

CABINET

17 February 2015

FINANCIAL MANAGEMENT REPORT 2014/15 QUARTER 3

Report of the Director of Resources

STRATEGIC AIM:	Delivering Council Services within our MTFP		
KEY DECISION	YES	DATE ITEM FIRST APPEARED ON FORWARD PLAN	December 2014

1. PURPOSE OF THE REPORT

- 1.1 To inform Cabinet on how the Council is performing against its revenue and capital budgets and report a forecast year end outturn position as at the 31 December 2014. The detailed reported is presented as Appendix 1.

2. RECOMMENDATIONS

That Cabinet:

- 2.1 **approves the use of £19k from the Invest to Save earmarked reserve to fund redundancy costs following the Adult Learning Restructure and an Education Service medical retirement;**
- 2.2 **notes the proposed transfers to / from earmarked reserves as shown in the table at 1.6 of Appendix 1 (to be actioned at year end);**
- 2.3 **notes the Q3 revenue forecast position as reported at 1.2 (Appendix 1) and the capital forecast position as report at 2.1 (Appendix 1);**
- 2.4 **notes that the Council has received £25k of ring-fenced revenue grant for Helping People Home which will be used to fund a social worker post at Peterborough Hospital (see section 1.7); and**
- 2.5 **recommends to Council that should winter pressures funding be received (see section 1.7) that the Director for People be authorised to use this funding to meet the cost of increased activity. Should the funding not all be required in 2014/15, it is proposed that it is transferred to the Social Care Reserve to fund future activities.**

3. REASONS FOR THE RECOMMENDATIONS

- 3.1 To enable members to maintain sound financial management of the Council's operations.

4. BACKGROUND

- 4.1 The Finance Report in Appendix 1 sets out:

- the latest position on the Revenue and Capital budget;
- an explanation of the significant movements since Quarter 2; and
- an update on other matters including New Homes Bonus, Business Rates and the Sundry debt position.

4.2 The Finance Report includes the following Appendices:

- Appendix 1 Finance and Budget Monitoring Report
- Appendix 2A Peoples Directorate Q3 summary
- Appendix 2B Places Directorate Q3 summary
- Appendix 2C Resources Directorate Q3 summary
- Appendix 3 Budget reconciliation
- Appendix 4 Capital programme Q3 summary
- Appendix 5 Key movements in Directorate forecasts
- Appendix 6 Section 106 agreements
- Appendix 7 Transfers to/from earmarked reserves

5. RISK MANAGEMENT

RISK	IMPACT	COMMENTS
Time	Medium	It is essential that this information is reported to Cabinet on a timely basis.
Viability	Not relevant	
Finance	High	It is vital that Cabinet are advised regularly and in a timely manner of the position in relation to revenue and capital.
Profile	High	The financial position of the Council is high profile at the current time
Equality and Diversity	Low	Initial Equality Impact Assessment (EIA) completed. A full impact assessment is not required.

Background Papers
Revenue Budget 2014/15
Capital programme 2014/15

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A Large Print or Braille Version of this Report is available upon request – Contact 01572 722577.



Quarter 3 Finance and Budget
Monitoring Report
2014 - 15

Date: 30th January 2015

Overview

Delivering Council Services within the Medium Term Financial Plan is a key priority for the Council. This overview and remainder of this report give Members an update on how the Council is financially performing.

Key questions	Status	Ref
<i>Revenue Monitoring (section 1)</i>		
1. Are we on track to achieve overall budget (within a tolerance of 1%)?	Yes, the overall surplus is greater than the revised budget and up by £177k since Q2	1.2
2. Will we achieve our in-year savings target?	Yes but with the exceptions. An update on the status of these savings is given in this report.	1.5
3. Are there new pressures emerging?	Yes, all pressures, where appropriate, have been built into the 2015/16 budget.	-
<i>Capital Monitoring (section 2)</i>		
4. Are we on track to achieve overall budget?	Yes	2.1
5. Are there significant delays on any projects?	No, but the Digital Rutland project has been extended	2.2
6. Are there changes to the approved programme?	Yes, the Rutland Adult Learning Service (RALS) refurbishment project at OEP has been added to capital programme.	2.3
<i>Medium Term Financial Plan (section 3)</i>		
7. Have there been changes to the MTFP?	Yes, the latest MTFP is included in the 2015/16 budget report.	3.1
8. Are we on track to receive our budgeted amount for New Homes Bonus (NHB) for 2016/17?	Yes, good progress is being made against the target.	3.2
9. Are we on target to achieve our estimate on Business Rates retention?	Yes, funding is broadly in line with that anticipated.	3.3
10. Is the cost of the Local Council Tax Scheme (LCTS) within budget?	Yes, the LCTS scheme remains under budget.	3.4
<i>Finance Update (section 4)</i>		
11. Are we recovering our debts?	Yes, the sundry debt level is down from the year end.	4.1

Section 1 – Revenue monitoring

1.1 The Budget – are there any proposed changes to the approved budget?

The approved budget has been updated by £19k in the quarter, subject to Cabinet approval, to reflect the use of the Invest to Save reserve to fund redundancies in the Adult Learning Service and a medical retirement in education services. The approved budgeted surplus of £1,028k has not altered as a result of these changes, as shown below.

		£000	£000
A	Approved Net Operating Expenditure (245/2014)		34,269
1	Staff redundancies and Medical Retirement	19	
B	Revised Net Operating Expenditure		34,288
C	Approved Financing/appropriations		35,297
2	Transfers (to)/from Reserves	19	
D	Revised Financing/appropriations		35,316
E	Approved Budgeted Surplus (A-C)		(1,028)
F	Revised Budgeted Surplus (B-D)		(1,028)

1.2 Overall Position – are we on track to achieve budget?

The table overleaf sets out the Council's overall revenue position as at 31 December 2014. The Council's forecast surplus has increased by £137k in the quarter and is now £309k higher than the current budget. The increased surplus (and hence reduced forecast expenditure) can be attributed to a number of factors:

- The Net Cost of Services shows a decrease in expenditure of £250k more than that reported at Q2, representing a movement in forecast spend of approximately 0.7%. This is discussed in more detail at section 1.3.
- An increase in forecast of Interest receivable of £25k due to better investments rates being received (see section 4.2).
- An increase in non ringfenced grants funding of £42k. This is due mainly to changes to the Education Services Grant as a result of schools not converting to Academies until later than forecast at Q2 and the receipt of additional grant funding for Helping People Home (See Section 1.7).
- There has been significant movement on the transfers to/from earmarked reserves resulting in £226k less being transferred from earmarked reserves compared to Q2. A full list is attached as Appendix 7.
- At Q2, revenue contributions to capital included £45k for the Museum Boiler works. It is now envisaged that this work will not take place until the next financial year and therefore the forecast has been reduced.

Appendix 1

	Approved Budget	Revised Budget	Q1 Forecast Outturn	Q2 Forecast Outturn	Q3 Forecast Outturn	Latest Forecast Year End Variance
	£000	£000	£000	£000	£000	£000
Net Cost of Services	30,716	32,262	31,561	31,841	31,591	(672)
Capital Financing	2,185	2,141	2,141	2,141	2,141	0
Interest Receivable	(115)	(115)	(115)	(115)	(140)	(25)
Net Operating Expenditure	32,786	34,288	33,586	33,867	33,591	(697)
Financing	(31,954)	(32,585)	(32,585)	(32,777)	(32,819)	(234)
Transfers to/(from) reserves	(155)	(891)	(701)	(497)	(271)	620
Revenue contributions to capital	0	51	53	98	53	2
Appropriations	(1,928)	(1,890)	(1,890)	(1,890)	(1,890)	0
(Surplus)/Deficit	(1,251)	(1,028)	(1,538)	(1,200)	(1,337)	(309)
General Fund 1 April 2014	(8,143)	(8,062)	(8,062)	(8,062)	(8,062)	0
General Fund 31 March 2015	(9,393)	(9,090)	(9,600)	(9,262)	(9,339)	(309)

1.3 Directorate spend – what's the latest position at directorate level?

Directorate budgets have been updated in the quarter to reflect the one adjustment in section 1.1 and the reallocation of the pay inflation contingency (an itemised list of all changes is included in Appendix 3).

Portfolio	Approved budget	Revised Budget	Q1 Forecast Outturn	Q2 Forecast Outturn	Q3 Forecast Outturn	Latest Forecast Year End Variance
	£000	£000	£000	£000	£000	£000
People	13,694	14,788	14,201	14,487	14,555	(234)
Places	11,396	12,172	11,981	11,935	11,816	(355)
Resources	5,384	5,264	5,252	5,331	5,220	(44)
Directorate totals	30,474	32,223	31,434	31,753	31,591	(633)
Pay contingency	242	39	127	89	0	(39)
Net cost of services	30,716	32,262	31,561	31,841	31,591	(672)

Appendix 1

The Net Cost of Services has decreased by £250k. Within the net movement in expenditure for each directorate, there are a significant number of minor increases and decreases in expenditure (as shown Appendices 2A-2C) with few major movements. A detailed breakdown of the major increases or decreases in expenditure between Q2 and Q3 is given in Appendix 5.

The overall movement in forecasts between Q2 and Q3 by Directorate is shown below:

Portfolio	Revised Budget	Overs in Forecast		Unders in Forecast		Overall Movement Q2 to Q3
	£'000	£'000	No of budgets	£'000	No of budgets	£'000
People	14,788	387	39	(319)	41	68
Places	12,172	226	36	(345)	34	(119)
Resources	5,264	122	17	(233)	18	(111)
Total	32,223	735		(897)		(162)
Overs = A forecast where net expenditure has increased from Q2						
Unders = A forecast where net expenditure has decreased from Q2						
No of budgets = The number of budgets (cost centres) affected						

1.4 Fees and charges income – are key income budgets on target?

The Council collects a significant amount of income in areas such as car parking etc. The latest position on key income budgets is shown below:

Income Description	Current Budget £000	Q3 Forecast £000	Variance £000
Charging for Residential Accommodation	809	910	101
Total Parking Income	473	473	0
Fairer Charging & Meals on Wheels income	367	296	(71)
Planning Fees	305	385	80
Building Regulations	188	176	(12)
Rents from Business Units and Business Park	180	288	108
Waste management - Sale of Recyclables	130	130	0
Licensing - Premises, Traders, Events etc.	93	76	(17)
Registrars - Births, Marriages etc.	101	110	9

- Residential care charging income can be volatile as it is based on caseload and the assessed package. The forecast is based on the current caseload and estimated weeks in care;
- Fairer Charging Income - The number of service users paying the maximum charge of £364 per week has reduced from 11 in 2012 to 2 in 2014/15 and the number of users being invoiced for their care at home is reducing; and

- Rents from Business Units and Business Park – extra income is forecast from Oakham Enterprise Park as more units are being let.

1.5 Savings – will we achieve budgeted savings?

The approved budget included new savings of £889k which underpinned the budgeted surplus of £1,200k for 2014/15. In quarter 2 it was reported that all savings had been achieved with the exception of the following:

- SEN Transport £50k saving – It had been anticipated that a reduction in transport costs could be achieved during this financial year by reviewing services provided. As a result of the People First, a review of the whole transport service provided by the Council has been commissioned which will include SEN Transport. This review is current underway. The latest forecast is an overspend of £195k. A pressure of £100k is built into the 2015/16 budget.
- Carers Support £30k – at the time of offering the saving in early January 2014, the numbers of carers being supported was reducing. However, since that time there have been a number of additional users so this saving is no longer achievable. Moreover, having assessed the implications of the Care Act, the Council has built into its 2015/16 budget a £60k pressure to meet the demands of carers going forward.
- Legal Services £20k saving – Due to increased activity arising from employment cases and a judicial review (Uppingham), the Council is spending above profile on the legal budget. The forecast has increased from Q2 as the Council has been notified that the Q3 legal time costs will be significantly higher than the previous 2 quarters, partly due to further costs for one particularly high cost employment tribunal. The Director of Resources is reviewing how the legal budget will be managed in future to give the Council greater control.
- Contract savings – A savings target of £100,000 was identified in 2013/14 for Peoples Contracts in 2014/15. Savings of £66,100 have been made resulting in an outstanding balance of £33,900. New targets are built into the PeopleFirst savings targets.

1.6 Earmarked Reserves – how are we using reserves?

The transfers from Earmarked Reserves include transfers specifically to cover service expenditure and also transfers from the Budget Carry Forward Reserve.

Reserve	Balance 01/04/14 £000	Approved (Use)/ Transfer £000	Planned Balance 31/03/15 £000	Forecast (Use)/ Transfer £000	Forecast Balance 31/03/15 £000
Invest to Save	450	(50)	400	(93)	357
Planning Delivery Grant	84	(17)	67	(10)	74
Internal Audit	15		15	(10)	5
Social Care Reserve	631		631	44	675
Public Health Grant	347		347	131	478
Training	50	(50)	0		50
Travel 4 Rutland	33		33		33
Highways	307	(213)	94	(135)	171
NDR	287		287		287
Discretionary Hardship	0		0	75	75
Budget Carry Forwards	1,038	(947)	92	(240)	798
Sub-Total	3,242	(1,277)	1,965	(238)	3,004
Commuted Sums	357		357	(33)	323
S106 Funds*	1,084	(46)	1,588		1,084
Earmarked Reserves Total	4,682	(1,323)	3,360	(271)	4,411

* The Section 106 balance represents the 2014/15 brought forward position only. The actual balance held is shown at 2.5.

The actual net transfer from reserves of £271k at Quarter 3 is lower than that proposed at Q2 because of the adjustment in transfers as shown in Appendix 7.

1.7 Looking ahead – are there any emerging pressures or issues?

As part of the budget preparation for 2015/16, the Council undertook a full review of risks and pressures. A list of risks was included in the 2015/16 budget report. A list of pressures was also submitted and included within the budget. There are no new pressures emerging from Quarter 3.

There are a number of other matters to note including:

Troubled Families Programme

On 3 February 2015, the Council was notified that it was now eligible (subject to verification of current scheme performance) for participation in the new expanded programme which commences from April 2015. The new programme is intended to be for a period of 5 years and nationally aims to reach an additional 400,000 families over that 5 year period. The Council's indicative allocation of families is 100.

When the Council participates in the expanded programme, it will receive grant funding in the form of a Service Transformation Grant (STG) of £60k and up to £1.8k per family supported. Currently, under the old scheme, the Council receives Troubled Families Coordination grant of £30k and up to £4k per family supported.

This new grant has not yet been reflected in the Budget for 2015/16 but would be matched with expenditure and will have no impact on the overall position.

Helping People Home (revenue) grant.

The Council has received from the Department of Health funding of £25k for the Helping People Home (revenue) Grant. This is grant funding received in 2014/15 and needs to be spent by 31st March 2015. The Director for People has identified that this funding can be used to support the Delayed Transfer of Care (DTC) programme at Peterborough Hospital and University Hospital Leicester.

To retain funding given, the Council has to complete a proforma setting out the benefits achieved from using the funding.

Health Winter Pressures Funding.

The Council is aware that it is likely to receive winter pressures funding of £120k from the Clinical Commissioning Groups. The Director for People is currently reviewing plans of how this funding can be spent in supporting the Non Weight Bearing Pathway and the use of a step down bed to aid in the DTC programme for a wider category of people. It is proposed that this funding, if not spent, should be carried forward to 2015/16 to continue to support increased activity.

Section 2 - Capital Monitoring

2.1 Overall position – are we on track to achieve our approved capital budget?

The following table sets out the position against the Capital Programme as at 31 December 2014, including total approved project budget, forecasted expenditure to the end of the project and variances against budget.

Portfolio	Total Project Budget £000	Expenditure (Prior Years) £000	Budget 2014/15 £000	Estimated Outturn 2014/15 £000	Variance 2014/15 £000	Expenditure 2015/16-2016/17 £000	Total Project Expenditure £000	Total Project Variance £000
Approved Projects								
People	822	404	418	361	57	0	765	57
Places	43,037	35,462	7,497	7,493	4	647	43,602	(565)
Resources	0	0	0	0	0	0	0	0
Total Approved	43,859	35,866	7,915	7,854	61	647	44,367	(508)
Financed by:								
Grant	(28,540)	(23,982)	(4,977)	(4,971)	(6)	0	(28,953)	413
Prudential Borrowing	(8,156)	(5,663)	(2,206)	(2,206)	0	(287)	(8,156)	0
Capital Receipts	(4,999)	(4,971)	(28)	(28)	0	0	(4,999)	0
Revenue Contribution to Capital Outlay	(307)	(76)	(51)	(53)	2	(180)	(309)	2
S106	(387)	0	(537)	(480)	(57)	0	(480)	93
Contributions	(1,470)	(1,174)	(116)	(116)	0	(180)	(1,470)	0
Total Financing	(43,859)	(35,866)	(7,915)	(7,854)	(61)	(647)	(44,367)	508

2.2 Project progress – Are there delays in key projects?

There are no expected delays on the 2014/15 capital programme (see Appendix 4 for detailed comments on specific schemes).

2.3 Approved programme – Are there changes to the approved programme?

The approved capital programme for 14/15 was £7,765k as per the Quarter 2 Finance Report (245/2014). The table in 2.1 shows that the programme for 14/15 has increased during the quarter by £150k to £7,915k. This increase is down to additional funding from S106 to contribute to the refurbishment of the unit at OEP being used by the Rutland Adult Learning Service (RALS).

2.4 Unapproved projects – what are we planning?

Currently the Council is holding capital funds that have not yet been allocated to a project. A breakdown of the funds held is shown in the table below.

Portfolio	Funding Held	Amount at 31/03/2014 £000	Grant Received 2014/15 £000	Allocated 2014/15 £000	Amount £000
People	ASC Unallocated Grant	493	74	0	567
People	Schools Targeted Capital	160	0	0	160
People	Basic Need Unallocated	1,494	103	0	1,597
People	Capital Maintenance	932	281	(471)	742
People Total					3,066
Places	Highways Grant	1,103	1,792	(2,868)	27
Places Total					27
Total Capital Funding Available					3,093

The Schools Targeted Capital, Basic Need and Capital Maintenance are monitored by the Capital Allocations Project Board.

2.5 S106 – What are we holding?

The table overleaf shows the total amount currently being held in relation to Section 106 agreements and also commuted sums.

	S106 £000	Hooby Lane Bond £000	Total £000	Commuted Sums £000	Total Including Commuted Sums £000
Balance at 1 April	990	94	1,084	357	1,441
Received in year to Dec	513	0	513	0	513
Total allocated to Monitoring 2014/15	(9)	0	(9)	0	(9)
Balance amount held at 31/03/2015	1,494	94	1,588	357	1,945

2.6 S106 – How much is available for future allocation?

The S106 monitoring document has been reviewed to ensure elapsed planning consents have been removed and for changes in planning legislation (s106 will no longer be due on developments of 10 dwellings or less). A summary position is shown below with a detailed breakdown in Appendix 6.

Category	S106 due pre changes £000	S106 - Dwellings of 10 or less £000	S106 After Applying New Criteria £000
Recreation, Sport and Leisure	2,044	144	1,900
Children and Young People's Service	2,473	135	2,338
Fire and Rescue Service	73	0	73
Police, Crime and Disorder	830	2	828
Affordable Homes	306	180	126
Adult Social Services	425	0	425
Library Service	290	8	282
Museum & Archive Service	63	2	61
Highways & Transportation	1,744	3	1,741
Health Service	641	16	625
Civic Waste Amenity & Recycling	417	11	406
Miscellaneous	207	0	207
Town Centre	705	0	705
Community Buildings	1,338	0	1,338
Youth Services	186	0	186
Monitoring Fees	149	0	149
TOTAL	11,891	501	11,390

Section 3 - Medium Term Financial Plan (MTFP)

3.1 Overview – have there been changes since the budget?

The MTFP has been updated as part of the budget setting process and is included within the budget papers (Report 14/2015). There are no further changes to report.

3.2 New Homes Bonus (NHB) – will we achieve our target?

The NHB is a scheme aimed at encouraging local authorities to grant planning permission for the building of new houses, in return for additional revenue. It is based on the net increase in the number of dwellings (additions less demolitions), with extra bonus for affordable homes, empty homes brought back into use and local authority owned and managed gypsy site pitches. Each additional property attracts a grant equivalent to the national average council tax for that Band (approx. £1,450 for a Band D property per year for 6 years, a total of £8,700). An additional £350 is received for each affordable home.

The NHB allocation for 2015/16 is based on performance achieved between October 2013 and September 2014. The Council originally included an amount of £237,300 in the MTFP but exceeded that due to developments at Barleythorpe and Catmose.

The target for October 2014 to September 2015 is 180 which equates to £285,300 in monetary terms. Performance to date is as follows:

New Homes Bonus (Council Tax Band)	Start position CTB1 Oct 2014	Actual 31 Dec 2014	Movement from base
A	1,569	1,584	15
B	4,372	4,400	28
C	2,908	2,931	23
D	2,375	2,388	13
E	2,201	2,207	6
F	1,555	1,564	9
G	1,243	1,244	1
H	145	146	1
Properties	16,368	16,464	96
Empty Homes	157	139	18
Movement			114
Target			180
% achieved			63%

The spread of the properties completed to date would provide the Council with £156,095 worth of funding through New Homes Bonus or 55% of the budgeted amount.

The position will continue to be closely monitored in conjunction with housing trajectory figures.

