is subject to police protection
- Where a child under 10 is in breach of a child curfew order
- Allegations of abuse by adults working with children

	Q1	Q2	Q3	Q4	Total/ Cumulative	Reporting Frequency
Number of contacts to Children's Social Care (include referrals)	185	157	169	179	<b>690</b>	Quarterly
Number of referrals to Children's Social Care	62	61	74	44	<b>241</b>	Quarterly
Number of Referrals including domestic abuse incidents	8	3	1	7	<b>19</b>	Quarterly
Number of referrals made by EDT/Out of Hours Team (including those that were recorded as contacts only)	4	1	11	13	<b>29</b>	Quarterly
Number/Percentage of referrals going onto Initial Assessment	49	53	66	44	<b>212</b>	Quarterly
	79%	87%	89%	100%	<b>85%</b>	
Number/Percentage of Initial Assessment carried out within 10 working days	48	48	62	40	<b>198</b>	Quarterly
	98%	91%	94%	93%	<b>93%</b>	
Number/Percentage of Initial Assessments escalated to Core Assessments	9	14	25	8	<b>56</b>	Quarterly
	18%	26%	40%	20%	<b>26%</b>	
Number/Percentage of Core	44	36	37	17	<b>134</b>	Quarterly

Assessments carried out within 35 working days	97.8%	94.7%	86%	59%	<b>93%</b>	Quarterly
Number of strategy discussion meetings	16	30	14	17	<b>77</b>	
Number of S47 enquiries	12	30	14	17	<b>73</b>	

### Re-referrals

The current re-referral rate has gone up to 15.8%. It is not yet clear what this increase relates to or whether it is a trend. This will be more closely monitored, so that we can take steps to reduce the rate.

#### Re-referrals

	Number	Total	Monthly %	Overall %
April	0/24	0/24	0	0
May	2/17	2/41	12%	4.9%
June	6/21	8/62	28.9%	12.9%
July	0/31	0/93	0	8.5%
August	2/8	10/101	25%	9.8%
September	1/16	11/117	6.3%	8.9%
October	4/26	15/143	15.4%	10.1%
November	9/21	24/164	42.9%	14.1%
December	6/27	30/197	22.2%	15.2%
January	1/10	31/207	10%	15%
February	0/10	31/217	0	14.3%
March	7/24	38/241	29.2%	15.8%

### Child Protection

- There were 34 child protection plans at 31<sup>st</sup> March. This is a 15% increase from Q3.
- The largest category of abuse for CP plans at the end of March 2014 was neglect, which represented 20% of all plans. Followed by emotional at 14%
- Of the children with a CP plan for 3 months or more at 31<sup>st</sup> March 2014, 97.4% had been reviewed within timescales (PI 67).
- Of children with a child protection plan at 31<sup>st</sup> March 2014, the largest age group with 15 children was the 0 to 4 age group (representing 44%), followed by age 10-15, representing 35%. 52% of children with CP plans at the end of March 2014 were male, 41% female and 7% unborn. 85% of children subject to plans were White British.

	Q1	Q2	Q3	Q4	Cumulative	Reporting Frequency
Number of children subject to a CP Plan	23	26	29	34	N/a	Quarterly
<b>Number/Rate in each Category of Abuse</b>						
Neglect	8	7	7	7	N/a	Quarterly
Physical	0	1	3	4	N/a	
Emotional	11	11	1	5	N/a	
Sexual	1	1	6	4	N/a	
Multiple	4	6	12	14	N/a	
<b>Ethnicity - Number in each Category</b>						
White	22	22	24	29	N/a	Quarterly
Mixed	1	1	1	1	N/a	
Asian	0	0	0	0	N/a	
Black	0	2	2	2	N/a	
Other	0	0	2	2	N/a	
Undetermined	0	1	0	0	N/a	
<b>Age of Child on CP Plan</b>						
Unborn	1	0	2	2	N/a	Quarterly
0 - 4	9	15	11	15	N/a	
5 - 9	8	7	5	5	N/a	
10 - 15	5	3	11	12	N/a	
16+	0	1	0	0	N/a	
<b>Gender of Child on CP Plan</b>						
Male	14	14	14	18	N/a	Quarterly
Female	8	12	13	14	N/a	
Unborn	1	0	2	2	N/a	
Percentage of CP cases which were reviewed within required timescales	100%	100%	90%	100%	<b>97%</b>	Quarterly Target - 100%
Number of CP cases allocated to a Social Worker	100%	100%	100%	100%	<b>100%</b>	Target - 100%

### Looked After Children

- The numbers of children looked after have decreased to 34 as at the 31<sup>st</sup> March 2014 from 39 in the last quarter, a 13% decrease. In order for a child to become 'looked after' there is a rigorous scrutiny of the circumstances to ensure that accommodation within the local authority is the only possible option.
- Of the children in care at 31<sup>st</sup> March 2014, 7 (20%) were from minority ethnic groups compared to 5.7% of the Rutland population recorded in the 2011 Census. (This % includes all ethnic groups other than White British)

- The largest age groups of children in care at 31<sup>st</sup> March 2014 were age 0 to 4, 10 to 15 and 16+, with 9 in each age group both representing 26% of the total care population in each age group.
- Of the 34 in care at 31<sup>st</sup> March 2014, none of the children or young people had experienced 3 or more placements (PI 62). This compares to 3.2% reported for 2012/13.
- Of the children in care for at least four weeks at the end of April, all (100%) had received statutory reviews within timescale (PI 66).
- All review child protection conferences continue to be held within timescale as are Looked After Children Reviews. We continue to achieve stability and permanence.