3.3 Retained Business Rates (RBR) Monitoring – is our RBR retention forecast realistic?

In 2013/14, the local government finance regime was revised with the introduction of the RBR scheme. The scheme allows the Council to retain a proportion of the total RBR received. RCC share is 49% with the remainder paid to other bodies within the scheme. For the Council the other bodies within the scheme are Central Government (50% share) and The Leicestershire Fire Authority (1% share).

The income RCC receives through RBR is determined on the performance of the Collection Fund¹. The performance of the collection fund is estimated in the January before the Financial Year starts in the April. This estimation is what the Collection Fund will pay to the bodies within the fund for the next financial year; fluctuations in performance will not impact during the financial year. The January estimate is split into three areas

1. The expected income in the next financial year.
2. The expected performance in the current financial year.
3. The difference in performance between estimated and actual performance for the preceding financial year.

The only impact the performance of the collection will have on 2014/15 is that any additional growth in the collection fund will be levied and is payable in the financial year the growth is made. The table below shows the current forecast against the current MTFP position and the levy payable. The table shows that the Council is on course to be liable for a levy of £67k which will be payable in 2014/15. The increase in levy results from the Council generating more pre-levy income than originally anticipated due to general growth and fewer appeals.

	Business Rates Retention	MTFP £000	Q3 Forecast £000
	Net yield	9,966	10,033
	Government share (50%)	4,983	5,017
	Fire Authority share (1%)	100	100
	RCC share of Retained Rates (49%)	4,883	4,916
	(Less Tariff)	(775)	(775)
	Section 31 Grants (compensation for loss of rates)	-	234
A	RCC RBR – Tariff plus S31	4,108	4,375
B	RCC Funding Baseline	3,967	3,967
C	Levy Rate	16.3%	16.3%
	(Less Levy) (A-B)*C	(23)	(67)
	Net RCC Retained Business Rates	4,085	4,308

¹ Section 89 of the [Local Government Finance Act 1988](#) required every English billing authority to establish a collection fund by 1 April 1990. Sections 90(1)(e), (2)(e), 98(4) and (5) as amended by Part III, Schedule 10 of the [Local Government Finance Act 1992](#), give the Secretary of State power to issue specifications and directions detailing the timing and nature of payments into and out of the fund.

In the MTFP the retained rates figure of £4,308k is split between s31 grant (£234k) and business rates £4,074k.

3.4 Council Tax and Council Tax Benefit – are we on budget?

Council Tax represents 60% of the total income the Council receives, and even slight fluctuations can have a significant impact on RCC's general fund balance. For that reason the position on Council Tax is monitored closely. There are a variety of movements that can affect the Council Tax Collection Fund Balance, including additional Council Tax Support claims; fluctuation's in the tax base; and write offs.

The table below shows the expected outturn on council tax taking into account known changes.

Area	Annual Billing £000	Q3 Forecast £000
Annual Debit	25,878	25,878
Adjustments to Annual Debit	-	(40)
Council Tax Support	(1,491)	(1,422)
Gross Income from Council Tax	24,387	24,416
Total Demands and Precepts	(24,386)	(24,386)
Bad Debt Provision and Write Offs	(30)	(30)
Total expenditure	(24,416)	(24,416)
Estimate surplus/(deficit) for 14/15	(29)	0
Actual Surplus/(Deficit) Brought Forward	575	575
Estimated Surplus/(Deficit) at 31 March 2014	(29)	0
Distribution of Prior Year Surplus	(505)	(574)
Estimated Surplus/(Deficit) 31/03/2015	41	1
RCC share	35	1

The performance of the Collection Fund has remained in line with the expectations in the MTFP. The Council put £100k into a Discretionary Hardship Fund as per 2013/14. The latest position is shown below. The number of awards has risen from previous years and awards granted have been made for longer periods of time based on individual circumstances of those applying. This is an increasing trend and is mirrored in neighbouring funds.

Hardship Fund	2013/14 Outturn	Actual @Q3
Number of applications	197	166
Number awarded	129	134
Number of appeals (won)	0	1
Value of awards (£000)	15	26
Budget remaining (£000)	85	74

3.5 Other – are there any issues on the horizon?

There are no other matters to report at this time.

Section 4 - Financial performance

4.1 Debtors – are we recovering our debts?

The Council's aged debt position shows a slight increase in debts outstanding from the previous quarter, with particular reference to the 31-60 day range. This is attributable to an invoice raised to NHS England for £622k for the Better Care Fund 2014/15. The increase in the >91 days is related to PCT invoices of £111k. Both of these debts are being actively pursued.

Aged debt	@31/3/2014 £000	@30/09/2014 £000	@31/12/2014 £000
0-30 days	1,988	792	615
31-60 days	48	194	716
61-90 days	11	21	49
> 91days	250	298	390
Deferred Payments	97	169	191
Total	2,394	1,474	1,961
By Directorate			
People	1,618	1,121	1,673
Places	740	312	224
Resources	36	41	64
Total	2,394	1,474	1,961
By Recovery Rating			
Red	11	66	14
Amber	202	232	417
Green	2,181	1,175	1,530
Total	2,394	1,473	1,961

The Council continues to work through some of its old debt and has put forward the £14k currently in the Red status for write off. The downward movement in the Red Status is down to an Adult Social Care Capital Debt moving forward and now being classed as recoverable as further avenues for collection have been identified.

4.2 Investment Income – is our return on investments as expected?

In the third quarter, the Council's average interest rate received on investments has been 0.58% on an average investment balance of £26,359k.

The rate achieved is slightly above the 3 month British pound sterling (GBP) LIBOR interest rate - the average interest rate at which a selection of banks in London are prepared to lend to one another in British pounds with a maturity of 3 months – of 0.56%. The policy change to invest longer term is now fully implemented, and the average interest rate of 0.58% is nearing the maximum the Council would expect to achieve in the current financial climate.

The budgeted interest for 2014/15 was £115k, with the change in policy we are currently forecasting investment income at being £140k.

The table below shows the current investments held.

Investment Number	Amount Invested	Interest Rate	Date Invested	Maturity Date	Number of Days
Banks - UK					
1	1,000,000	0.70%	29-Jul-14	29-Jan-15	184
2	1,000,000	0.70%	29-Jul-14	29-Jan-15	184
3	1,000,000	0.60%	14-Oct-14	14-Apr-15	182
Banks - Overseas					
4	1,000,000	0.55%	12-Nov-14	10-Feb-15	90
Building Societies					
5	1,000,000	0.63%	29-Jul-14	29-Jan-15	184
6	1,000,000	0.64%	09-Sep-14	10-Mar-15	182
7	1,000,000	0.61%	09-Sep-14	10-Mar-15	182
8	1,000,000	0.50%	03-Nov-14	03-Mar-15	120
9	1,000,000	0.66%	11-Nov-14	12-May-15	182
10	1,000,000	0.68%	28-Nov-14	26-May-15	179
11	1,000,000	0.50%	02-Dec-14	03-Mar-15	91
12	1,000,000	0.72%	23-Dec-14	23-Jun-15	182
13	1,000,000	0.41%	14-Jan-15	17-Feb-15	34
14	1,000,000	0.67%	15-Jan-15	14-Jul-15	180
15	1,000,000	0.70%	20-Jan-15	21-Jul-15	182
Local Authorities					
16	2,000,000	0.70%	30-Sep-14	30-Jun-15	273
17	3,000,000	0.50%	28-Nov-14	27-Feb-15	91
Money Market Funds					
18	20,787	0.36%	Instant Access		
19	4,494,469	0.42%	Instant Access		
20	6,000	0.38%	Instant Access		
Total	24,521,256				

**Peoples Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
5000	Primary Schools	445,900	439,700	439,700	439,700	0	
3901	In year budget reductions People	(33,900)	(33,900)	0	0	33,900	2014/15 balance of contract saving yet to be identified.
4107	ASCHH IT Capital Charges	3,800	3,800	3,800	3,800	0	
5322	Pensions	51,000	60,200	59,300	69,500	9,300	
5324	People Directorate Senior Management	792,100	880,200	1,001,000	1,001,200	121,000	There have been delays in recruitment to some Heads of Service positions in the new structure resulting in continued and increased usage of agency
5401	Education Redundancies	0	0	10,000	10,000	10,000	Cost of contribution to Compromise Agreement for a school in financial difficulty. Under the new funding formula for schools, the Council is no longer allowed to charge education redundancies to the Dedicated Schools Grant (DSG).
4560	Joint Arrangements	100,400	100,400	100,400	100,400	0	
5398	Recharges to Dedicated Schools	(197,200)	(197,200)	(197,200)	(197,200)	0	
	Strategic Director People	1,162,100	1,253,200	1,417,000	1,427,400	174,200	
4492	BCF: 2013/14 Projects and staffing	319,800	200,800	182,100	197,100	(3,700)	Extention of social worker at Peterborough hospital until the end of financial year, Post was due to end in July. Post agreed to be funded by Better Care Fund in 2015/16
5609	BCF: Joint Integrated Care	38,900	38,900	33,400	23,900	(15,000)	
4498	BCF: Dementia Service	0	50,000	50,000	50,000	0	
4499	BCF: NHS Numbers	0	63,000	63,000	63,000	0	
4502	BCF: Community Agents	0	0	23,600	14,200	14,200	Bridging Funding agreed for the Rural Community Council for the 1st Feb 2015
4500	Transformation Programme Team	0	329,600	249,000	277,700	(51,900)	Increase in expenditure since Q2 as a result of increased agency staff costs on Ofsted Inspection Readiness
4501	Care Bill (ASC New Burdens)	0	156,400	159,700	153,000	(3,400)	
	Better care Fund/Care Bill/ Transformation Programme	358,700	838,700	760,800	778,900	(59,800)	
4119	Healthwatch Rutland	60,400	60,400	68,600	68,600	8,200	

**Peoples Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
4202	Children and Adolescent Mental Health Services	10,400	10,400	7,900	7,900	(2,500)	
4670	Voluntary Sector Grants	267,700	267,700	260,900	260,900	(6,800)	
5847	LSP Support	28,800	0			0	
	Senior Manager - Health & Wellbeing	367,300	338,500	337,400	337,400	(1,100)	
4570	Public Health Department	(913,600)	(913,600)	(904,500)	(909,900)	3,700	
4571	Sexual Health	282,900	282,900	238,500	226,600	(56,300)	Additional saving on Integrated Sexual Health Services for Q4 (first quarter of activity only) and also prescribing costs to the Clinical Commissioning Groups(CCG)
4572	NHS Health Check Programme	52,700	52,700	40,000	35,000	(17,700)	Additional savings projected on Community Based Service (CBS) contract
4573	Public Health Advice	14,900	14,900	13,800	13,800	(1,100)	
4574	Obesity programmes	5,600	5,600	4,900	4,900	(700)	
4575	Physical Activity	43,800	43,800	43,800	43,800	0	
4576	Substance Misuse	226,900	226,900	209,800	209,600	(17,300)	Further reductions for prescribing costs to the CCG and additional savings on Community Based Services contract (CBS).
4577	Smoking and Tobacco	111,300	111,300	84,100	77,600	(33,700)	Payment By Results targets in the Leicestershire Partnership Trust (LPT) Contract will not been
4578	Childrens Public Health 5-19	166,200	166,200	139,100	139,100	(27,100)	
4579	Other Public Health Services	9,300	9,300	29,200	29,200	19,900	
	Public Health	0	0	(101,300)	(130,300)	(130,300)	
5603	SEN & Disabilities Management	52,300	128,100	122,000	114,100	(14,000)	A Project Officer post funded from the Special Educational Needs and Disabilities (SEND) Reform Grant became vacant and was replaced by a Support Officer role thereby resulting in reduced spend against this budget. This grant funding is expected to cease in 16/17.
5604	Community Support - Learning Disability & Mental Health	48,500	49,300	49,100	49,600	300	
	Head of Service Inclusion	100,800	177,400	171,100	163,700	(13,700)	

**Peoples Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
5600	Comenius Regio Grant	0	0	0	0	0	
5605	Team Manager Learning and Skills	43,500	53,800	43,900	43,900	(9,900)	This is a staff vacancy saving
5533	N Luffenham DCB	0	0	0	(1,000)	(1,000)	
5535	N Luff Pre School	0	0	0	5,100	5,100	
	Head of Service Learning and Skills	43,500	53,800	43,900	48,000	(5,800)	
5402	MOD Community Covenant	0	0	0	0	0	
5601	0-11 Early Intervention, CAF & Changing Lives Management	48,500	49,300	24,300	30,800	(18,500)	Team Manager Vacancy, not recruited to and can be taken as one-off savings for 2014-15
5602	11-19 Early Intervention Management	47,900	48,600	48,400	51,700	3,100	Adverse variance is additional costs of Interim, to cover vacancy until the end of March 2015.
	Head of Service Stronger Communities	96,400	97,900	72,700	82,500	(15,400)	
4205	External assessments	10,600	20,600	13,100	20,600	0	This budget relates to specialist assessments and additional services commissioned as part of the court process. There have been 20 children in Public Law Outline (PLO) with 8 still in proceedings. There are also costs of a stage 2 complaint and SARS (Subject Access to Records) costs from this budget..
5366	Children's Workforce Development	0	20,000	10,500	5,500	(14,500)	Training originally planned for Signs of Safety (SOS) will now not take place until 2015/16
5610	Fostering and Adoption Management	48,000	48,700	61,800	64,200	15,500	Costs of Interim have exceeded the available budget. Interim to cover Team Manager Vacancy.
5611	Long Term Childrens Social Care Management	48,600	49,400	49,600	50,300	900	
5612	Children and Adults Duty Social Care Management	41,600	41,900	41,900	43,400	1,500	
	Head of Service Vulnerable Children & Customer Care	148,800	180,600	176,900	184,000	3,400	

**Peoples Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
4553	Fairer Charging & Meals On Wheels Income	(367,100)	(367,100)	(311,300)	(295,700)	71,400	The number of service users paying the maximum charge of £364 per week has reduced from 11 in 2012 to 2 in 2014/15. The number of service users being invoiced for their care at home is on a downward trend from the beginning of 2014/15. There has been an increase in the number of service users who are assessed as nil charge.
5607	Supporting Independence	48,700	49,500	49,500	54,200	4,700	Cost of independent investigator
5608	Adult Social Care Management	49,300	50,100	71,600	83,300	33,200	Cost of Interim Team Manager to cover vacant post. Post now advertised.
	Head of Service Vulnerable	(269,100)	(267,500)	(190,200)	(158,200)	109,300	
4208	Aiming High	187,800	198,600	198,000	197,400	(1,200)	Supporting 225 Young People (YP) with disabilities, 47 receiving high level 1 to 1 provision.
5240	Changing Lives	0	40,000	39,800	39,200	(800)	
5291	Play for All	4,300	4,300	4,300	4,300	0	
5371	Children's Centres - Revenue	353,800	357,500	356,100	343,400	(14,100)	Underspend as a result of a project not going ahead this financial year. Centres aiming to support 1610 families with children under 5, currently at 91% reach.
5383	Family Information Services	4,000	0	0	0	0	
5384	Common Assessment Framework	0	0	0	0	0	
	0-11 Early Intervention, CAF & Changing Lives	549,900	600,400	598,200	584,300	(16,100)	
4709	Floating Support - Housing	0	50,000	50,000	51,300	1,300	
4713	Youth Housing	93,400	115,000	115,000	80,200	(34,800)	Variance due to project not going live and utility costs estimated at significantly lower level.

**Peoples Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
5268	Early Intervention Team (2) Staffing	471,800	308,600	281,800	278,700	(29,900)	Underspend as a result of vacancies during Youth Service restructure and peoplefirst review. 10k no longer allocated to Youth Service provision scoping exercise which is now being undertaken in house. Service engaged 606 young people YTD (Year to Date).
5272	Activity Budget	15,000	15,000	14,900	15,000	0	
5280	Rutland Youth Council	6,100	6,100	6,100	6,000	(100)	
5281	Youth Options	13,400	13,400	13,400	13,400	0	
5389	Teenage Sexual Health	4,200	4,200	0	4,200	0	
	11-19 Early Intervention, Community Safety & Housing	603,900	512,300	481,200	448,800	(63,500)	
4207	Disabled Children	83,800	83,800	150,200	186,700	102,900	Due to an educational placement being unable to continue to safely meet need we have commissioned a specialist residential placement for a young person with complex needs which has increased the spend against this budget by £80k. There have also been increases in some respite requirements in this financial year. There is currently 1 residential care placement, 13 respite packages and 17 Direct Payment packages made from this budget.
4260	Learning Disability	1,329,000	1,329,000	1,322,200	1,331,700	2,700	Since Q2, there has been a change to Continuing Health Care funding for one service user. A new user has moved into the area requiring a home care package. There are currently 65 cases held by the team. Of these, 6 people receive residential care in county and 11 out of county. 16 people receive commissioned domiciliary care including those in our "in house" supported living tenancies. Direct payments are used by 7 people.
4260	Learning Disability (income from Health backdated)	0	0	(225,400)	(225,400)	(225,400)	Income from Health Backdated

**Peoples Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
4262	SEN & Disabilities Contracts (inc DOLS)	130,600	130,600	157,400	164,000	33,400	This budget is forecast to overspend due to the increased activity required for Deprivation of Liberty authorisations following a Supreme Court judgement in March. This is a nationwide issue. Rutland referral figures for 13/14 totalled 26. To date in 14/15 there have been 121 referrals.
4263	SEN & Disabilities Staffing	225,900	229,700	239,800	248,600	18,900	Agency Staff required to cover vacancy.
4265	SEN Operations	291,300	296,100	292,700	294,600	(1,500)	
4273	Other Vulnerable Adults	0	0	0		0	
4490	Mental Health	188,100	188,100	140,000	158,100	(30,000)	There has been an increase in spend against this budget since Q2 due to a high cost residential placement being required. There are currently 33 cases held by the team. Of these, 3 people receive residential care out of county, 1 person has a domiciliary care package and 6 people are in receipt of direct payments.
5352	Early Senco (0-3yrs support)	12,400	12,400	12,500	12,500	100	
5377	SEN Transport	375,800	375,800	588,700	570,500	194,700	There has been a reduction in anticipated spend since Q2 due to a reduction in contracts and an increase in the use of parental mileage instead of commissioned transport.
5431	Transition	5,000	5,000	5,000	3,700	(1,300)	
	SEN & Disabilities	2,641,900	2,650,500	2,683,100	2,745,000	94,500	
4442	Management of Community Support Services	366,400	372,700	351,200	345,500	(27,200)	There are currently 11 people residing at the Supported Living scheme and one vacancy. This underspend is due to an individual now being in receipt of Health funding. This income has been forecast for the remainder of the financial year.
4460	Day Opportunities Services	274,200	280,900	255,500	256,700	(24,200)	There are currently 36 people supported by the day opportunities services. This underspend is due to health funding being received for 2 service users. Additionally, a senior post has been vacant which is now filled.

**Peoples Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget	Revised Budget	Q2 Forecast	Q3 Forecast	Variance	Comments
		£	£	£	£	£	
4470	Inclusion Development	52,800	53,700	49,000	51,300	(2,400)	
4471	Inclusion Development (Café)	0	0	0	0	0	
4480	Advocacy Contract	8,200	8,200	8,200	8,200	0	
	Community Support - Learning Disability & Mental Health	701,600	715,500	663,900	661,700	(53,800)	
5241	Childminder Start Up Grant	0	0	100	0	0	
5242	Personal Educational Allowance for LAC	15,300	15,300	15,300	10,300	(5,000)	Due to implementation of new pupil premium contingency budget has been reduced
5247	16-18 Bursary Fund	0	0	0	0	0	
	Rutland Adult Learning Service	(15,000)	0	0	(200)	(200)	
5285	Post 16 Transition	0	0	0	0	0	
5295	Secondary School Officer	0	32,200	32,200	20,000	(12,200)	The cost of interim support arrangements will provide an underspend in 2014/15. However the full funding for this permanent post will be required from April 2015.
5297	Rural Fund	45,200	45,200	45,200	45,200	0	
5325	Governor Training	3,200	3,200	3,200	3,100	(100)	
5336	Primary Officer	11,200	41,400	48,200	40,300	(1,100)	
5360	School Improvement Consultancy	29,000	29,000	28,600	30,600	1,600	
5385	School Leadership	0	0	0	0	0	
5395	Early Years Training	47,600	47,600	47,700	43,200	(4,400)	
	Early Years and Learning	136,500	213,900	220,500	192,500	(21,400)	
4421	OT's, Aids & Equipment	181,500	181,500	170,300	180,500	(1,000)	Demand has come back into line with budget.
4422	Blue Badge Scheme	6,600	6,800	5,300	3,000	(3,800)	Underspend due to slight increase in income and short term staffing vacancy.

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General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
4551	Home Care Service (In House)	637,400	646,200	609,500	569,400	(76,800)	Variance due to staff vacancies. Recent recruitment partially successful. Further recruitment underway but this will not impact on the underspend in 2014/15. The Budget covers 24.6 FTE staff including Registered Manager, Assistant Managers, Co-ordinators, Reablement Support Workers, Occupational Therapists, Review Officer and Admin.
5855	Supporting Independence Staffing	217,800	221,400	218,700	248,900	27,500	Adverse Variance due to Agency staff costs as a result of staff vacancies including Blue Badge assessor, Occupational Therapists and Admin Support. Most posts now filled with permanent staff although due to the significant number of new staff, a hand over period was required. The budget covers 6.2 FTE staff including Senior Practitioner, Occupational Therapists (OT's), OT Assistants, Blue Badge Assessor and Admin.
	Supporting Independence	1,043,300	1,055,900	1,003,800	1,001,800	(54,100)	
4103	Purchasing Transport Budget	60,400	60,400	61,100	34,500	(25,900)	Clawback of transport costs for Care Commissioning Group funded clients.
4108	Carer Support	163,400	163,400	185,000	193,400	30,000	Additional service users. More support is being targeted specifically to carers.
4258	Adult Social Care Contracts	300,900	300,900	258,700	234,700	(66,200)	Transfer of the contract for the Community Alarm and reduction of Age UK day service to two days a week from 1st October 2014 has created savings. 4 contracts remain in this costs centre- Vista £24.4k, Spire £128.6k, Age UK £13.3k (Community Support), Leicestershire County Council £10k (Deaf and Hard of Hearing service).

**Peoples Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
4259	Older People	2,444,500	2,571,100	2,402,200	2,454,300	(116,800)	There has been further spend on domiciliary care and residential care in line with seasonal pressures. The Direct Payments budget remains significantly underspent due to a long period without a DP Support Worker in post. Permanent admissions and discharges to residential care until the end of Q3: Independent Sector 37 admissions/38 discharges and Rutland Care Village 10 admissions and 10 discharges.
4370	Physical Disability	507,000	507,000	539,800	542,300	35,300	There are now 6 very high cost packages of homecare included in the forecast all of which have a degree of CCG funding. Service users needs continuously monitored in order to apply for increase in CCG funding. There are a total of 20 service users who receive services.
4552	Meals Service	45,700	45,700	28,300	26,100	(19,600)	In line with the recommendations in the People First Review all meals on wheels users have been reviewed and a range of alternatives offered which meet service user needs.
5854	Adult Property Cases	0	0	0	0	0	
5856	Adult Social Care Staffing	415,900	422,900	481,800	464,700	41,800	2 vacant social worker posts being covered by agency staff plus admin support extended to 31/3/15. Additional staff costs to support hospital discharges are now appropriately shown against the Better Care Fund cost centre. Recruitment is now taking place to fill permanent vacancies. The budget covers 12 FTE staff including Senior Practitioner, Social Workers, Direct Payments Support Worker, Carers Support Worker and Admin.
	Adult Social Care	3,937,800	4,071,400	3,956,900	3,950,000	(121,400)	

**Peoples Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
4270	Safeguarding QA	51,200	51,600	37,100	34,200	(17,400)	The support costs associated with the delivery of the safeguarding/quality assurance element of this service have not been required while the post holder is placed in the duty team.
	Performance	51,200	51,600	37,100	34,200	(17,400)	
4211	Placements	712,600	712,600	722,300	748,900	36,300	There has been a reduction of income due to Leicestershire no longer paying for a child placed with Rutland foster carers who is now adopted and an increase in expenditure as a result of one young person being accommodated. The forecast also includes a commitment for four connected persons placements as well as an additional Special Guardianship Order support package. If these 5 placements do not go ahead, then the overspend could reduce to £11k.
4213	Adoption	82,200	249,700	168,700	177,500	(72,200)	Underspend for Two year fixed term post funded from Adoption Reform Grant started in January 2015 - balance to be carried forward to earmarked reserves.
4221	Family Group Meetings		18,000	11,000	8,600	(9,400)	
4225	Family Support Operations	173,700	158,400	148,300	149,100	(9,300)	
5296	Intensive Family Support	170,700	173,200	167,000	158,400	(14,800)	7k Improving Access to Psychological Therapies (IAPT) Grant in this financial year it is likely to be carried forward for work in 2015-16
	Fostering and Adoption	1,139,200	1,311,900	1,217,300	1,242,500	(69,400)	
4201	Care Leavers Budget	60,000	75,100	91,300	89,100	14,000	Adverse Variance due to 2 care leavers costs.1 High needs placement and another ineligible to claim benefits. Additional Staying Put costs for Unaccompanied Asylum Seeking Children (UASC) has increased the forecast slightly. There are currently 26 Care leavers within Rutland.

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Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
4210	Looked After Children	64,600	43,500	38,400	52,800	9,300	Increased Variance from Q2 to Q3 is due to additional Public Law Outline costs with 6 sets of care proceedings which all resulted in adoption or Special Guardianship Order and not children becoming LAC. Plus commitment for possible provision of Therapy for LAC child's Parent.
4215	Children's Social Care Staffing	365,300	408,400	392,600	404,900	(3,500)	Adverse Variance due to agency salary ended in September
4220	Family Support Services	14,600	14,600	13,700	19,400	4,800	Steadily increasing due to women's refuge expenses and additional children requiring support.
4252	UASC Over 16	0	0	20,600	29,500	29,500	One high cost UASC (Unaccompanied Asylum Seeking Child) has moved into Staying put. However additional costs of setting up 2 UASC's as Care leavers has offset any savings.
	Long Term Children's Social Care Team	504,500	541,600	556,600	595,700	54,100	
5719	Duty Desk for Referrals	248,300	216,100	211,700	211,100	(5,000)	
5851	Duty S17	7,100	3,100	7,100	5,100	2,000	
	Children and Adults Duty Social Care	255,400	219,200	218,800	216,200	(3,000)	
4703	Contracts and Procurement	120,400	171,200	161,100	148,400	(22,800)	Underspend due to Team Manager Vacancy. Recruitment for this role has begun but do not anticipate filling it until the new financial year.
	Contracts and Procurement	120,400	171,200	161,100	148,400	(22,800)	
	Peoples Directorate Total	13,694,100	14,788,000	14,486,800	14,554,500	(233,500)	

Colour Code Key:

	Senior Management Budgets
	Councillor Ken Bool Portfolio
	Councillor Chris Emmett Portfolio
	Councillor Roger Begy Portfolio
	Councillor Lucy Stephenson Portfolio

**Peoples Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
	SCHOOLS BUDGET						
5003	Payments to Schools	7,073,700	7,073,700	7,073,700	6,042,300	(1,031,400)	Reduction in expenditure due to schools converting to academies during the financial year
5007	Nationally Agreed Licences	0	16,200	16,200	16,200	0	
5126	Dedicated School Grant Contingency	0	0	0	0	0	
5128	Dedicated School Grant Recharges	197,200	197,200	197,200	197,200	0	
5205	School Recharges	0	0	0	0	0	
5290	2 Year Old Nursery Funding	179,000	179,000	229,000	179,000	0	
5300	Special Educational Needs & Recoupment	2,894,300	2,894,300	2,938,600	2,876,500	(17,800)	
5301	Education For Under 5'S	75,600	75,600	52,100	46,400	(29,200)	Favourable variance due to post being vacant since May 2014. Postholder not taking up position until April 2015
5308	3 & 4 Year Old Early Years	1,090,500	1,090,500	1,180,500	1,090,500	0	
5314	Education Otherwise	45,000	45,000	62,100	88,200	43,200	2 medical pupils extended to end of financial year , total of 12 for Medical needs so far this year. 6 further exclusions making a total of 10 for this financial year , 3 for LAC/WASP (without a school placement) and 1 SEN with additional needs/costs.
5332	DCSF Grant Received	(11,779,900)	(11,779,900)	(11,779,900)	(10,843,800)	936,100	Reduction in income due to schools converting to academies during the financial year
5348	Special Needs Teaching	65,600	65,600	65,600	68,200	2,600	
5368	Early Years Found Stage Work	28,900	28,900	28,400	30,300	1,400	
5379	Admissions Service	81,200	65,000	64,100	64,500	(500)	
5399	Early Years Inclusion 3-5yrs (DSG)	48,900	48,900	49,000	25,100	(23,800)	Currently only spent £22.5k. Last year was high due to an influx of children at Kendrew Barracks
	Schools Total	0	0	176,600	(119,400)	(119,400)	
	Consolidated Total	13,694,100	14,788,000	14,663,400	14,435,100	(352,900)	

Places Directorate
General Fund Monitoring Report Q3

Cost Centre	Description	Approved budget £	Revised budget £	Q1 Forecast £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
3605	Development & Community Director	120,000	121,200	91,200	98,600	88,100	(33,100)	Variance due to a vacant post for a Development Assistant. Forecast previously assumed that the post would be filled in December 2014 but this has not materialised
3606	Environmental, Planning & Transport Director	88,200	88,900	88,100	91,000	90,900	2,000	
	Directors Places	208,200	210,100	179,300	189,600	179,000	(31,100)	
1515	Highways Management	227,800	241,000	237,400	188,600	179,800	(61,200)	The variance is due mainly to 2 vacant posts. An Assistant Engineer post and the Operations Manager post.
1527	Highways S38 Income	0	(25,800)	(79,000)	(79,000)	(79,000)	(53,200)	Variance due to the road adoption fees predicted in 13/14 not coming forward as anticipated eg Jeakins Weir until 14/15. These fees are paid in advance of inspections and will be transferred to an earmarked reserve for future resourcing.
	Highways Management	227,800	215,200	158,400	109,600	100,800	(114,400)	
2985	Emergency Planning	27,900	27,900	28,100	28,200	28,200	300	
	Emergency Planning	27,900	27,900	28,100	28,200	28,200	300	
1500	Surface Dressing	158,100	140,000	140,000	140,000	105,000	(35,000)	
1501	Safety	109,300	95,000	95,000	95,000	72,400	(22,600)	
1502	Drainage and Jetting	133,800	120,000	120,000	120,000	140,000	20,000	
1503	Bridges and Culverts	44,700	56,600	56,600	56,600	36,600	(20,000)	
1504	Winter Maintenance	257,200	257,200	257,200	257,200	257,200	0	
1506	Street Lighting	203,200	211,600	211,600	211,600	186,600	(25,000)	
1507	Barriers	23,200	15,000	15,000	15,000	15,000	0	
1508	Carriageway Patching	521,900	626,000	626,000	626,000	661,000	35,000	
1509	Footway Patching	74,700	68,000	68,000	68,000	68,000	0	
1510	Minor Repairs	202,900	120,000	120,000	133,400	183,400	63,400	
1511	Fixed Contract Costs	74,800	265,600	265,600	265,600	265,600	0	
1523	Highways Third Party Claims	0	0	0	0	0	0	
1526	Forestry Maintenance	96,400	93,800	93,800	93,800	93,800	0	
1530	Structural Services - Bridges	14,600	14,600	14,600	14,600	14,600	0	
1531	Forestry Advice	11,100	11,100	11,000	11,000	11,000	(100)	
1532	Scanner Survey	12,300	12,300	12,300	25,000	15,600	3,300	

£200k saving was identified in the highway function for 2014/15 as a result of the new term contract. This is spread across items in the schedule of rates and was difficult to allocate to cost centres. The overall saving was correct but some of the assumption used in the allocations were wrong. The savings needed rebalancing across cost centres, hence savings made in some cost centres, to offset deficit in others.

Cost Centre	Description	Approved budget	Revised budget	Q1 Forecast	Q2 Forecast	Q3 Forecast	Variance	Comments
		£	£	£	£	£	£	
1536	Traffic Signal Maintenance	26,300	26,300	26,300	26,300	26,300	0	
1812	Highways Capital Charges	991,100	1,158,600	1,158,600	1,158,600	1,158,600	0	
	Highways Operations	2,955,600	3,291,700	3,291,600	3,317,700	3,310,700	19,000	
1600	Parking	(243,300)	(240,600)	(254,700)	(267,900)	(265,000)	(24,400)	The variance is mainly due to vacancy management £21k. Vacant Civil Enforcement Officer post was expected to be filled in October 2014 however this is now expected to be filled in January 2015. Other minor variances across the service total £3k.
	Parking	(243,300)	(240,600)	(254,700)	(267,900)	(265,000)	(24,400)	
1516	Transport Strategy	307,600	197,800	194,600	196,000	196,800	(1,000)	
1505	Public Rights of Way	115,500	115,500	114,000	114,000	115,100	(400)	
1535	Local Transport Plan	2,000	2,000	2,000	2,000	2,000	0	
1537	Pool Cars and Car Hire	98,700	105,700	108,600	105,400	103,400	(2,300)	
1540	Traffic Analysis & Data Collection	2,000	2,000	2,000	2,000	2,600	600	
1541	Safety Partnership Arrangement	40,000	40,000	40,000	11,000	9,000	(31,000)	Pass plus take up dropped to date by 84%. No membership fee (£19k) to The Leicester, Leicestershire & Rutland Road Safety Partnership (LLRRSP) required this financial year due to surplus profits at LLRRSP.
1542	Travel4Rutland	0	637,300	637,300	0	638,000	700	
1542	Travel4Rutland Income	0	(637,300)	(637,300)	0	(637,300)	0	
	Transport Strategy	565,800	463,000	461,200	430,400	429,600	(33,400)	
1517	Transport Management	0	129,400	125,700	126,200	122,300	(7,100)	
1518	Public Transport	398,200	405,800	398,700	402,700	402,300	(3,500)	
1519	Concessionary Travel	323,900	323,900	328,000	338,100	337,900	14,000	Mandatory Integrated Transport Smartcard Organisation (ITSO) Licenses required to comply with English National Concessionary Travel Scheme (ENCTS) regulations. Also replaced scooters for wheels to work insurance claim
1520	Home to School Transport	689,500	689,500	679,000	679,000	640,000	(49,500)	The forecast is based on current students numbers using the service being less than originally budgeted for. Also operator given notice due to non compliance in January 2015 and new contract has come in at a lower cost.
1521	Post 16 Transport	88,000	88,000	105,000	105,000	110,000	22,000	The forecast is based on current students numbers using the service being greater than originally budgeted for.
1522	Educational Transport	34,100	34,600	25,700	22,900	26,100	(8,500)	

Cost Centre	Description	Approved budget £	Revised budget £	Q1 Forecast £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
4680	Transport Fleet	65,100	59,700	58,700	57,000	58,400	(1,300)	
5965	Community Vehicle	19,200	19,200	19,200	19,200	19,200	0	
5966	Community Transport	0	0			0	0	
	Transport Contract	1,618,000	1,750,100	1,740,000	1,750,100	1,716,200	(33,900)	
1524	Environmental Maintenance	206,500	206,500	207,400	201,800	211,100	4,600	
2530	Street Cleaning	552,500	552,500	552,800	552,000	555,100	2,600	
2613	Cemeteries	7,000	7,000	7,200	10,000	14,400	7,400	
2615	Closed Churchyards	29,000	29,000	28,600	29,300	27,100	(1,900)	
2617	Parish Expenses	8,700	8,700	8,700	8,700	8,700	0	
2690	Amenity Grass (Urban Grass & Public Open Spaces)	98,600	98,600	98,500	97,500	96,000	(2,600)	
2590	Dog Warden & Pest Control Services	24,000	23,700	25,900	26,600	31,100	7,400	
1408	Warm Homes for Rutland	0	70,300	70,200	70,300	70,600	300	
2003	Environmental & Trading Standards	406,200	406,200	412,000	412,000	408,700	2,500	
2542	Environmental Protection Act	(2,400)	(2,400)	(2,400)	0	(2,700)	(300)	
2002	Waste And Amenities	157,400	226,300	247,900	237,500	247,500	21,200	The variance is an overspend and is due to agency worker covering staff posts for long term sick and maternity leave.
2490	Refuse Collection	972,500	972,500	973,800	966,500	947,400	(25,100)	Indexation figure has gone down significantly hence the favourable variance
2500	Waste Management	1,098,500	1,049,600	1,060,700	1,061,100	1,080,300	30,700	The projected variance is due to the introduction of charging system for inert waste (soil and rubble etc.) being received at Civic Amenity sites not going ahead following Cabinet decision £15k and increased waste disposal costs £15k due to indexation being higher than expected.
2810	Licenses	(71,700)	(71,700)	(68,000)	(61,500)	(63,000)	8,700	
4112	Crime and Disorder	70,400	70,400	70,500	70,600	70,600	200	
4115	CCTV	28,100	13,100	12,900	11,900	11,900	(1,200)	
4231	Youth Offending Service	70,000	70,000	70,000	70,000	70,000	0	
	Environmental Services	3,655,300	3,730,300	3,776,700	3,764,300	3,784,800	54,500	
1400	Building & Development Control Support	148,500	151,100	151,800	140,600	140,400	(10,700)	Variance due to vacant Admin Assistant from 12/09/14.

Cost Centre	Description	Approved budget £	Revised budget £	Q1 Forecast £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
1401	Development Control	83,400	88,600	76,300	56,600	6,300	(82,300)	Forecast income increased significantly compared to previous months as planning applications continue to increase significantly each months. Difficult to predict planning application fees.
3350	Land Charges	(22,500)	(21,600)	(31,800)	(36,500)	(35,300)	(13,700)	Increase in Income due to upturn in economic environment
	Development Control	209,400	218,100	196,300	160,700	111,400	(106,700)	
3420	Registration Service	(13,000)	(7,800)	(32,900)	(25,000)	(10,900)	(3,100)	
5700	Libraries	373,200	381,700	381,900	380,400	382,400	700	
5703	Mobile Library	41,200	41,500	41,700	41,600	41,600	100	
5704	Museums Service	258,800	265,900	263,100	264,800	265,900	0	
5706	Records Office	50,100	50,100	50,100	50,100	50,100	0	
5707	Museum Trading Account	(4,300)	(4,300)	(3,000)	(4,000)	(3,900)	400	
5710	Arts Development	9,700	9,700	9,700	11,500	10,600	900	
5711	Recreation and Leisure	100,000	104,100	105,700	103,400	104,100	0	
5712	Catmose Sports & Swimming	0	0	0	0	0	0	
5714	Local Sports Alliance	0	32,200	32,200	32,200	32,200	0	
5715	Learning And Outreach	10,500	10,700	13,700	13,200	13,100	2,400	
5718	Prison Library Service Stocken	0	800	500	500	0	(800)	
5721	Oakham Castle Restoration Project	0	0	0	0	0	0	
5840	Community Cinema Fund (EF)	0	0	0	0	0	0	
5842	Culture and Leisure	72,700	82,700	82,000	82,000	82,700	0	
5875	School Sports/Games Staffing	0	600	300	200	600	0	
5877	School Sports Activities	0	0	0	0	0	0	
	Culture and Leisure Services	898,900	967,900	945,000	950,900	968,500	600	
1403	Planning Policy	251,300	375,600	353,000	341,900	336,300	(39,300)	Vacancy saving arising as a result of a member of staff leaving mid Oct 2014 and another member of staff going on maternity leave mid Nov 2014, replacement staff expected Mid January 2015. Income budget pressure for CIL not yet in place to cover set up and administration of CIL. Housing Option Team transferred to Places and review of team has led to savings in salary costs.
1405	Planning Delivery Grant	0	17,000	10,000	10,000	9,400	(7,600)	

Cost Centre	Description	Approved budget	Revised budget	Q1 Forecast	Q2 Forecast	Q3 Forecast	Variance	Comments
		£	£	£	£	£	£	
1409	Neighbourhood Plans	0	13,900	13,000	13,000	(11,000)	(24,900)	Forecast reduced significantly due to reduced legal cost on Uppingham Neighbourhood Plan. Council was successful in High Court challenge, this could be challenged through the appeal process.
4710	Homelessness	91,700	32,700	23,500	29,200	13,500	(19,200)	As a result of the statutory requirement of the council to temporary house the homeless a budget of £12k was set aside to meet this need, the forecast was reduced at Q3 as demand for this service has been low. The remaining £11.4k costs for Bridge Floating Support Service in 2014/15 have been removed from this budget and covered by the People's Stronger Communities service budget
	Planning Policy	343,000	439,200	399,500	394,100	348,200	(91,000)	
5846	Tourism	0	15,400	15,300	19,800	14,500	(900)	
	Tourism	0	15,400	15,300	19,800	14,500	(900)	
3850	Property Services	271,900	277,100	254,200	263,600	271,800	(5,300)	
1402	Building Control	(47,100)	(47,100)	(47,100)	(30,200)	(23,700)	23,400	Building Regulation Fees income adjusted based on average over 8 months, which has seen a slight reduction in income. A dispute over a contract has been settled and is payable over 3 years. The first payment years is reflected in the forecast.
3855	Central Furniture and Equipment	5,700	5,700	5,700	12,700	12,700	7,000	
3504	Barleythorpe Campus Rechargeable Works	0	0	0	0	0	0	
3501	Property Services Rechargeable Works	0	0	0	0	0	0	
3500	Central Maintenance	168,800	168,800	168,800	191,000	192,000	23,200	The variance is due to emergency accommodation works and tree works resulting in a £22k overspend.
3502	Post 16	0	0	0	0	9,000	9,000	
3503	Martial Arts Centre		0		0	0	0	
2900	Admin Buildings	398,000	394,100	406,600	416,300	398,100	4,000	
2600	Public Conveniences	19,700	19,700	19,700	19,700	20,300	600	
5820	Pit Lane	(43,500)	(38,300)	(38,300)	(38,300)	(30,200)	8,100	
5821	Ashwell Road Business Units	1,600	1,600	1,600	(1,600)	(1,600)	(3,200)	