<b>Rutland</b>	<b>Q1</b>	<b>Q2</b>	<b>Q3</b>	<b>Q4</b>	<b>Cumulative</b>	<b>Reporting Frequency</b>
Number of Looked After Children	30	33	39	34		Quarterly
<b>Ethnicity of LAC</b>						
White	25	29	31	27	n/a	Quarterly
Mixed	1	3	2	2	n/a	
Asian	0	0	0	0	n/a	
Black	2	0	4	3	n/a	
Other	2	1	2	2	n/a	
Undetermined	0	0	0	0	n/a	
<b>Age</b>						
0 - 4	7	9	11	9	n/a	Quarterly
5 - 9	8	7	7	7	n/a	
10 - 15	9	10	10	9	n/a	
16+	6	7	11	9	n/a	
<b>Gender</b>						
Male	16	16	16	15	n/a	Quarterly
Female	14	17	23	19	n/a	
Percentage of LAC at period end with 3 or more placements	0%	0%	0%	0%	<b>0%</b>	Quarterly Target - 6%
LAC cases which were reviewed within required timescales	100%	100%	100%	100%	<b>100%</b>	Quarterly Target - 75%
Stability of placements of LAC: length of placement					<b>85.7%</b>	Annual Target - 70%

## ADULTS UPDATE

### Safeguarding Adults Data Collection

32 alerts were received in Quarter 4. 10 of this number resulted in the implementation of the Safeguarding Adults Procedures. Robust application of the threshold criteria has contributed to the reduction in alerts that meet the criteria for safeguarding investigations. There has been a marked reduction in the number of investigations in a community setting. The investigations in residential setting centred on one provider whose care provision has triggered some concern.

Location of alleged abuse	Q1	Q2	Q3	Q4	Total	Rporting Frequency
Community	3	10	15	1	29	Quarterly
Residential	20	18	15	9	62	Quarterly
Unknown	0	0	0	0	0	Quarterly

#### Closed Cases in Quarter 4

Safeguarding Adults performance data is obtained when a case is closed at the end of the Safeguarding Adults process. 12 cases were closed in Quarter 4.

Outcome	Q1	Q2	Q3	Q4
Substantiated	0	5	2	5
Partly Substantiated	5	1	1	3
Not Substantiated	3	5	5	1
Not Determined/Inconclusive	2	0	6	3

Only one case of the total number of closed cases resulted in the allegation being found to be not substantiated. The majority of the rest were either wholly or partially substantiated.

2 cases were closed to Rutland involvement and transferred to another Local Authority.

Primary Client Type	Q1	Q2	Q3	Q4
Phys. Disability / Frailty / Sensory Imp.	8	8	15	9
Mental Health Needs	0	1	0	1
Learning Disability	2	1	1	2
Substance Misuse	0	0	0	0
Not recorded	0	1	0	0
Primary Age Group	Q1	Q2	Q3	Q4
18-64	2	1	1	3
65-74	1	0	1	0
75-84	3	5	5	2
85+	4	5	9	7

Type of Abuse*	Q1	Q2	Q3	Q4
Physical	5	6	6	5
Sexual	1	1	1	0
Emotional / Psychological	1	2	0	1

Financial	2	3	2	1
Neglect	2	6	6	3
Discriminatory	1	1	0	0
Institutional	0	1	1	2
Not Known	0		0	0

\*Cases may include more than one category

A high proportion of the investigations were concerned with people with physical disabilities/sensory impairment and frailty, reflecting the fact that this is the largest client group within Adult services. There is a higher representation of those in need of Adult Safeguarding in the age group 85+. There was one case concerning a person with learning disability and one case within the mental health service user group. Like Quarter 3 figures, neglect and physical abuse remain the most prevalent forms of abuse.

Source of Referral	Q1	Q2	Q3	Q4
Primary Health Care			2	
Secondary Health Care	1		2	1
Adult Mental Health Setting				
Residential		4	3	3
Day Care				
Social Worker/Care Manager	2		2	3
Self Directed Care Staff				
Domiciliary			3	
Other Care Workers		2		
Self				
Family Member	5	1	4	3
Other Service User				
Friend/Neighbour		3		
Care Quality Commission				
Housing				1
Education				
Police				
Other	2	1		1
Not Known				

Protection Plans	Q1	Q2	Q3	Q4
Adult Protection Plans accepted by either the service user or the agencies involved	2	2	1	2
Adult Protection Plans	0	0	1	1

not accepted				
Could not consent	0	1	0	0

Repeat Referrals	Q1	Q2	Q3	Q4
No of Repeat Referrals	2	4	1	4

There were 4 repeat referrals in Quarter 4 concerning 2 users in the same residential unit.

**Activity:**

- Intense support has been provided for a residential provider that was accounting for a high number of safeguarding referrals and regular liaison with the Care Quality Commission and Health has increased the monitoring of the unit in order to safeguard residents.
- Safeguarding training with volunteers from Health Watch has been requested and is in the process of being planned.
- A new Performance Officer for Adults has been appointed and has commenced working and exploring how the service user database can be used to provide more accurate reports and data to support safeguarding performance management.
- Work is ongoing to implement the new Safeguarding Adults Competency Framework across the whole of the authority to build on a consistency of response and develop the confidence of all employees' responsibilities to Safeguarding Adults.
- Rutland County Council has introduced a system of issuing notifications to other Local Authorities and Health about safeguarding concerns for commissioned services in Rutland.
- The Adult Safeguarding Lead is aiming to promote increased liaison and communication with the Contracts and Procurement Team in order to increase joint working where there are quality concerns with Providers.
- Preparations are being made to accommodate the changes to investigations in Leicestershire health settings.