Cost Centre	Description	Approved budget	Revised budget	Q1 Forecast	Q2 Forecast	Q3 Forecast	Variance	Comments
		£	£	£	£	£	£	
5822	No7 Church Passage	0	0	(4,600)	(4,600)	(4,600)	(4,600)	
	Property	775,100	781,600	766,600	828,600	843,800	62,200	
2100	Health & Safety	34,900	35,400	35,600	35,000	35,400	0	
	Health & Safety	34,900	35,400	35,600	35,000	35,400	0	
3702	Digital Rutland	0	145,100	145,100	145,100	135,800	(9,300)	
5810	Economic Development	99,500	100,700	100,000	97,800	96,000	(4,700)	
5815	Welland Market Towns	0	0	0	(3,800)	(6,800)	(6,800)	
5817	Oakham Enterprise Park	20,400	20,400	(3,300)	(15,500)	(15,000)	(35,400)	Lettable units are being brought online ahead of schedule which is resulting in levels of rental income beyond that estimated in the original business plan.
TOTAL PLACES BUDGET		11,396,500	12,171,500	11,980,700	11,934,700	11,816,100	(355,400)	

Resources Directorate
General Fund Monitoring Report Q3

Cost Centre	Description	Approved budget £	Revised budget £	Q1 Forecast £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
3700	Chief Executive	240,000	191,400	190,200	202,000	206,200	14,800	This includes the costs for the Royal Visit.
3705	Business Manager	69,300	0	0	0	0	0	
3708	Review of People Directorate	0	69,300	69,300	69,300	15,900	(53,400)	At Q2, it was envisaged that additional support would be required to take forward recommendations raised in the PeopleFirst review. Whilst additional support may be required it is unlikely to be in 2014/15 so it is requested that the budget is carried forward to 2015/16 in Invest to Save.
3722	Stationery	13,500	13,500	13,500	10,000	10,000	(3,500)	
5845	Communication	64,900	65,600	65,200	69,000	70,200	4,600	
	Chief Executive	387,700	339,800	338,200	350,300	302,300	(37,500)	
3603	Director of Resources	103,600	104,400	105,200	105,300	105,000	600	
3104	Assistant Director - Finance	82,300	83,000	84,500	84,500	84,500	1,500	
3701	Welland Procurement	28,300	28,300	28,300	24,000	24,000	(4,300)	
3714	Corporate Subscriptions	44,600	44,600	44,600	40,000	40,000	(4,600)	
3841	Monitoring Officer	1,300	1,300	1,300	1,300	1,300	0	
3903	In year budget reductions	0	0	0	0	0	0	
	Directorate	260,100	261,600	263,900	255,100	254,800	(6,800)	
3103	Finance	529,000	538,500	510,100	533,100	522,400	(16,100)	The Finance Team has been through some transition with the departure of key staff and interim arrangements in place with use of agency staff resulting in savings whilst permanent recruitment is undertaken.
3455	Pensions Costs	160,000	160,000	160,000	160,000	160,000	0	
3458	Corporate Insurance	167,400	167,400	169,200	173,000	174,900	7,500	
3720	External Audit & Inspection	103,600	103,600	93,700	94,600	100,800	(2,800)	The Council has incurred an additional external audit charge of £2k following questions raised by Councillors in respect of the legality and integrity of some financial decisions made by the Council. External audit have considered the matters and have raised no issues of concern. The Q3 position also includes cost of Teachers Pension audit and extra fees for audit of Collection Fund following accounting changes.
3721	External Levies	44,900	44,900	44,900	44,900	45,200	300	

Resources Directorate
General Fund Monitoring Report Q3

Cost Centre	Description	Approved budget £	Revised budget £	Q1 Forecast £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
3811	Corporate Finance	10,300	10,300	21,100	9,600	10,600	300	
3813	Corporate Financial Expenses	48,000	48,000	48,300	48,900	60,200	12,200	Overspend of £12k relates to Insurance valuation which is required once every five years and additional use of KPMG for VAT matters
3730	RCC Internal Audit	80,000	80,000	91,000	100,600	102,000	22,000	The Head of Audit has retired and interim cover has been put in place. The additional costs are shared within the Welland Partnership. The additional costs to the Council will be c£22k.
	Accountancy and Finance	1,143,200	1,152,700	1,138,300	1,164,700	1,176,100	23,400	
3102	Head of Business Support	51,200	52,100	96,700	96,700	96,500	44,400	Cost of interim cover until the end of the year net of saving from vacancy. The forecast assumes cover is in place to the end of March.
3740	Information Technology Dept	242,900	246,600	254,900	254,900	235,800	(10,800)	
3820	IT Operational Support	919,900	729,400	773,300	773,300	796,300	66,900	The budget assumed savings of £58k, some aspects of which we are unable to deliver. Essential expenditure was incurred during the quarter on the replacement of kit such as the firewall. Although every effort is being made to manage the overspend on this budget it is now unlikely that the overspend can be mitigated.
3822	Telecommunications	69,400	69,400	66,600	66,600	76,600	7,200	
5350	Performance & Application Support	213,500	216,500	217,100	202,200	201,000	(15,500)	Work has been done in ensuring that social care data systems are ready for the changes being introduced as a result of the Care Act. The Q2 forecast reflects a contribution from the Better Care Fund towards this cost.
	Business Support	1,496,900	1,314,000	1,408,600	1,393,700	1,406,200	92,200	
3710	Members Services	194,800	194,800	194,800	192,100	195,300	500	
3715	Civic Expenses	5,900	5,900	5,900	5,900	5,900	0	
3716	Reprographics & Post	144,600	144,600	144,600	145,400	143,900	(700)	
3108	Corporate Support Services	314,400	319,900	301,300	307,600	304,700	(15,200)	The underspending reflects the savings from vacancies net of the cost of interim cover.
	Corporate Support Services	659,700	665,200	646,600	651,000	649,800	(15,400)	
3450	Customer Services Team	171,400	174,200	173,200	165,300	166,900	(7,300)	
	Customer Services Team	171,400	174,200	173,200	165,300	166,900	(7,300)	

Resources Directorate
General Fund Monitoring Report Q3

Cost Centre	Description	Approved budget £	Revised budget £	Q1 Forecast £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
3040	Elections - Administration (Previously titled Elections - General)	28,200	28,200	37,700	55,900	49,000	20,800	The cost of postage is forecast to be £15k over budget, and the cost of printing is forecast to be £9k over budget, both due to the canvass required for Individual Election Registration (IER). We are aware that funding to cover IER costs may not be sufficient due to the additional mail generated by the Cabinet Office's letter re the Open Register. We are working to minimise costs where possible.
3041	Elections - Local	0	0	0	0	5,200	5,200	Costs in relation to the by-elections in November
3042	Elections - European	0	0	0	0	0	0	This forecast is based on the assumption that the majority of the Association of Electoral Administrators (AEA) consultant costs can be recovered from the Elections Claim Unit as approximately 80% of the time was for European Elections.
	Elections	28,200	28,200	37,700	55,900	54,200	26,000	
3105	Head of Corporate Governance	67,700	68,700	68,100	68,400	70,400	1,700	
3719	Standards of Conduct	3,100	3,100	3,100	3,100	1,300	(1,800)	
3106	Coroner	28,900	35,000	35,000	35,000	35,000	0	
3107	Members Training	5,000	5,000	5,000	5,000	5,000	0	
3840	Legal Services	287,900	277,900	277,900	314,900	359,700	81,800	Due to increased activity, including around employment cases and a judicial review (Uppingham), we are currently spending above profile on the legal budget. The forecast has increased from Q2 as we have now been notified that the Q3 legal time costs will be significantly higher than the previous 2 quarters, partly due to further costs for one particularly high cost employment tribunal.
	Governance	392,600	389,700	389,100	426,400	471,400	81,700	

Resources Directorate
General Fund Monitoring Report Q3

Cost Centre	Description	Approved budget £	Revised budget £	Q1 Forecast £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
3711	Human Resources	273,000	286,700	264,900	274,800	278,500	(8,200)	
3718	Training, Confs & Seminars	151,700	201,800	201,800	181,800	162,000	(39,800)	Budget includes b/f provision for Customer services training which has been deferred to 15-16; assessment of directorate training plans has not delivered to full programme. Projection is based on current knowledge of scheduled training plus £10,000 to respond to any emerging pressures/priorities by end March 2015.
	Human Resources	424,700	488,500	466,700	456,600	440,500	(48,000)	
3713	Welland Internal Audit Consortium	0	13,800	2,200	14,700	13,300	(500)	
	Welland Internal Audit	0	13,800	2,200	14,700	13,300	(500)	
3000	Revenues	123,000	126,300	125,200	137,600	123,500	(2,800)	
3001	AllPay	12,300	12,300	8,000	9,000	9,000	(3,300)	
3002	Financial Crisis Support	23,000	33,300	24,500	25,000	16,000	(17,300)	There has been an increase in awards compared to Q3 2013/14, however the mild winter so far has resulted in fewer claims for fuel for heating.
3010	Counter Fraud Section	46,100	46,100	43,200	32,400	27,800	(18,300)	Due to the implementation of Single Fraud Investigation Service in October 2014 and revised arrangements for CRAFT (Corby and Rutland Anti Fraud Team), there is an in- year saving.
3015	Benefit Processing	21,000	23,700	55,000	55,000	51,000	27,300	There has been a significant decrease in the administration subsidy received from DWP being a reduction of £26.9k which was not notified to the council until after the budget was set.
3021	Housing Benefit Payments	46,300	46,300	55,800	55,300	(14,700)	(61,000)	The Council has reviewed its approach to dealing with housing benefit overpayments which have traditionally been treated as unrecoverable with any amounts credited to the revenue account as 'windfall'. All housing benefit overpayments will now be recognised in full and an appropriate bad debt provision made of c80% of the debt. This has resulted in an initial credit of £110k offset by additional discretionary housing payments made.

**Resources Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget £	Revised budget £	Q1 Forecast £	Q2 Forecast £	Q3 Forecast £	Variance £	Comments
3250	Community Care Finance	47,400	48,200	50,600	58,100	45,800	(2,400)	
3025	Discretionary Hardship Fund	100,000	100,000	25,000	25,000	26,000	(74,000)	Officers are making more awards and for a longer period of time based on the claimants circumstances i.e. long term disability and unable to work. However it is estimated that the fund will be underspent.
	Revenues and Benefits	419,100	436,200	387,300	397,400	284,400	(151,800)	
TOTAL RESOURCES DIRECTORATE		5,383,600	5,263,900	5,251,800	5,331,100	5,219,900	(44,000)	

**Resources Directorate
General Fund Monitoring Report Q3**

Cost Centre	Description	Approved budget	Revised budget	Q1 Forecast	Q2 Forecast	Q3 Forecast	Variance	Comments
		£	£	£	£	£	£	

Additional background information on cost centres

3700	Chief Executive	This includes the pay costs for the Chief Executive and her PA, plus budgets for catering (hospitality), some printing, and grants.
5845	Communication	This includes pay costs and the cost of publicity, including a budget for the production of the Council Newsletter.
3721	External Levies	Most of this budget is for the levy payable to the Environment Agency for flood defence.
3813	Corporate Financial Expenses	This comprises the budgets for bank charges and for treasury management
3730	RCC Internal Audit	This is Rutland CC's share of the costs of the Welland Internal Audit service
3740	Information Technology Department	This is the budget for the costs relating to the staffing of the IT department
3820	IT Operational Support	This cost centre holds the non-pay IT costs, e.g., purchase of hardware, maintenance agreements and internet costs.
3716	Reprographics & Post	This cost centre includes the non-pay costs of the reprographics service plus the corporate budget for postage.

Reconciliation of movement between Approved Budget and Proposed Budget

Approved Net Costs of Services	Q2 Approved Budget £000	Internal Transfers £000	Movements in Budget £000	Proposed Budget Q3 £000
People	14,664	105	19	14,788
Places	12,110	61	0	12,172
Resources	5,227	37	0	5,264
Inflation Contingency	242	(203)	0	39
	32,244	0	19	32,262

Quarter 3	£000	£000	Narrative
People:			
Transfer from Inflation Contingency	90		Transfer for approved Pay Award and minor superannuation adjustment
Central Support Recharges	15		Transfer of budget for Adult Learning Central Support Recharges
Staff Redundancies		19	Transfer from Invest to Save Reserve
Movement as at Quarter 3	105	19	

Quarter 3	£000	£000	Narrative
Places:			
Transfer from Inflation Contingency	70		Transfer for approved Pay Award and minor superannuation adjustment
Central Support Recharges	(9)		Transfer of budget for Adult Learning for Property Services Recharge
Movement as at Quarter 3	61	0	

Quarter 3	£000	£000	Narrative
Resources:			
Transfer from Inflation Contingency	43		Transfer for approved Pay Award and minor superannuation adjustment
Central Support Recharges	(6)		Transfer of budget for Adult Learning Central Support Recharges
Movement as at Quarter 3	37	0	

Financing Adjustments

Quarter 3	£000	£000	Narrative
Transfers to/from Reserves:			
Staff Redundancies		(19)	From Invest to Save Reserve
	0	(19)	
Additional Grant Funding:			
	0	0	
Other Adjustments:			
	0	0	
Movement as at Quarter 3	0	(19)	

Rutland County Council Capital Programme

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Directorate	Project Number	Project Description	Total Project Budget	Total Project Expenditure	Variance	Total Budget 2014/15	Estimated Outturn	Variance (Outturn to Budget)	Comments
People	CB1005	Devolved Formula Capital	59,576	59,576	0	59,576	59,576	0	
People	CB1021	Youth Housing Project	160,785	144,385	16,400	160,400	144,000	16,400	Total funding expected to come from sale of Jules
People	CB1022	Early Years Education for 2 Year Olds	32,904	32,904	0	32,904	32,904	0	
People	CD1000	Disabled Facilities Grants	160,000	120,000	40,000	160,000	120,000	40,000	Total Commitment now £98k expected underspend of £40k.
People	CD1010	Dementia - Manton Hall	409,000	409,000	0	5,642	5,642	0	Awaiting final invoice from Manton Hall to release payment.
Total People Capital Programme			822,265	765,865	56,400	418,522	362,122	56,400	
Places	CA1044	Solar Film Swimming Pool Catmose Campus	0	0	0	0	0	0	
Places	CE1005	Footways	145,000	128,400	16,600	145,000	128,400	16,600	
Places	CE1006	Bridges	518,300	425,300	93,000	518,300	425,300	93,000	
Places	CE1012	Public Transport Improvements	30,000	30,000	0	30,000	30,000	0	
Places	CE1013	Rutland Access Group	15,000	15,000	0	15,000	15,000	0	
Places	CE1017	Oakham Bypass	0	0	0	0	0	0	
Places	CE1048	Public Right of Way Improvements	20,000	20,000	0	20,000	20,000	0	
Places	CE1060	Surface Dressing	713,300	699,100	14,200	713,300	699,100	14,200	
Places	CE1065	Signing to key destinations (cycling and walking)	9,500	0	9,500	9,500	0	9,500	
Places	CE1075	Morcott SIDs (accident clusters)	57,500	57,500	0	57,500	57,500	0	
Places	CE1076	Preston SIDs	9,600	10,628	(1,028)	9,600	10,628	(1,028)	
Places	CE1079	Travel 4 Rutland – Tourism Bus	835,000	788,842	46,158	0	0	0	
Places	CE1081	Travel 4 Rutland – Cycling Infrastructure	870,000	991,226	(121,226)	520,700	537,300	(16,600)	
Places	CE1084	Travel 4 Rutland – Transport Interchange	982,000	830,513	151,487	117,000	117,000	0	
Places	CE1082	A6121 Essendine Village	0	0	0	0	0	0	
Places	CE1083	A6121 Ketton to Wireless Hill	170,000	187,858	(17,858)	170,000	187,858	(17,858)	
Places	CE1091	Ketton School Path	10,700	0	10,700	10,700	0	10,700	
Places	CE1093	Barleythorpe Car Park	28,000	28,000	0	28,000	28,000	0	
Places	CE1094	MS - A606 Melton Boundary	200,000	206,022	(6,022)	200,000	206,022	(6,022)	
Places	CE1095	MS - A606 Barnsdale	200,000	262,264	(62,264)	200,000	262,264	(62,264)	
Places	CE1096	MS – B668 Roundabouts under A1 Stretton	130,000	0	130,000	130,000	0	130,000	
Places	CE1097	MS – B668 Cottesmore to Greetham	154,300	227,330	(73,030)	154,300	227,330	(73,030)	
Places	CE1098	MS – High Friction Surfacing	95,000	87,847	7,153	95,000	87,847	7,153	
Places	CE1099	MS – Street Lighting Upgrades (safety improvements)	75,000	75,000	0	75,000	75,000	0	
Places	CE1100	IT – Toll Bar Speed Indicator Devices (SID)	3,900	3,900	0	3,900	3,900	0	
Places	CE1101	IT – Nether Close Layby, Cottesmore	5,400	6,490	(1,090)	5,400	6,490	(1,090)	

The Transport Capital Schemes are managed within the overall allocation, and although there will be over/underspends on projects, the overall programme of works will be managed to ensure it does not exceed the budget of £3,728k

Directorate	Project Number	Project Description	Total Project Budget	Total Project Expenditure	Variance	Total Budget 2014/15	Estimated Outturn	Variance (Outturn to Budget)	Comments
Places	CE1102	IT – Little Casterton mini roundabout	10,700	10,700	0	10,700	10,700	0	
Places	CE1103	MS – Weather Damaged Roads	463,100	547,818	(84,718)	463,100	547,818	(84,718)	
Places	CE1104	IT – Braunston Traffic Calming (SID)	14,500	14,500	0	14,500	14,500	0	
Places	CE1105	IT – Uppingham Road, Oakham Speed Indicator Device (SID)	9,200	9,200	0	9,200	9,200	0	
Places	CE1106	IT – Main Street, Greetham Speed Indicator Device (SID)	2,700	0	2,700	2,700	0	2,700	
Places	CH1019	BS4A - Cottesmore	0	0	0	0	0	0	
Places	CH1038	Digital Rutland	2,670,000	2,669,967	33	1,467,200	1,467,200	0	
Places	CH1058	Oakham Enterprise Park	3,332,400	3,332,400	(0)	739,100	739,100	0	
Places	CH1080	Unit 16A – Oakham Enterprise Park	0	150,000	(150,000)	150,000	150,000	0	
Places	CH1060	Cottesmore CPS Phase 2	0	9,300	(9,300)	0	9,300	(9,300)	
Places	CH1062	Catmose Offices Fire Alarm and Fire Escape	75,000	76,671	(1,671)	51,300	53,000	(1,700)	
Places	CH1063	Oakham – Welland Way Play Area	70,000	70,000	0	70,000	70,000	0	
Places	CH1077	Active Rutland Hub	769,000	769,000	0	769,000	769,000	0	
Places	CX1004	PHT unallocated capital programme	0	0	0	0	0	0	
Places	CB1009	The Little Build	0	1,000	(1,000)	0	1,000	(1,000)	
Places	CH1000	Catmose Campus	29,821,000	30,339,098	(518,098)	0	6,000	(6,000)	
Places	CAPB	Capital Allocation Project Board - Education	521,500	521,500	0	521,500	521,500	0	
Total Places Capital Programme			43,036,600	43,602,372	(565,772)	7,496,500	7,493,255	3,245	
Total Capital Programme			43,858,865	44,368,237	(509,372)	7,915,022	7,855,377	59,645	

Key Variances between Q2 and Q3 Forecasts
General Fund Monitoring Report Q3

Cost Centre	Description	Approved Budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance Between Forecasts £	Comments
People							
4500	Transformation Programme Team	0	329,600	249,000	277,700	28,700	Increase in expenditure since Q2 as a result of increased agency staff costs on Ofsted Inspection Readiness
4207	Disabled Children	83,800	83,800	150,200	186,700	36,500	Due to an educational placement being unable to continue to safely meet need we have commissioned a specialist residential placement for a young person with complex needs which has increased the spend against this budget by £80k. There have also been increases in some respite requirements in this financial year. There is currently 1 residential care placement, 13 respite packages and 17 Direct Payment packages made from this budget.
4490	Mental Health	188,100	188,100	140,000	158,100	18,100	There has been an increase in spend against this budget since Q2 due to a high cost residential placement being required. There are currently 33 cases held by the team. Of these, 3 people receive residential care out of county, 1 person has a domiciliary care package and 6 people are in receipt of direct payments.
5855	Supporting Independence Staffing	217,800	221,400	218,700	248,900	30,200	Adverse Variance due to Agency staff costs as a result of staff vacancies including Blue Badge assessor, Occupational Therapists and Admin Support. Most posts now filled with permanent staff although due to the significant number of new staff, a hand over period was required. The budget covers 6.2 FTE staff including Senior Practitioner, Occupational Therapists, OT Assistants, Blue Badge Assessor and Admin.
4259	Older People	2,444,500	2,571,100	2,402,200	2,454,300	52,100	There has been further spend on domiciliary care and residential care in line with seasonal pressures. The Direct Payments budget remains significantly underspent due to a long period without a DP Support Worker in post. Permanent admissions and discharges to residential care until the end of Q3: Independent Sector 37 admissions/38 discharges and Rutland Care Village 10 admissions and 10 discharges.
4211	Placements	712,600	712,600	722,300	748,900	26,600	There has been a reduction of income due to Leicestershire no longer paying for a child placed with Rutland foster carers who is now adopted and an increase in expenditure as a result of one young person being accommodated. The forecast also includes a commitment for four connected persons placements as well as an additional Special Guardianship Order support package. If these 5 placements do not go ahead, then the overspend could reduce to £11k.
	Total Over Spends			3,882,400	4,074,600	192,200	

Cost Centre	Description	Approved Budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance Between Forecasts £	Comments
4713	Youth Housing	93,400	115,000	115,000	80,200	(34,800)	Variance due to project not going live and utility costs estimated at significantly lower level.
5377	SEN Transport	375,800	375,800	588,700	570,500	(18,200)	There has been a reduction in anticipated spend since Q2 due to a reduction in contracts and an increase in the use of parental mileage instead of commissioned transport.
4551	Home Care Service (in House)	637,400	646,200	609,500	569,400	(40,100)	Variance due to staff vacancies. Recent recruitment partially successful. Further recruitment underway but this will not impact on the underspend in 2014/15.
4103	Purchasing Transport Budget	60,400	60,400	61,100	34,500	(26,600)	Clawback of transport costs for Care Commissioning Group funded clients.
4258	Adult Social Care Contracts	300,900	300,900	258,700	234,700	(24,000)	Transfer of the contract for the Community Alarm and reduction of Age UK day service to two days a week from 1st October 2014 has created savings. 4 contracts remain in this costs centre- Vista £24.4k, Spire £128.6k, Age UK £13.3k (Community Support), Leicestershire County Council £10k (Deaf and Hard of Hearing service).
	Total Under Spends			1,633,000	1,489,300	(143,700)	
	People Directorate Total			5,515,400	5,563,900	48,500	
Places							
1502	Drainage and Jetting	133,800	120,000	120,000	140,000	20,000	£200k saving was identified in the highway function for 2014/15 as a result of the new term contract. This is spread across several thousand items in the schedule of rates and was difficult to allocate to cost centres.
1508	Carriageway Patching	521,900	626,000	626,000	661,000	35,000	The overall saving was correct but some of the assumption used in the allocations were wrong. The savings needed rebalancing across cost centres, hence savings made in some cost centres, to offset deficit in others.
1510	Minor Repairs	202,900	120,000	133,400	183,400	50,000	
2500	Waste Management	1,098,500	1,049,600	1,061,100	1,080,300	19,200	The projected variance is due to the introduction of charging system for inert waste (soil and rubble etc.) being received at Civic Amenity sites not going ahead following Cabinet decision £15k and increased waste disposal costs £15k due to indexation being higher than expected.
	Total Over Spends			1,940,500	2,064,700	124,200	
1500	Surface Dressing	158,100	140,000	140,000	105,000	(35,000)	£200k saving was identified in the highway function for 2014/15 as a result of the new term contract. This is spread across several thousand items in the schedule of rates and was difficult to allocate to cost centres.
1501	Safety	109,300	95,000	95,000	72,400	(22,600)	The overall saving was correct but some of the assumption used in the allocations were wrong. The savings needed rebalancing across cost centres, hence savings made in some cost centres, to offset deficit in others.
1503	Bridges and Culverts	44,700	56,600	56,600	36,600	(20,000)	
1506	Street Lighting	203,200	211,600	211,600	186,600	(25,000)	

Cost Centre	Description	Approved Budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance Between Forecasts £	Comments
1520	Home to School Transport	689,500	689,500	679,000	640,000	(39,000)	The forecast is based on current students numbers using the service being less than originally budgeted for. Also operator given notice due to non compliance in January 2015 and new contract has come in at a lower cost.
2490	Refuse Collection	972,500	972,500	966,500	947,400	(19,100)	Indexation figure has gone down significantly hence the favourable variance
1401	Development Control	83,400	88,600	56,600	6,300	(50,300)	Forecast income increased significantly compared to previous months as planning application fees continue to increase significantly each months. Difficult to predict planning application fees.
1409	Neighbourhood Plans	0	13,900	13,000	(11,000)	(24,000)	Forecast reduced significantly due to reduced legal cost on Uppingham Neighbourhood Plan. Council was successful in High Court challenge, this could be challenged through the appeal process.
	Total Under Spends			2,218,300	1,983,300	(235,000)	
	Places Directorate Total			4,158,800	4,048,000	(110,800)	
Resources							
3820	IT Operational Support	919,900	729,400	773,300	796,300	23,000	The budget assumed savings of £58k, some aspects of which we are unable to deliver. Essential expenditure was incurred during the quarter on the replacement of kit such as the firewall. Although every effort is being made to manage the overspend on this budget it is now unlikely that the overspend can be mitigated.
3840	Legal Services	287,900	277,900	314,900	359,700	44,800	Due to increased activity, including around employment cases and a judicial review (Uppingham), we are currently spending above profile on the legal budget. The forecast has increased from Q2 as we have now been notified that the Q3 legal time costs will be significantly higher than the previous 2 quarters, partly due to further costs for one particularly high cost employment tribunal.
	Total Over Spends			1,088,200	1,156,000	67,800	
3708	Review of People Directorate	0	69,300	69,300	15,900	(53,400)	At Q2, it was envisaged that additional support would be required to take forward recommendations raised in the PeopleFirst review. Whilst additional support may be required it is unlikely to be in 2014/15 so it is requested that the budget is carried forward to 2015/16 in Invest to Save.
3740	Information Technology Dept	242,900	246,600	254,900	235,800	(19,100)	
3718	Training, Confs & Seminars	151,700	201,800	181,800	162,000	(19,800)	Budget includes b/f provision for Customer services training which has been deferred to 15-16; assessment of directorate training plans has not delivered to full programme. Projection is based on current knowledge of scheduled training plus £10,000 to respond to any emerging pressures/priorities by end March 2015.

Cost Centre	Description	Approved Budget £	Revised Budget £	Q2 Forecast £	Q3 Forecast £	Variance Between Forecasts £	Comments
3021	Housing Benefit Payments	46,300	46,300	55,300	(14,700)	(70,000)	The Council has reviewed its approach to dealing with housing benefit overpayments which have traditionally been treated as unrecoverable with any amounts credited to the revenue account as 'windfall'. All housing benefit overpayments will now be recognised in full and an appropriate bad debt provision made of c80% of the debt. This has resulted in an initial credit of £110k offset by additional discretionary housing payments made.
	Total Under Spends			561,300	399,000	(162,300)	
						0	
	Resources Directorate Total			1,649,500	1,555,000	(94,500)	
	Net Total of Overs and Under Spends			11,323,700	11,166,900	(156,800)	

RUTLAND COUNTY COUNCIL S106 AGREEMENTS

Application Reference	Date of Agreement	Site	Parish	Agreement Value	Amount Received	Amount Spent	Balance of S106 Received not Spent	Amount To Be Collected	Amount for future allocation
OUT/2002/0069 RES/2002/0430	26/11/2002	The Beeches, Uppingham	Uppingham	£42,700	£42,700	£37,837	£4,863	£0	£4,863
FUL/2003/0140	01/09/2004	South Witham Quarry, Witham Road	Thistleton	£17,328	£0	£0	£0	£17,328	£17,328
FUL/2004/0250	04/02/2005	Land Off South Street	Oakham	£35,000	£35,000	£34,580	£420	£0	£420
MIN/2004/1051	24/03/2006	Land Adjacent to Greetham Quarry	Greetham	£7,352	£7,352	£2,000	£5,352	£0	£5,352
FUL/2006/0714	28/12/2006	4-6 Church Street	Oakham	£30,000	£30,000	£0	£30,000	£0	£30,000
FUL/2006/0553	17/01/2007	Land to North of Main Street	Market Overton	£500	£500	£0	£500	£0	£500
FUL/2006/0204	30/07/2007	Uppingham Gate Ayston Road	Uppingham	£35,000	£35,000	£1,000	£34,000	£0	£34,000
FUL/2006/0204	30/07/2007	Uppingham Gate Ayston Road adjustment for indexation	Uppingham	£7,159	£7,159	£0	£7,159	£0	£7,159
FUL/2004/1271	06/08/2007	Land off Beckworth Grove	Empingham	£14,500	£14,500	£0	£14,500	£0	£14,500
FUL/2008/0369	09/07/2009	Land at Barleythorpe Stud Main Road	Barleythorpe	£10,702	£10,702	£400	£10,302	£0	£10,302
FUL/2009/0920	23/11/2009	Land's End Land's End Way	Oakham	£30,000	£30,000	£0	£30,000	£0	£30,000
FUL/2007/0140	22/12/2009	Land At Main Road	Barleythorpe	£10,000	£10,000	£0	£10,000	£0	£10,000
FUL/2009/0053	22/03/2010	Rear of 37 Dean Street	Oakham	£5,000	£5,000	£0	£5,000	£0	£5,000
FUL/2008/0701	25/03/2010	42-42a High Street East	Uppingham	£6,168	£6,168	£0	£6,168	£0	£6,168
FUL/2008/0960	25/05/2010	Land to the west of Barnsdale Lodge Hotel, The Avenue	Exton	£9,200	£9,200	£200	£9,000	£0	£9,000
FUL/2010/0363	16/07/2010	26 High Street East	Uppingham	£5,200	£5,200	£200	£5,000	£0	£5,000
FUL/2010/0669	01/11/2010	Land at 130 Braunston Road	Oakham	£23,380	£1,380	£1,380	£0	£22,000	£22,000
FUL/2010/1199	14/03/2011	Land off Essendine Road	Ryhall	£15,500	£15,500	£500	£15,000	£0	£15,000
APP/2011/0122	16/08/2011	32 Braunston Road	Oakham	£5,384	£5,384	£1,024	£4,360	£0	£4,360
APP/2011/0122	16/08/2011	32 Braunston Road Agreement updated when floor area confirmed (91m)	Oakham	£5,650	£5,650	£649	£5,001	£0	£5,001
APP/2010/1192	18/08/2011	64 Burley Road	Langham	£10,441	£500	£500	£0	£9,941	£9,941
APP/2011/0332	08/09/2011	9 Barrowden Road	Ketton	£6,739	£6,739	£1,763	£4,976	£0	£4,976
APP/2011/0200	12/10/2011	Grange Farm Uppingham Road	Oakham	£15,333	£15,333	£2,756	£12,577	£0	£12,578
APP/2011/0591	11/04/2012	Hilltop Cottage, Casterton Lane	Pickworth	£5,360	£5,360	£1,229	£4,132	£0	£4,132
APP/2011/0594	28/05/2012	8 Clatterpot Lane	Cottesmore	£6,469	£6,469	£1,050	£5,419	£0	£5,419
APP/2010/1201	09/07/2012	Rutland Caravan & Camping Park, Park Lane	Greetham	£2,000	£0	£0	£0	£2,000	£2,000
APP/2010/1170	01/08/2012	Lands End Way Roundabout (Pub)	Oakham	£15,000	£0	£0	£0	£15,000	£15,000
APP/2006/0724	17/08/2012	Land at Tixover Lane	Ketton	£1,000	£0	£0	£0	£1,000	£1,000

Application Reference	Date of Agreement	Site	Parish	Agreement Value	Amount Received	Amount Spent	Balance of S106 Received not Spent	Amount To Be Collected	Amount for future allocation
APP/2010/1216	05/09/2012	Lands End Way Roundabout (Hotel)	Oakham	£26,648	£0	£0	£0	£26,648	£26,648
APP/2012/0105	27/09/2012	3 Bottom Lane	Bisbrooke	£7,790	£7,790	£897	£6,893	£0	£6,893
APP/2012/0720	05/02/2013	Relating to land at 3 Bottom Lane, Manor Barn	Bisbrooke	£6,666	£6,666	£363	£6,303	£0	£6,303
APP/2010/1094	18/04/2013	Withy Cottage, 20 Geeston Road	Ketton	£1,927	£1,927	£913	£1,014	£0	£1,014
APP/2013/0178	16/08/2013	55 High Street, Oakham - JD Wetherspoon PLC	Oakham	£7,500	£7,500	£500	£7,000	£0	£7,000
APP/2013/0537	19/08/2013	Cottesmore Hunt, Land at Eastfield Farm, Ashwell	Ashwell	£3,406	£3,406	£354	£3,052	£0	£3,052
APP/2012/01780	06/09/2013	Uppingham School, 15 Leicester Road	Uppingham	£1,345	£1,345	£900	£445	£0	£445
APP/2012/0863	12/09/2013	Former Kingfisher Pub, 9 Uppingham Road	Preston	£12,500	£12,500	£500	£12,000	£0	£12,000
FUL/2013/0670	13/12/2013	48a Well Street replaces APP/2011/0573 £8187	Langham	£14,230	£7,617	£784	£6,833	£6,613	£13,446
2013/1107/FUL	03/03/2014	Two Hoots, Nook Lane	Empingham	£13,163	£13,163	£500	£12,663	£0	£12,663
APP/2012/0562	16/05/2014	Land at Carpenters Court Barrow	Oakham	£6,881	£6,881	£500	£6,381	£0	£6,381
APP/2013/0374	17/03/2014	Land at 51-53 High Street	Oakham	£3,000	£0	£0	£0	£3,000	£3,000
Total of agreements: £0 to £50,000				£483,123	£379,591	£93,278	£286,313	£103,532	£389,845
O-91-0149 F/97/0368	12/03/1997	Kilburn Road/Schofield Road, Oakham	Oakham	£72,000	£72,000	£61,753	£10,247	£0	£10,247
FUL/2004/0475	24/05/2005	Land to the South Side of North Street East	Uppingham	£70,000	£70,000	£68,195	£1,805	£0	£1,805
APP/2010/0853	25/03/2011	Land Off Empingham Road	Ketton	£50,083	£50,083	£26,212	£23,871	£0	£23,871
APP/2010/1066	10/08/2011	Vale of Catmose College Cold Overton Road	Oakham	£78,126	£44,731	£33,246	£11,485	£33,395	£44,880
APP/2010/1066	10/08/2011	Vale of Catmose College Cold Overton Road - Transport indexation	Oakham	£749	£749	£0	£749	£0	£749
APP/2012/0948	22/05/2013	South Lodge, The Wisp new application APP/2013/0802	Braunston	£1,034	£1,108	£1,034	£74	-£74	£0
Total of agreements: £50,001 to £100,000				£271,992	£238,671	£190,441	£48,230	£33,321	£81,551

Application Reference	Date of Agreement	Site	Parish	Agreement Value	Amount Received	Amount Spent	Balance of S106 Received not Spent	Amount To Be Collected	Amount for future allocation
MIN/2003/0309	31/03/2005	Hooby Lane Quarry	Stretton	£103,842	£94,053	£0	£94,053	£9,790	£103,842
OUT/2003/1181	06/11/2006	Land West of Lands End Link Road	Oakham	£100,500	£100,500	£500	£100,000	£0	£100,000
OUT/2003/1181	06/11/2006	Land West of Lands End Link Road - Indexation adjustment	Oakham	£3,768	£3,768	£0	£3,768	£0	£3,768
FUL/2005/0782	05/04/2007	Land at Western End of Rutland Reservoir	Empingham	£909,506	£909,506	£896,826	£12,680	£0	£12,680
FUL/2003/0944	23/09/2008	Rutland Care Village	Oakham	£140,000	£140,000	£95,528	£44,472	£0	£44,472
FUL/2010/0388	15/03/2011	Tesco Superstore, 96 South Street	Oakham	£479,400	£500	£500	£0	£478,900	£478,900
OUT/2010/0954	30/06/2011	Land at Uppingham Road	Oakham	£765,246	£0	£0	£0	£765,246	£765,246
OUT/2009/1306	11/07/2011	Land to the North of Oakham	Oakham	£7,677,688	£340,667	£98,906	£241,761	£7,337,021	£7,578,782
APP/2008/0228	31/12/2012	The Timberyard, Pinfold Lane	North Luffenham	£252,007	£117,335	£4,944	£112,392	£134,671	£247,063
APP/2012/0665	30/08/2013	Land to the North of Barleythorpe Road - Sainsburys	Oakham	£679,320	£593,470	£13,320	£580,150	£85,850	£666,000
APP/2013/0097	19/12/2013	Land at Huntsmans Drive	Oakham	£532,124	£0	£0	£0	£532,124	£532,124
2013/0392/MAJ	07/03/2014	Land of Branston Road	Uppingham	£133,128	£67,869	£2,610	£65,259	£65,259	£130,518
2013/0975/FUL	08/08/2014	Whitwell Hotel & Conference Centre	Whitwell	£117,300	£2,300	£2,300	£0	£115,000	£115,000
2013/0598/FUL	26/09/2014	Land West End of Lands End Way - Hawksmead	Oakham	£143,208	£847	£847	£0	£142,361	£142,361
Total of agreements: £100,001 and over				£12,037,036	£2,370,815	£1,116,281	£1,254,534	£9,666,221	£10,920,755
S106 Expenditure not allocated to specific agreement						£3,017	(£3,017)		(£3,017)
Total of agreements Reported At Q3				£12,792,152	£2,989,078	£1,400,001	£1,589,078	£9,803,075	£11,392,152

Application Reference	Date of Agreement	Site	Parish	Agreement Value	Amount Received	Amount Spent	Balance of S106 Received not Spent	Amount To Be Collected	Amount for future allocation
S106 Dwellings 10 or Less									
FUL/2010/0688	14/06/2011	Manor Farm, Station Road	Whissendine	£14,000	£500	£500	£0	£13,500	£13,500
APP/2010/1286	02/11/2011	1 Oakham Road	Langham	£7,171	£500	£500	£0	£6,671	£6,671
APP/2011/0411	21/03/2012	The Hall, 56 High Street East	Uppingham	£6,375	£500	£500	£0	£5,875	£5,875
APP/2011/0029	23/07/2012	8 The Old Mill, Holygate Road	Ridlington	£8,064	£500	£500	£0	£7,564	£7,564
APP/2011/0048	12/10/2011	Land Adjacent to 1 Church Street	Braunston	£7,640	£500	£500	£0	£7,140	£7,140
APP/2011/0791	17/12/2012	Land at The Chestnuts	Hambleton	£49,341	£967	£967	£0	£48,374	£48,374
APP/2012/0712	07/01/2013	Chapel Farm, Cottesmore Road.	Cottesmore	£24,422	£500	£500	£0	£23,922	£23,922
APP/2013/0030	17/05/2013	15 Audit Hall Road	Empingham	£26,701	£524	£524	£0	£26,177	£26,177
APP/2012/0670	28/06/2013	Barham Court, 17 The Green	Exton	£20,723	£500	£500	£0	£20,223	£20,223
APP/2011/0437	17/07/2013	Crown Inn, Crown Inn Lane	Tinwell	£29,850	£579	£579	£0	£29,271	£29,271
APP/2013/0212	26/02/2014	34a Braunston Road	Oakham	£5,500	£500	£500	£0	£5,000	£5,000
2013/0796/FUL	08/04/2014	Chapel Farm, Cottesmore Road.	Cottesmore	£10,271	£500	£500	£0	£9,771	£9,771
APP/2011/0473	10/04/2014	Magna House, Lyndon Road	Hambleton	£34,314	£673	£673	£0	£33,641	£33,641
2011/0955/FUL	11/04/2014	17a Bowling Green Lane	Market Overton	£29,478	£578	£578	£0	£28,900	£28,900
APP/2011/0635	24/04/2014	Gunthorpe Farm, Hall Lane	Gunthorpe	£20,854	£0	£0	£0	£20,854	£20,854
2013/0462/FUL	20/06/2014	Land at Fishponds Cottage, Stamford Road	Oakham	£11,462	£500	£500	£0	£10,962	£10,962
2014/0118/FUL	22/09/2014	Land at 6 Whitwell Road	Whitwell	£36,556	£500	£500	£0	£36,056	£36,056
2013/0980/FUL	24/11/2014	1 Pinfold Lane, South Luffenham	Oakham	£27,833	£0	£0	£0	£27,833	£27,833
APP/2013/0802	07/02/2014	South Lodge, The Wisp replaces 0948	Braunston	£63,025	£222	£222	£0	£62,803	£62,803
2013/0541/MAJ	26/02/2014	130 Braunston Road	Oakham	£79,756	£2,357	£2,357	£0	£77,399	£77,399
Total of S106 Less than 10				£513,335	£11,401	£11,401	£0	£501,934	£501,934

Movement in Transfers to / (From) Earmarked Reserves

Earmarked Reserve	Reason for Movement	Q2 Transfer to/(From) £'000	Q3 Transfer to/(From) £'000	Difference £'000
People				
Invest to Save Fund	To cover staff Redundancies	(77.2)	(95.9)	(18.7)
Public Health Grant	Transfer of forecast under spend	101.3	130.3	29.0
Adoption Reform Grant	Transfer of balance of grant to fund fixed 2 year post	81.0	72.2	(8.8)
Health & Social Care Earmarked Reserve	Reduction in use of reserve to fund Joint Integrated Care Project	5.5	15.0	9.5
Health & Social Care Earmarked Reserve	Reduction in use of reserve to fund children's workforce development	0.0	14.5	14.5
Health & Social Care Earmarked Reserve	reduction in use - no longer required to fund Transformation Costs	0.0	21.3	21.3
S106/Sale of Jules	Removal of funding for the Youth Housing Project	0.0	49.2	49.2
Total People Directorate		110.6	206.6	96.0
Places				
Budget Carry Forward Reserve	Reduction in usage for Digital Rutland	6.0	9.3	3.3
Commuted Sums	Transfer for Amenity Grass Cutting	(35.0)	(33.4)	1.6
Budget Carry Forward Reserve	Transfer for expenditure on Tourism	(4.4)	0.9	5.3
Budget Carry Forward Reserve	Transfer to Reserves for Oakham Enterprise Park	12.2	11.7	(0.5)
Budget Carry Forward Reserve	Use of the DCLG Frontrunners Grant to fund Neighbourhood Plans	(13.9)	11.0	24.9
Planning Delivery Grant	Reduction in usage of grant in 2014/15	(10.0)	(9.4)	0.6
Total Places Directorate		(45.1)	(9.9)	35.2
Resources				
Review of People Directorate	Transfer of forecast underspend to Invest to Save Reserve	0.0	53.4	53.4
Training	Transfer of forecast underspend on budget	20.0	50.1	30.1
Financial Crisis Management	Reduced usage of reserve	(2.0)	7.0	9.0
Risk Management	Transfer of income to support future years risk management	0.0	1.8	1.8
Total Resources Directorate		18.0	112.3	94.3
Total All Directorates		83.5	309.0	225.5

CABINET

17th February 2015

PERFORMANCE MANAGEMENT REPORT – QUARTER 3 2014/2015

Report of the Chief Executive

STRATEGIC AIM:	All		
KEY DECISION	No	DATE FIRST APPEARED ON FORWARD PLAN:	December 2014

1. PURPOSE OF THE REPORT

- 1.1 To report to Cabinet on the Council's Performance for the third quarter of 2014/15.

2. RECOMMENDATIONS

- 2.1 **That the overall position in relation to performance for the year 2014/15 is noted.**

3. BACKGROUND

- 3.1 This is the third quarterly Corporate Performance Management report of 2014/15, highlighting performance for the year to date. It is intended to update Cabinet on performance:

- Against our strategic aims and objectives;
- Of the Customer Services team;
- On the sickness absence targets; and
- On Safeguarding

It is also intended to provide an update on a number of projects that the Authority is involved in delivering; this information is provided in the Project Update appendix to the report (**Appendix E**)

- 3.2 Financial reports for Quarter 3 are to be considered on the same Cabinet agenda.

4. OVERALL SUMMARY

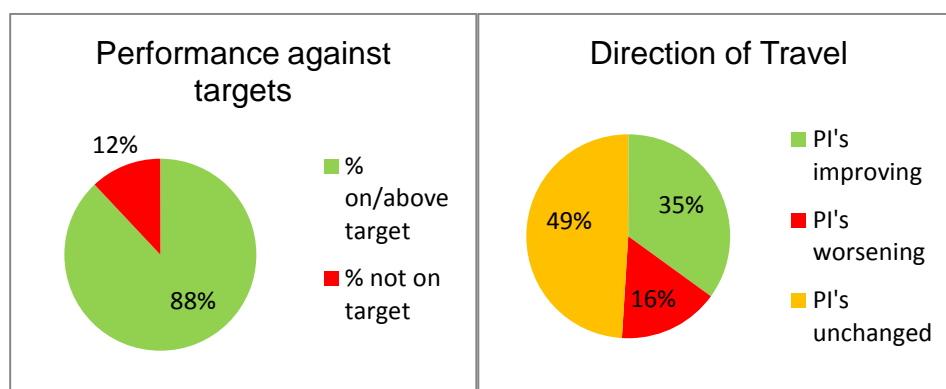
- 4.1 This report brings together an update on progress across a number of areas:

Performance against our Corporate Aims and Objectives

- 4.2 **Appendix A** contains detailed information on the Council's performance in relation to a number of local and statutory indicators covering the Council's Aims and Objectives, summarised below.

Overall Performance Summary

The performance against targets graph represents how many indicators are currently above and below target. The direction of travel graph shows a comparison with the previous quarter, giving an indication of how much of an improvement has been made.



Corporate Health

- 4.3 This is the fifth successive quarter showing improvement in responses to FOI request (LI004 % of FOI requests replied to within 20 days)

The table below shows how performance has improved over the last two financial years, the Corporate Support team are continuing to analyse delays in the process with a view to further improving performance as the current year progresses.

Quarter	No of FOI Requests	Completed on time	Quarter %	Cumulative %
1 13/14	173	124	71	71
2 13/14	166	32	19	46
3 13/14	212	132	62	52
4 13/14	295	249	84	63
1 14/15	323	291	90	90
2 14/15	244	224	91	91
3 14/15	240	224	93	92

The Council introduced a new Compliments, Comments and Complaints Procedure on January 1st 2015. Summary performance information will therefore be included in future Quarterly Performance Reports.

Delivering Council Services within our MTFP

Q3	6	2	1
Q2	8	1	0

- 4.4 For a third successive quarter, all draft minutes have been issued within 5 days of meetings (LI032). One agenda (LI033) was late during Q3 as a result of late reports holding up publication of the agenda. As the table below shows, this continues the good performance that has been seen throughout this year.

	Q1 Performance	Q2 Performance	Q3 Performance
LI031 – Agendas	100%	100%	95%
LI032 – Minutes	100%	100%	100%

Creating a Brighter Future for All

Q3	14	2	0
Q2	14	1	1

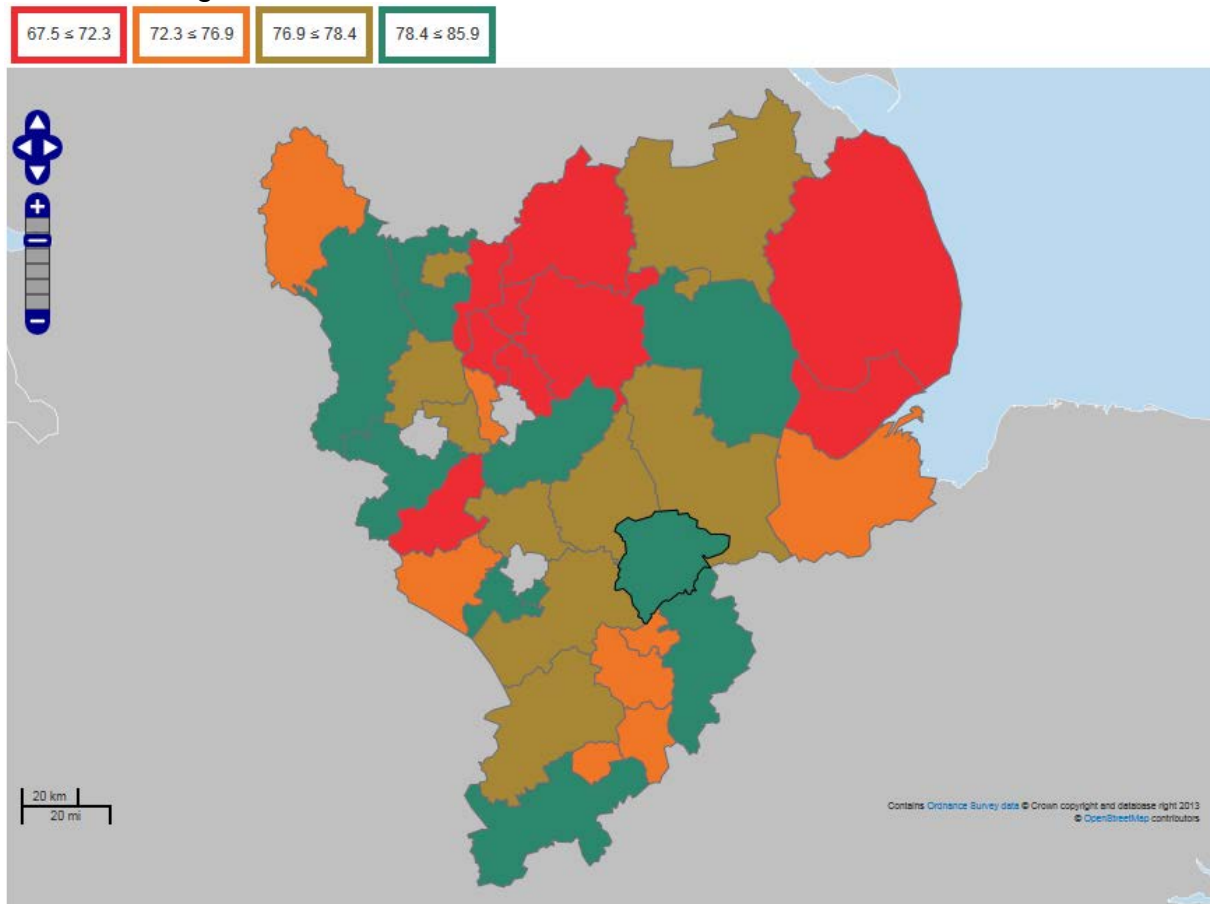
- 4.5 Further improvement in the new single assessment process has been seen during Quarter 3, with 75% of single assessments now completed within timescales (up from 40% in Q1 and 73% in Q2).

A review of the single assessment format has taken place and a working group is now underway to restructure the form itself to ensure it is less cumbersome. This form will be presented to the operational managers performance meeting on the 17th February with the aim for it to be operational by the 1st March. This will enable staff to complete the form in the right time scales and provide more accurate reporting via our social care case management system, RAISE. All operational performance is now measured through compulsory weekly performance managers meetings. Team Managers are now on track to complete a monthly performance report which will be submitted to the performance board.

79.0% of the working age population of Rutland are currently in employment (PI151), showing no change on the last quarter. The table below compares the overall employment rate in Rutland with a number of our statistical neighbours.

Local Authority	Overall Employment Rate
West Berkshire	82.9%
Central Bedfordshire	80.4%
Rutland	79%
Wiltshire	77.6%
Cheshire East	74.9%
Cheshire West	73.1%
Bath and NE Somerset	72.8%

The map below shows the overall employment rate across the East Midlands at the end of Q3, with authorities above 78.5% shown in green, Rutland is marked with a black border.



Creating a Safer Community for All

Q3	2	0	0
Q2	2	0	0

- 4.6 There have been 16 people killed or seriously injured on our roads so far this year (PI047). Of these 5 have been fatalities which all occurred during Quarter 3. Detailed reports on these incidents are still awaited from the Police.

Building our Infrastructure

Q3	4	0	1
Q2	3	0	2

- 4.7 21 affordable homes have been delivered (PI155) so far this year, against a target of 33. Whilst this is currently below target, it is estimated a further 55 affordable homes are projected to be completed during the fourth quarter of the year, 14 of which had already been completed by the end of January.

Processing of major planning applications (PI157a) has dropped to 61.5% in Q3 (compared to 75% in Quarter 2), however when extensions agreed with applicants are taken into account (which is how central government are now going to be measuring this going forward), 92.3% of major applications have been completed within agreed timescales.

Processing of minor (PI157b) and other (PI157c) applications rose again, with 82.8% of minor applications and 92% of other applications completed in a timely manner.

Meeting the Health and Wellbeing Needs of the Community

Q3	8	1	3
Q2	7	1	4

- 4.8 The percentage of urgent Occupational Therapy referrals assessed within one week (L1134) has stayed at 100% this quarter. High and medium referral assessments have also improved for a second quarter although both are still below target (with high moving from 49% to 56% in the previous quarter against a target of 75% and medium up to 48% from 36% in the previous quarter against a target of 80%).

Extra staff has been brought in to assist with clearing this backlog and further improvements in performance are expected to be delivered during the year.

For the third quarter in a row, all hospital discharges have been assessed within timescales (L1107), representing good performance in this area.

The Public Health Dashboard has been updated, to include a column ranking us against our comparator authorities for each indicator. This is because, given Rutland's generally good health, it is appropriate to challenge ourselves to be better than our comparator local authorities, not just the national average.

For a number of indicators trend data is currently unavailable as we currently only have 1 or 2 years data. As Public Health supply us with more data, trend analysis will be added where appropriate.

The current dashboard of these indicators, showing this and comparison against national averages and current status is shown as **Appendix D**. Work will now continue with the NHS to ensure that moving forward data is updated in a timely fashion.

Creating a Sustained Environment

Q3	3	0	0
Q2	3	0	0

- 4.9 Estimated recycling rates (PI192) remain above our 61% target at 62.84%. Household waste figures (PI191 representing the number of kilograms of household waste collected per household) at 121.65kg per household are below rates from the same period last year when it was 128kg.

Sickness Monitoring

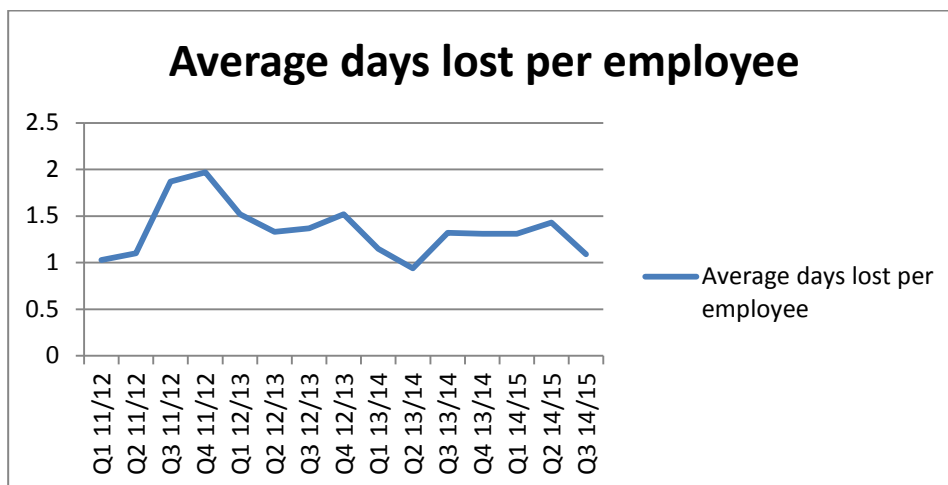
- 4.10 The following table summarises the sickness monitoring information for 2013/14:

	Days lost through Sickness	Number of employees	Days lost per employee	Days lost per month
Q3 2014/15	494	456	1.08	165
Q2 2014/15	662	462	1.43	221
Q1 2014/15	628	478	1.31	209
Q4 2013/14	649	495	1.31	216
TOTAL	2433	473	1.29	203

Following 3 fairly stable quarters, the average days lost per employee has dropped to 1.08 in Q3 (from 1.43 in the preceding quarter. This is primarily due to a substantial drop in the number of long term sickness instances reported, from 14 in Quarter 2 to only 5 in Quarter 3. The tables below show the number of short and long term instances of sickness in the last three quarters:

	Total Sickness Occurrences	Long Term	Short Term
Quarter 1	121	10	111
Quarter 2	89	14	75
Quarter 3	105	5	100

The chart below shows average days lost per employee over the last three years, and shows that it has been steadily declining over that period from an average of 1.49 days per quarter in 2011/12 to 1.19 in the previous year (13/14).



The total number of days lost per employee for the last four quarters (5.13 days) is lower than the national average for Local Government employees of 8 days.

More detailed information relating to sickness is contained in **Appendix A**.

Customer Services

- 4.11 Compared to the same time last year call and email volumes have remained fairly static, however enquiry numbers have dropped substantially.

The daily averages for CST for Quarter 3, when compared to the same time last year were as follows:

	Daily Average	
	Q3 2014/15	Q3 2013/14
Calls	288	297
Enquiries	67	105
Emails	49	49

Call volume figures contain those calls dealt with directly by Customer Services, calls that are forwarded through to other departments for resolution and general switchboard calls.

Detailed performance information for Customer Services is contained in **Appendix B**.

Safeguarding

- 4.12 The quarterly safeguarding report is now included as an appendix to this report. This report provides an overview of safeguarding activity in Rutland and aims to highlight good practice and identify areas for development/improvement.

More detailed information is contained in **Appendix C**.

Outstanding Audit Recommendations

- 4.13 At the end of Quarter 3 there were 27 outstanding audit recommendations, 7 of these were overdue for implementation. None of these are classified as high priority based on work completed to date. Internal Audit has been provided with assurance that work is in progress in all cases.

5. CONCLUSIONS

- 5.1 Overall performance based on activity in the third quarter is satisfactory.

6. RISK MANAGEMENT

RISK	IMPACT	COMMENTS
Time	Low	Performance is reported as soon as possible after the end of the Quarter.
Viability	Low	Not relevant in this context.
Finance	Low	There are no financial implications.
Profile	Medium	There is some public interest in the detailed breakdown of overall performance.
Equality and Diversity issues	Low	None identified in relation to the contents of this report, although issues are considered in the planning and delivery of specific services.

Background Papers

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A Large Print or Braille Version of this Report is available upon request – Contact 01572 722577.

Rutland County Council
Quarterly Performance Report
Quarter 3
2014/15

Corporate Health Summary

All sickness absence information is collected and stored in the Agresso HR/Finance system including reasons for absence. Sickness information is reported, recorded and managed through the current policy and procedures, with support from Human Resources where this becomes necessary. Return to work interviews are held after each sickness absence instance and these provide a record of the management process.

The table below shows the number of days lost by each directorate in Quarter 3, expressed as total days per directorate and days lost per employee.

Directorate	Days lost through Sickness	Headcount as at 1 st October 2014	Headcount as at 31 st December 2014	Average	Days lost per employee
PEOPLE	372	229	226	227.5	1.64
PLACES	60	138	140	139	0.43
RESOURCES	62	90	88	89	0.70
TOTAL	494	457	454	455.5	1.08

In Quarter 3, the average number of days lost has decreased to 1.08 (from 1.43 in the previous quarter).

Quarter 3: Long term and short term sickness

The table below shows the incidence of short and long term sickness absence within the Council for Quarter 1. Long term sickness is defined as more than 20 working days, and short term sickness is defined as 20 working days or less. Data shown is for the number of occurrences, (each non-continuous sickness period).

Directorate	Total Occurrences	No of employees	Long Term	Short Term
PEOPLE	55	51	5	50
PLACES	23	20	0	23
RESOURCES	27	20	0	27
TOTAL	105	91	5	100

Comparison

The table below compares the sickness for quarter 3 of 2014/15 to that of the previous 3 quarters.

Year	Days lost through Sickness	Average No of employees	Days lost per employee	Days lost per month
Q3 2014/15	494	456	1.08	165
Q2 2014/15	662	462	1.43	221
Q1 2014/15	628	478	1.31	209
Q4 2013/14	649	495	1.31	216
QTR AVERAGE	608	473	1.29	203

Corporate Health Indicators

2 indicator is currently above target

2 indicators are on target

0 indicator currently not meeting target







Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
LI001 - % of invoices paid on time (30 calendar days from receipt)	97%	95.7%	A	95.7% of invoices were paid within 30 days of receipt during Quarter 3, an increase on the previous quarter (92.3%)
LI003 - % of audits to be delivered by year end	90%	90%	G	Interim arrangements are in place and extra resource is being commissioned so that the audit plan can be substantially delivered by year end. Estimate based on contract with LGSS.
LI004 - % of FOI requests replied to within 20 days	100%	92%	A	The percentage for dealing with FOI requests within the statutory timescale continues to improve. We are now analysing data to identify where any delays have occurred with a view to improving the percentage rate further.
LI005 – Average number of days to respond to Ombudsman complaints	28 days	2 days	G	No new complaints have been received during Quarter 3.
LI006 – The % of the RCC workforce who are female	-	76.2%		
LI007 – The % of the RCC workforce who are aged 16-24	-	2.2%		
LI008 – The % of the RCC workforce who are aged over 65	-	6.17%		
LI009 – The % of the RCC workforce who are members of an ethnic minority	-	1.54%		
LI010 – The % of the RCC workforce who are disabled	-	3.7%		




Delivering Council Services within our MTFP

7 indicators are currently above target

2 indicators are on target









1 indicator currently not meeting target






Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
LI020 - % of Council Tax received	87.7%	88.4%		Council Tax recovery levels have been maintained despite the introduction of Local Council Tax Support whereby some people are paying the council for the first time.
LI021 - % of NNDR received	87.7%	87.9%		Business rates recovery is broadly in line with target.
LI022 – Benefits claims – speed of processing	22 days	21.6 days		All claims during Quarter 3 were processed within an average 22 days.
LI024 – Issue monthly financial reports within 4 days of month end	100%	100%		All management reports issued within agreed timescales
LI025 – Statement of accounts produced by 30 th June each year	Achieved			The Statement of Accounts was produced and published by the 30 th June and approved by the Audit and Risk Committee on the 9 th September 2014.
LI029 - % of sundry debt recovered	90%	72%		72% of sundry debt has been recovered during the year. There is one large invoice (in excess of £500k) relating to the Better Care Fund where the Council has recently provided information to allow for the payment to be released. If payment of this invoice was taken into account then the % collected would be in excess of 90%.




Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
LI031 - % of agendas and reports published 5 days before meetings	100%	98%		20 agendas and reports were due during Quarter 3, with one late due to late reports being received which held up the publication of one agenda.
LI032 - % of draft minutes issued within 5 days of the meeting (or 2 days in respect of cabinet record)	100%	100%		There were 21 scheduled meetings during Quarter 3, and minutes were delivered on time for all of these.
LI033 - % of priority 1 faults closed within SLA	95%	93%		There were 8 priority 1 calls in Quarter 3. 7 of these were closed within SLA. The one outside of SLA related to issues with printer queues where the team had to wait for all the queues to ensure the problem had been resolved before closing the call.

Creating a brighter future for all – Overall Performance

14 indicators are currently above target	1 indicators are on target	1 indicator currently not meeting target
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Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
PI060 – Percentage of single assessments for children’s social care carried out within 35 days of commencement	80%	75%		The drift in completing single assessments is mirrored nationally. The SA tool has been implemented this financial year and some delays have resulted from one family with multiple siblings. Performance has improved since April (performance at end of Q1 was 40%) and further work is underway to address teething and performance issues.
PI062 – Stability of placements for looked after children: number of moves	6%	0%		No LAC children have had 3 placement moves or more during the period.
PI064 – Child protection plans lasting 2 years or more	5%	0%		No change on previous quarters, there are currently no child protection plans lasting more than 2 years.
PI065 – Percentage of children becoming the subject of Child Protection plans for a second or subsequent time within the previous two years	5%	0%		No children have been subject to a second or subsequent CP plan in the previous two years.
PI066 – Looked after children cases which were reviewed within required timescales	100%	100%		All Looked After Children reviews have been completed within timescales.
PI067 – Percentage of child protection cases which were reviewed within required timescales	100%	100%		All children subject to a CP plan have been reviewed within timescales within Q3
PI068 – Percentage of referrals to children’s social care going to assessment	75%	76.5%		There have been 179 referrals to children’s social care during 2014-15.
PI103 – Special Education Needs (SEN) statements issued within 26 weeks	100%	100%		All statements completed within statutory target so far this year.

Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
PI109 – Delivery of Ofsted Action Plan for children’s centres	100%	100%		Work ongoing to deliver Action Plan, currently on target.
PI151 – Overall employment rate (working age)	79.7%	79%		18,100 (79%) of the working age population of Rutland are currently employed. In comparison, the average across the East Midlands is 73%
PI152 – Working age people in receipt of benefits	7.3%	5.9%		5.9% (1,330) of the working age population are currently receiving benefits. This breaks down as follows: 190 claiming Job Seekers Allowance 590 claiming ESA and Incapacity Benefits 100 lone parents 170 carers 30 on other income related benefits 210 disability 50 bereaved
LI085 – Percentage of NEET (Not in Employment, Education or Training) performance for Rutland	2%	0.9%		This is the percentage of 16-18 year olds not in education, employment and training (NEET). There are currently only 8 individuals who are NEET, who are being offered support through services targeting this group.
LI126 – Youth provision participation	450	605		Service currently reaching a large cohort of young people and performing well above target.

Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
LI128 – Number of children, young people and their families accessing Team 1 services	799	2109		Target reached. Overall figure: 2109, broken down as follows 1047 adults (now attending 2 sessions or more in Children Centres) 871 Children (now attending 2 sessions or more in Children Centres) 30 Changing Lives 115 Aiming High 46 CAF
LI147 - % of foster carers recruited per year	2	100%		Target was to recruit a minimum of 2 foster carers during the year, this has been achieved.
LI163 – Percentage of payments by results claimed for targeted Troubled Families	50%	70%		We have made a claim for 70% of our 30 targeted families.



Creating a safer community for all

– Overall Performance

2 indicators are currently above target






0 indicators are on target

0 indicator currently not meeting target

Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
PI047 – People killed or seriously injured in road traffic accidents	23	16		Of these 5 have been fatalities, which all occurred during Q3.
PI048 – Children killed or seriously injured in road traffic accidents	3	0		There have been no child injuries during Quarter 3.

Building our infrastructure – Overall Performance

4 indicators are currently above target	0 indicators are on target	1 indicators currently not meeting target
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Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
PI154 – Net additional homes provided	78	144		There were 63 house completions for the period from 1 st October – 31 st December
PI155 – Number of affordable homes delivered.	33	21		21 affordable homes completed in the first 9 months, with 6 of these delivered in the last quarter in North Luffenham. In quarter 4, a further 55 homes are forecast to complete in Uppingham and Oakham, of which 14 had been completed by the end of January.
PI157(a) – Processing of planning applications – Major Applications	60%	61.5%		The Government now measures this taking into account agreed extensions of time with applicants. On this measure performance is 92.3%
PI157(b) – Processing of planning applications – Minor Applications	65%	82.8%		Well above target
PI157(c) – Processing of planning applications – Other Applications	80%	92%		Well above target









Meeting the health and wellbeing needs of the community – Overall Performance

8 indicators are
currently above
target

1 indicator is on
target

3 indicators
currently not
meeting target

Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
LI107 – Hospital discharges are safe and effective with patients assessed within timescales	80%	100%		Continued good performance in this area, with all discharges assessed within timescales for the third quarter in a row.
LI108 – Success rate for joint and fully funded CHC (continuing health care) cases	50%	93%		Ongoing work with health and care providers continues to show improvements.
LI111 - % of carers signposted to developed non-statutory services following carers assessment	80%	100%		All carers assessed during Quarter 3 were signposted on to established non statutory services as appropriate.
LI127 – Child poverty in Rutland	9%	8.4 %		<p>Currently at 8.4% overall. Internal and external partner pledges have now been approved and published to support the Strategy.</p> <p>Child poverty in Rutland is still significantly below the national level which currently stands at 20.6%. Rutland is the third least deprived local authority area on this measure. In addition to this, new target areas have been identified which has helped to direct services more specifically. Therefore, although the poverty data still indicates low numbers of children (and their families) in poverty, services are able to target those groups that are most in need of them.</p>




Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
LI130 – Households prevented from becoming homeless	24	9		Homeless preventions are down for the second quarter running due to less people presenting. Whilst we are aiming to increase preventions, a general downturn along with small homeless numbers is positive.
LI134 – % of urgent OT referrals assessed within 1 week	80%	100%		All urgent assessments completed on target again this quarter.
LI135 – % of high priority OT referrals assessed within 28 days	75%	56%		For December 2014, 100% of assessments were completed within timescales. The impact of staff vacancies earlier in the year continues to affect the cumulative performance.
LI136 – % of medium priority OT referrals assessed within 4 months	80%	48%		For December 2014, 100% of assessments were completed within timescales. The impact of staff vacancies earlier in the year continues to affect the cumulative performance.
LI137 – % of high/urgent need cases where work has commenced within a year	75%	84%		For December 2014, 100% of assessments were completed within timescales. The impact of staff vacancies earlier in the year continues to affect the cumulative performance.
LI138 – % of reablement service users not requiring an on-going commissioned service	45%	62%		Service performance continues to improve.
LI164 – % of people with a Learning Disability or Mental Health condition in receipt of a Personal Budget	70%	68%		No change on Q2 performance (68%).
LI172 – % of Safeguarding Adults referrals screened within one working day	80%	100%		During Q3, all safeguarding referrals were screened within timescales.

Creating a sustained environment – Overall Performance

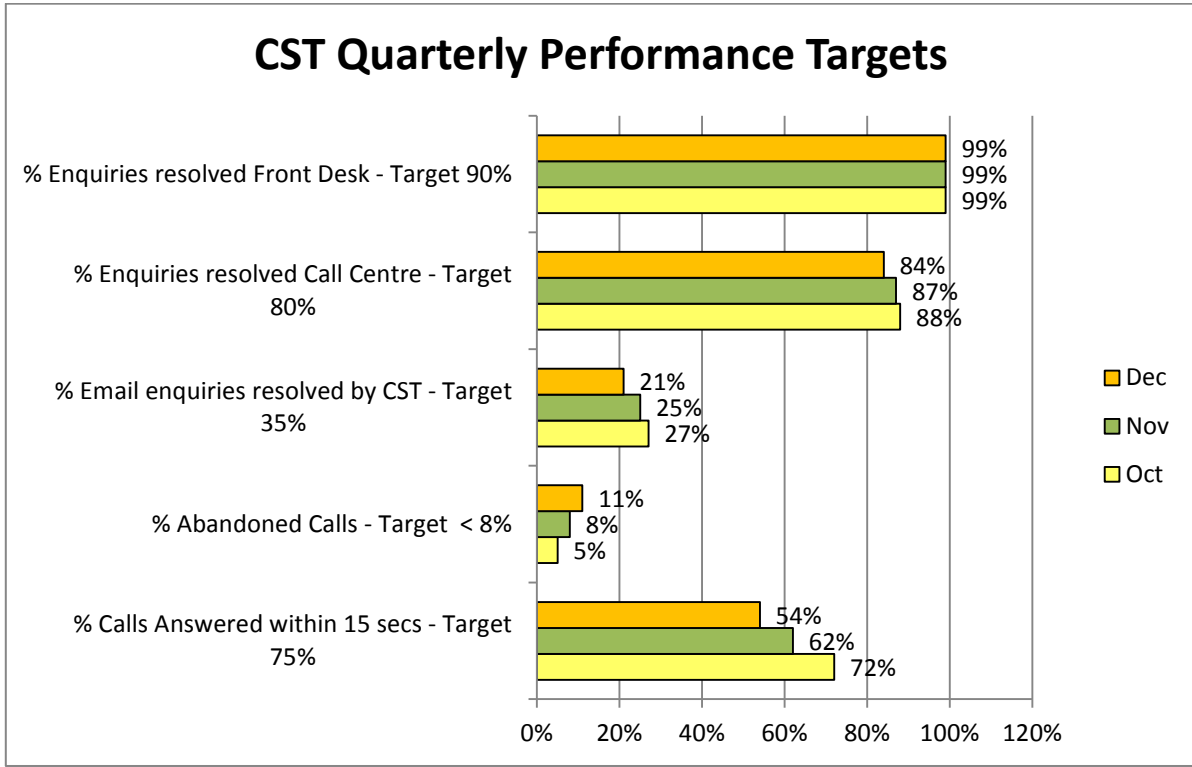
3 indicators are currently above target

0 indicators are on target

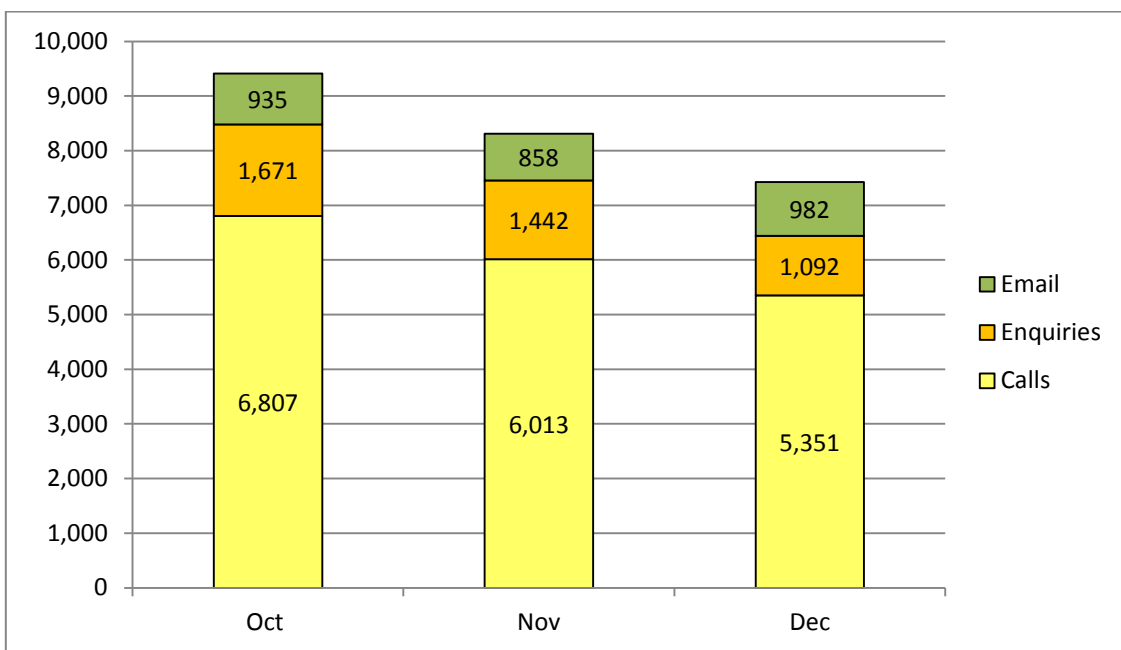
0 indicators currently not meeting target

Indicator	Target	Cumulative Year to Date	RAG Rating	Comments
PI191 – Residual household waste per household	130	121.65		Based on estimated figures for Q3.
PI192 – Percentage of household waste sent for reuse, recycling and composting	61%	62.84%		Based on estimated figures for Q3.
PI193 – Percentage of municipal waste land filled	5%	1.27%		Based on estimates figures for Q3.

CST Quarter 3 Performance



Volumes

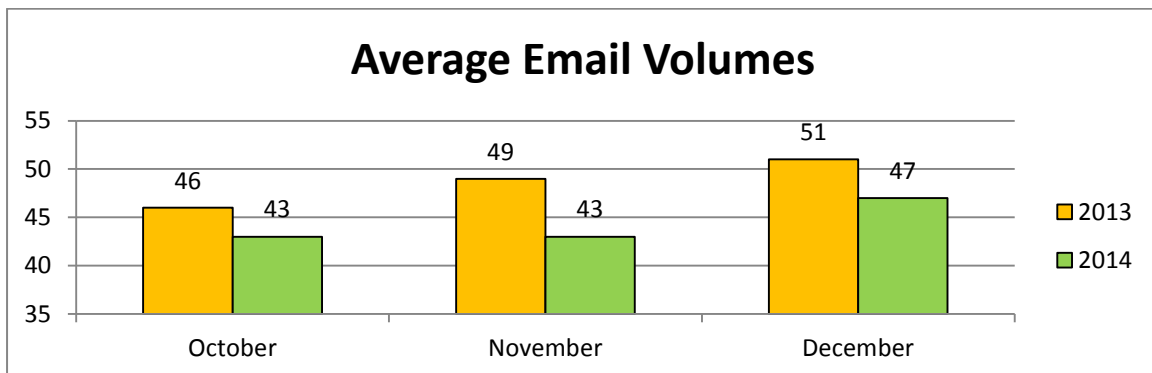
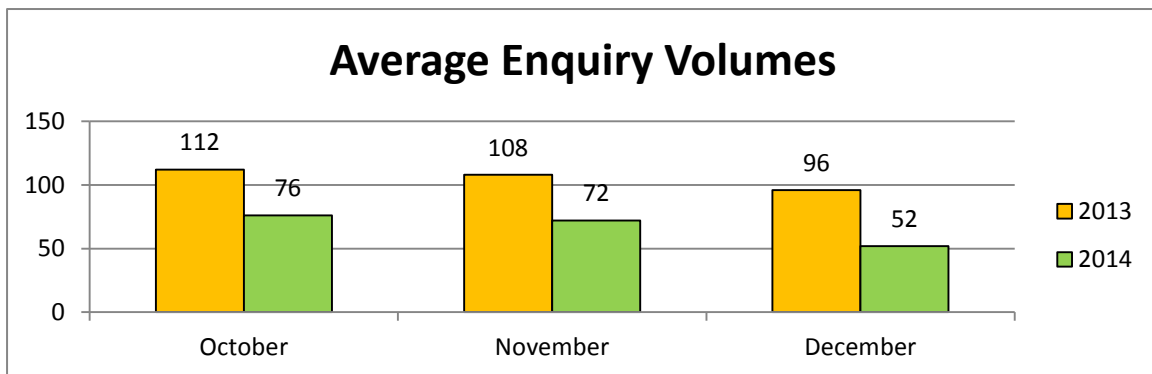
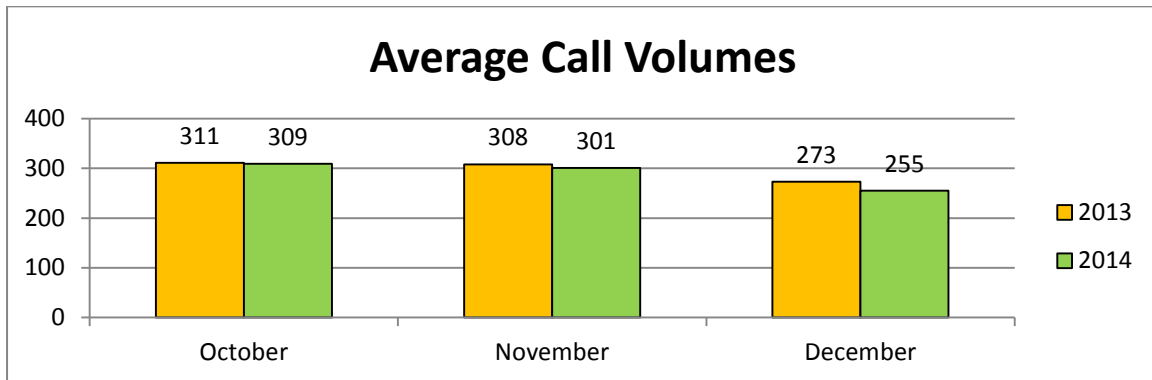


Volumes – Daily Average

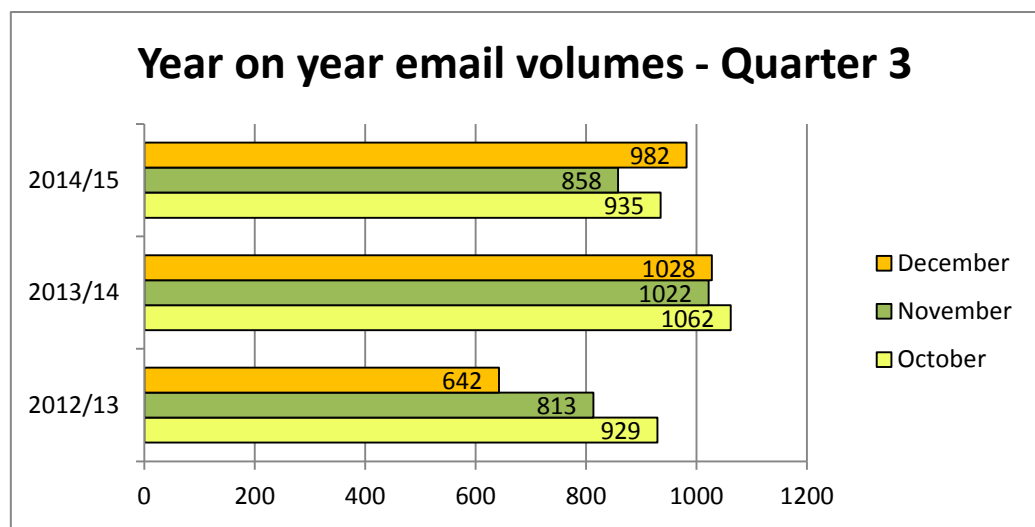
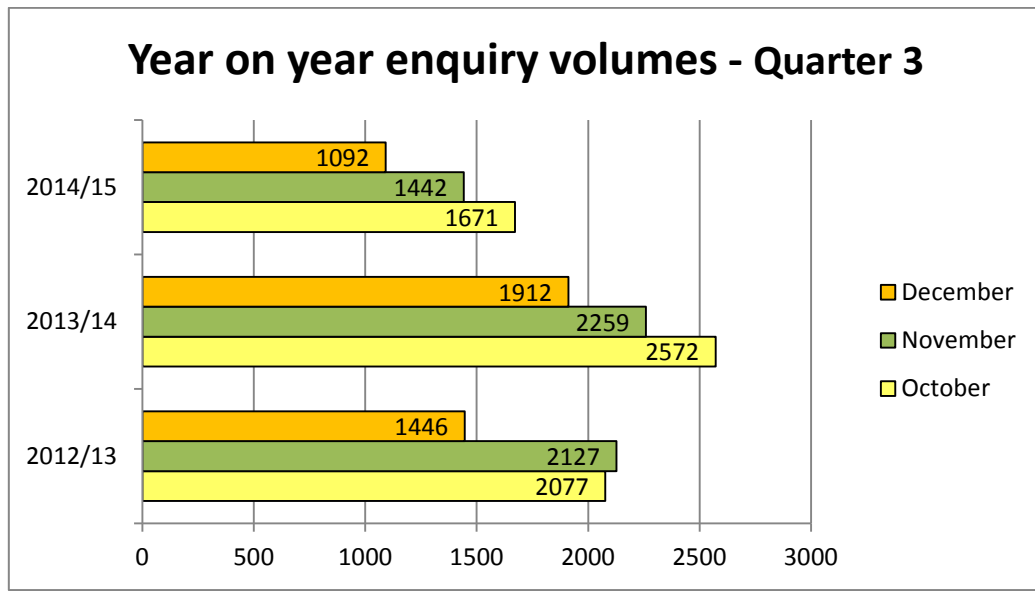
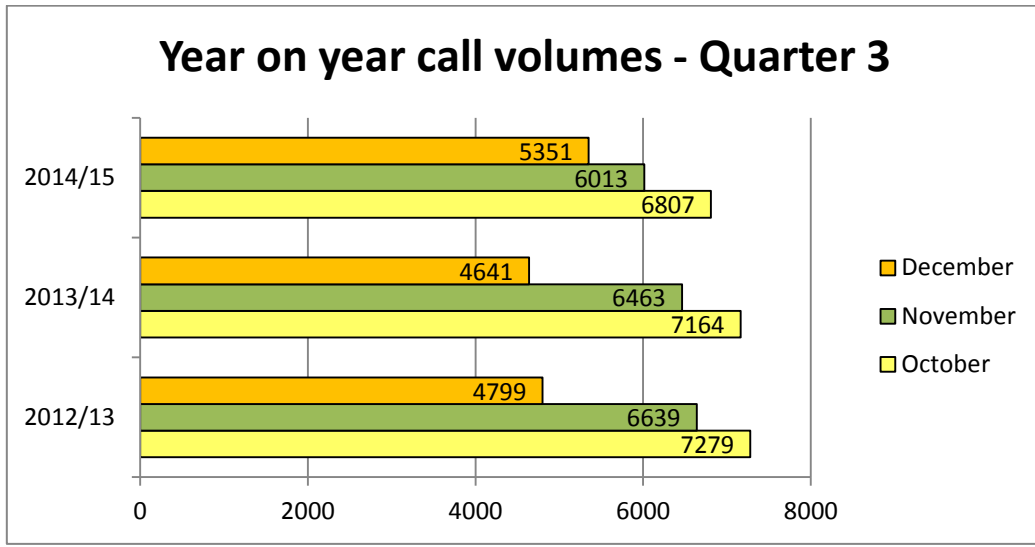
Compared to the same time last year (see below) call and email volumes have remained fairly static. Enquiry numbers have decreased significantly when compared to the same period last year, with December alone showing a 45% decrease.

Volumes – Daily Average comparison

The charts below show a comparison of the daily average volumes with the same period last year.















Year on Year Volumes – Q3 2014/15



GovMetric Q3 2014/15

GovMetric Summary

				Overall Rating
Face to Face				 Good
No. of respondents	377	40	67	
%age of respondents	78%	8%	14%	
Telephone				Overall Rating
				 Good
No. of respondents	151	5	1	
%age of respondents	97%	3%	1%	
Web				Overall Rating
				 Average
No. of respondents	204	25	78	
%age of respondents	66%	8%	25%	

Of the respondents who left feedback on the website, 32 left comments:

- 8 related to roads and transport, and reported difficulty in finding information about road closures or information being out of date.
- 3 were positive feedback on the adult safeguarding section, stating that it was easy to read and gave good information..
- 13 were related to general information on the website, highlighting areas where the information was out of date or where it wasn't relevant and where links didn't work.
- 1 was positive feedback on the Admissions section, that the process is nice and simple.
- 2 were positive feedback about the Libraries and Museums section.
- 2 were positive feedback about the Registrars section and how easy to find information was.
- 3 were positive feedback on the waste and recycling section and how the information was useful and also helped someone with a school PowerPoint on reuse!

This feedback will be followed up with the relevant departments so the website can be improved where applicable.

APPENDIX C SAFEGUARDING

Context

This report combines adult and children's safeguarding data and analysis and provides an overview of safeguarding activity in Quarter 3 of 2014/15. It aims to highlight good practice and identify areas for development/improvement which will be incorporated into delivery plans for the relevant service areas. The children's data (except for the re-referral information) is shared with partners as required by the Local Safeguarding Children's Board (LSCB) performance scorecard.

CHILDREN & YOUNG PEOPLE UPDATE

Early Intervention

There were 16 new Common Assessment Frameworks (CAF's) opened in Quarter 3, 4 of which were referred by Social Care, representing 25% of the total number of CAF for the quarter.

Rutland	Q1	Q2	Q3	Q4	Total	Reporting Frequency
Number of new CAF's	23	20	16		23	Quarterly
Number/Proportion of Children's Social Care referrals that result in a CAF*	6	9	4		15	Quarterly
	11.3%	4.7%	3%			

*The proportion of referrals resulting in a CAF is calculated on referrals only, not referrals/contacts

Contact referral and assessment

- There was a 1% decrease in contacts this quarter (190 as opposed to 192 in quarter 2). Of those contacts, 39% (74) went on to referral compared to 27% (52) last quarter.
- The timeliness of assessments continues to be good at 100% within 10 days.
- There were 22 section 47 enquiries during quarter 3.

	Q1	Q2	Q3	Q4	Total/ Cumulative	Reporting Frequency
Number of contacts to Children's Social Care (include referrals)	162	192	190		544	Quarterly
Number of referrals to Children's Social Care	53	52	74		179	Quarterly
Number of referrals made by EDT/Out of Hours Team (including those that were recorded as contacts only)	0	1	4		5	Quarterly
Number/Percentage of Single Assessments carried out within 40 working days	2	61	33		96	Quarterly
	40%	73.2%	75%			
Number of strategy discussion meetings	7	17	21		45	Quarterly
Number of S47 enquiries	7	12	22		41	

Child Protection

- There were 32 child protection plans at 31st December 2014. This is a 10% increase on Quarter 2.
- The largest category of abuse for CP plans at end of December 2014 was multiple, which represented 62% of all plans, followed by emotional at 19%.
- Of the children with a CP plan for 3 months or more at 31st December 2014, 100% had been reviewed within timescales (PI 67).
- Of children with a child protection plan at 30th June 2014, the largest age group with 12 children was the 0 to 4 age group (representing 37.5%) followed by age 10 to 15 representing 34%. 53% of children with CP plans at the end of June 2014 were male, 47% were female. 88% of children subject to plans were White British.

	Q1	Q2	Q3	Q4	Cumulative	Reporting Frequency
Number of children subject to a CP Plan	26	29	32		n/a	Quarterly
Number/Rate in each Category of Abuse						
Neglect	7	4	5		n/a	Quarterly
Physical	3	0	0		n/a	
Emotional	1	6	6		n/a	
Sexual	4	1	1		n/a	
Multiple	11	18	20		n/a	
White	25	27	28		n/a	Quarterly
Mixed	0	0	0		n/a	
Asian	0	0	0		n/a	
Black	0	0	0		n/a	
Other	0	0	0		n/a	
Undetermined	1	2	4		n/a	
Unborn	0	0	1		n/a	Quarterly
0 - 4	14	11	12		n/a	
5 - 9	3	6	7		n/a	
10 - 15	9	12	11		n/a	
16+	0	0	1		n/a	
Male	11	15	17		n/a	Quarterly
Female	15	14	14		n/a	
Unborn	0	0	1		n/a	
Percentage of CP cases which were reviewed within required timescales	100%	100%	100%		100%	Quarterly Target - 100%
Number of CP cases allocated to a Social Worker	100%	100%	100%		100%	Target - 100%

Looked After Children

Rutland	Q1	Q2	Q3	Q4	Cumulative	Reporting Frequency
Number of Looked After Children	37	32	33		n/a	Quarterly
Ethnicity of LAC						
White	27	28	31		n/a	Quarterly
Mixed	2	1	1		n/a	
Asian	0	0	0		n/a	
Black	4	1	1		n/a	

Other	2	2	0		n/a	
Undetermined	0	0	0		n/a	
0 - 4	12	13	11		n/a	
5 - 9	7	6	10		n/a	
10 - 15	10	9	8		n/a	
16+	7	4	4		n/a	
Male	15	14	18		n/a	
Female	22	18	15		n/a	
Percentage of LAC at period end with 3 or more placements	0%	0%	0%		0%	
LAC cases which were reviewed within required timescales	100%	100%	100%		100%	
Stability of placements of LAC: length of placement						

ADULTS UPDATE

Safeguarding Adults Data Collection

86 alerts were received in Q3. The number of community alerts has increased to the extent that it was higher than residential alerts. This is the result of improved communication and clarity of roles between commissioners and the Contract's Team who investigate compliance issues. 10 of this number resulted in the implementation of the Safeguarding Adults Procedures. The writer is reporting on 9 due to one case being locked down. Robust and consistent application of the Threshold Criteria is still contributing to the reduction of alerts that meet the criteria for Adult Safeguarding Investigations. The Senior Practitioner is screening all enquiries with a Social Worker from the Duty and Assessment Team which assists with improving an overview of Safeguarding Activity. This process is now embedded in the RCC process of reporting a safeguarding concern which explains the rapid increase.

Location of alleged abuse	Q1	Q2	Q3	Q4	Total	Reporting Frequency
Community	10	22	40			Quarterly
Residential	18	25	46			Quarterly
Unknown	0		0			Quarterly
Source of Referral for all Alerts	Q1	Q2	Q3	Q4		
Primary Health Care	0	1	1			
Secondary Health Care	5	1	8			
Adult Mental Health Setting	0	0	0			
Residential	6	16	17			
Day Care	0	0	6			
Social Worker/Care	5	12	15			

Manager				
Self-Directed Care Staff	0	0	0	
Domiciliary	1	2	9	
Other Care Workers	0	0	0	
Self	0	0	1	
Family Member	7	8	13	
Other Service User	0	0	0	
Friend/Neighbour	0	1	5	
Care Quality Commission	3	3	3	
Housing	1	2	4	
Education	0	0	0	
Police	0	0	1	
Other	0	1	1	
Not Known			2	

At the last Safeguarding Effectiveness Group meeting it was noted there were no recorded safeguarding referrals from the Police in Rutland. The Police had in fact raised alerts but the alerts had come into the council through various routes and so the information was not captured as being from the Police.

The Interim Head of Service for Adult Safeguarding has had productive communication with the Police Adult Safeguarding lead and has ascertained that previous alerts from the LLR Police had not been routinely processed through Duty and had gone to Customer Service staff and Performance Officers. The HOS has now ensured that the single Point of Contact in Team 12 Adult Duty and Assessment is the known access point for all adult safeguarding alerts to ensure correct and consistent screening against the thresholds document and to capture all of the relevant data. This further ensures any officers receiving further alerts outside of the now established process will redirect them to the single point of access in duty.

Rutland is now reporting on the source of alerts (see above) which will identify the agencies raising concerns, whether or not these concerns met the criteria for the SA process.

Closed Cases in Quarter 3

Safeguarding Adults performance data is obtained when a case is closed at the end of the Safeguarding Adults process. 3 cases were closed in Quarter 3.

Outcome	Q1	Q2	Q3	Q4
Substantiated - fully	3	4	3	
Substantiated - partially	0	1	0	
Not Substantiated	4	4	0	
Inconclusive	1	1	0	

Primary Client Type	Q1	Q2	Q3	Q4
Older Person	7	8	3	

Mental Health		0	0	
Learning Disability	1	0	0	
Physical Disability		2	0	
Not recorded		0	0	
Primary Age Group	Q1	Q2	Q3	Q4
18-64	1	0	0	
65-74	3	1	0	
75-84	1	5	0	
85-94	3	3	3	
95+	0	1	0	

Type of Abuse*	Q1	Q2	Q3	Q4
Physical	5	3	0	
Sexual		0	0	
Psychological&Emotional		0	0	
Financial & Material	2	3	0	
Neglect & Acts of Omission	1	4	3	
Discriminatory		0	0	
Institutional		0	0	
Not Known		0	0	

*Cases may include more than one category

Source of Referral	Q1	Q2	Q3	Q4
Primary Health Care	0	0	1	
Secondary Health Care	0	0	0	
Adult Mental Health Setting	0	0	0	
Residential	5	2	0	
Day Care	0	0	0	
Social Worker/Care Manager	0	3	0	
Self-Directed Care Staff	0	0	0	
Domiciliary	0	2	0	
Other Care Workers	0	0	0	
Self	0	0	0	
Family Member	3	2	2	
Other Service User	0	0	0	
Friend/Neighbour	0	1	0	
Care Quality Commission	0	0	0	

Housing	0	0	0	
Education	0	0	0	
Police	0	0	0	
Other	0	0	0	
Not Known		0	0	

Protection Plans	Q1	Q2	Q3	Q4
Adult Protection Plans accepted by either the service user or the agencies involved	0	1	0	
Adult Protection Plans not accepted	0	0	0	
Could not consent	0	0	0	

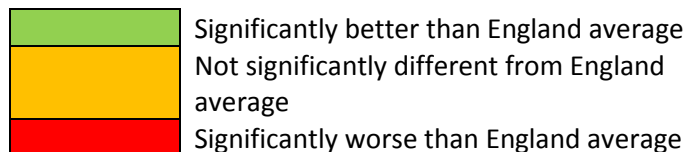
Repeat Referrals	Q1	Q2	Q3	Q4
No of Repeat Referrals	0	2	0	

In the closed cases, the allegations were substantiated. All the investigations were concerned with Older People reflecting the fact that this is consistently the largest service user group represented in safeguarding within adult social care services. There were no cases concerning a person with learning disability and no cases within the mental health service user group.

Activity:

- The Rutland Safeguarding Adults group is now being directed by an interim Head of Service for Safeguarding Adults. The HOS has been able to ensure appropriate senior representation from RCC at the Safeguarding Effectiveness Group and the Safeguarding Procedures Group. The Senior Practitioner had been attending but had recognised that as a social worker their ability to contribute to the decision making process within the groups was limited as they did not have the necessary authority or oversight that a senior manager would have.
- The role of the Safeguarding Adults Senior Practitioner is to include increased responsibility for maintaining an operational overview. This is being achieved by the worker being based in Adults to support with screening and applying the thresholds of the LLR Multi- Agency Policy and Procedures to all safeguarding adults alerts. The increase in alerts has not led to an increase in Safeguarding Investigations.
- Preparations remain ongoing to accommodate the changes to investigations in Leicestershire health settings.
- RCC has delivered safeguarding training for Health Watch volunteers.
- All Adult Teams have identified a Safeguarding Lead to ensure that the Multi Agency Policy and Procedures are embedded in practice.
- Interim HOS is ensuring that Making Safeguarding Personal is being embedded in preparation for the Adult Safeguarding Peer Review in February 2015.

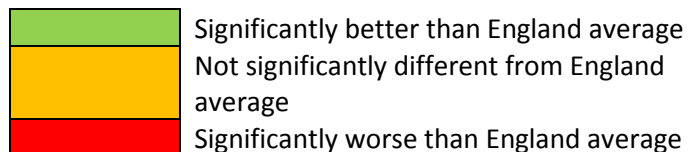
Public Health performance dashboard



* Rank out of 11 areas with 1 defined as best performance

Outcome	Indicator	Frequency	When was data last published.	Number per year	Current Value	National Average	Rank* (in comparison to statistical neighbours)	Trend - Rutland
A healthier population with increased life expectancy and a reduction in health inequalities	Life Expectancy - Male	Annual	2010-12	n/a	81.0	79.21	1	
	Life Expectancy - Female	Annual	2010-12	n/a	84.7	83.01	1	
	Healthy Life Expectancy – Male	Annual	2010-12	n/a	65.8	63.4	7	No trend data currently available
	Healthy Life Expectancy – Female	Annual	2009-11	n/a	70.3	64.1	1	No trend data currently available
	Cardiovascular Disease (under 75) – mortality rate	Annual	2010-12	2010-12	30	85.54	81.15	11

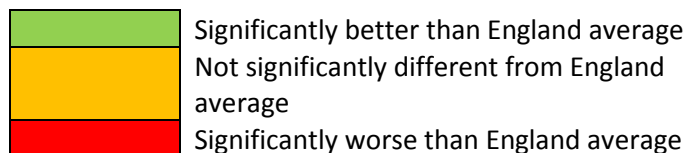
Public Health performance dashboard



* Rank out of 11 areas with 1 defined as best performance

Outcome	Indicator	Frequency	When was data last published.	Number per year	Current Value	National Average	Rank* (in comparison to statistical neighbours)	Trend - Rutland
	Cancer (under 75) – mortality rate	Annual	2010-12	42	116.33	146.48	1	<p>05-07 06-08 07-09 08-10 09-11 10-12</p>
The prevalence of obesity is reduced and people are more physically active	Proportion of children in Reception classified as overweight and obese	Annual	2013-14	80	22.99	22.23	11	<p>06-07 07-08 08-09 09-10 10-11 11-12 13-14</p>
	Proportion of children in Year 6 classified as overweight and obese	Annual	2013-14	74	24.10	33.32	1	<p>06-07 07-08 08-09 09-10 10-11 11-12 13-14</p>
	Proportion of adults (16+) who are overweight and obese	Annual	2012	63	65.58	63.78	8	No trend data currently available

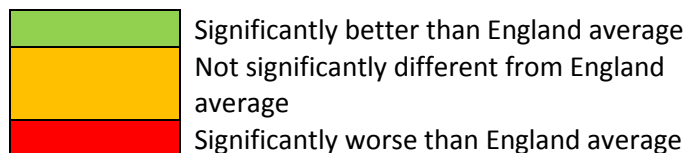
Public Health performance dashboard



* Rank out of 11 areas with 1 defined as best performance

Outcome	Indicator	Frequency	When was data last published.	Number per year	Current Value	National Average	Rank* (in comparison to statistical neighbours)	Trend - Rutland
Smoking prevalence and the harm caused is reduced	Smoking prevalence	Annual	2012	n/a	13.04	19.53	1	
The harm caused by alcohol and drugs is reduced	Rate of hospital admissions for alcohol related harm	Quarterly	Q4 2012/13	684	1265.77	1951.1	2 (data only available for 6 areas)	
To help prevent heart disease, stroke, diabetes and kidney disease	Heath Check uptake	Quarterly	Q4 2013/14	1684	68.4%	49%	1	
To increase the level of wellbeing	Self-reported Well being							
	People with a low satisfaction score	Annual	2011/12	n/a	14.86	24.27	1	No trend data currently available
	People with a low worthwhile score	Annual	2011/12	n/a	12.81	20.08	1	No trend data currently available
	People with a	Annual	2011/12	n/a	19.21	29.02	1	No trend data currently available

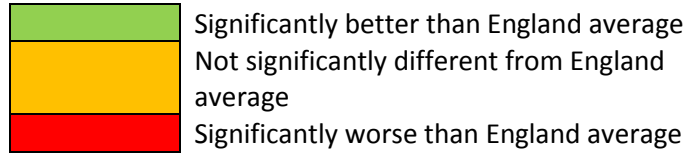
Public Health performance dashboard



* Rank out of 11 areas with 1 defined as best performance

Outcome	Indicator	Frequency	When was data last published.	Number per year	Current Value	National Average	Rank* (in comparison to statistical neighbours)	Trend - Rutland
	low happiness score							
	People with a high anxiety score	Annual	2012/13	n/a	25.44	20.98	11	No trend data currently available
To reduce hospital admissions for falls	Injuries due to falls (aged 65 or over) - overall	Annual	2012/13	182	2100	2011	10	No trend data currently available
	Injuries due to falls (aged 65 or over) – males	Annual	2012/13	59	1749	1602	11	No trend data currently available
	Injuries due to falls (aged 65 or over) – females	Annual	2012/13	123	2450	2420	7	No trend data currently available
To increase control of chlamydia	Chlamydia diagnosis adults aged 15-24	Quarterly	Q3 2013	54 (upto Q3)	1685.3	1785.07	9	
To improve health outcomes and increase healthy life expectancy	% of children living in households where income is less than 60% of median household income	Annual	2011	490	8.40%	20.6%	1	

Public Health performance dashboard



* Rank out of 11 areas with 1 defined as best performance

Outcome	Indicator	Frequency	When was data last published.	Number per year	Current Value	National Average	Rank* (in comparison to statistical neighbours)	Trend - Rutland
	Under 18 conception rate	Annual	2012	16	18.80	27.7	3	

Appendix E

PROJECT UPDATE

Project	Status	RAG
Oakham Enterprise Park Business	Tenancy across the site has continued to grow with 93% (57 units, totalling 70,321sqft) now let. There is firm interest in a further 2% (1 unit, 6,260sqft) and just 5% (3 units, 23,608sqft) currently with no significant interest. Various improvements such as car park, road markings and fencing work have now been completed.	
Oakham Enterprise Park Sport	Construction works to refurbish the building commenced in December and the Active Rutland Hub is expected to open in March/April 2015. Vale Judo club continue to occupy part of the building.	
Broadband	<p>The Digital Rutland project is progressing well and we have submitted our revised State aid Intervention Area to BDUK's National Competence Centre (NCC) for approval following the open market review and public consultation processes. Once State Aid approval is granted, additional areas which will form Phase Two of the project will be discussed with BT to further extend the reach of the programme.</p> <p>We are also currently discussing the next areas of Phase One of the project to be captured with BT and are anticipating the work to commence in the coming months, aiming for a delivery of December 2015.</p>	
Castle HLF Bid	Council has approved drawdown of the grant and match funding, and the commissioning of services has commenced. Proposed appointments have been notified to Heritage Lottery Fund for approval. First stage drainage works have been completed. Project Board met to review programme.	
Welfare Benefit Reform	Local Council Tax Support Scheme and discretionary fund – reviewed for 2014/15 and no changes were made to the current approved scheme. A further review was taken to Resources Scrutiny on 4 th September 2014 and to Cabinet on 7 th October 2014 where members recommended to consider changing the scheme from 2015/16 onwards to reduce the amount of the discretionary fund to £50k. There was a proposal made to discount child benefit in the calculation of income for the Discretionary Fund This has been put forward to members and will	

Project	Status	RAG
	<p>be considered at Cabinet on 20th January 2015.</p> <p>The Local Welfare Crisis provision – The scheme continues but specific central government funding (c£25k) is likely to end in 2014/15. A pressure of £25k has been put forward for 2015/16 onwards. A report will be taken to members on 20th January 2015 to consider the future of the scheme.</p> <p>Single Fraud Investigation Service has been successfully implemented in Rutland from October 2014; a report was taken to Cabinet on 16th September 2014 190/2014.</p> <p>Universal Credit – expected date of implementation for Rutland has been delayed following ongoing reviews of UC by Central Government.</p>	
Review of IT Services and IT Strategy	Progress in this area has been delayed due to the work required for PSN compliance and a change in management. A report is being presented to Resources Scrutiny on 12 th February setting out the plan and outline timetable.	
Care Act Implementation	<p>On track.</p> <p>Considerable work is taking place to ensure plans are in place to deliver in time for go Live on 1st April 2015.</p> <p>Local Authorities have been given access to a secure site which provides considerable national material in relation to the Care Act. The expectation is that all local areas will utilise this information when communicating both internally, with partners and members of the wider community. The information will be in the public domain from the beginning of February. A report regarding Care Act comms is due to come to scrutiny on the 5th February and Cabinet on 17th February regarding our communications approach for pre and post-election.</p> <p>The LLR Prisons proposal will be coming to Cabinet on 20th January 2015.</p>	
Better Care Fund	<p>On track.</p> <p>In December we received full notification that our BCF plan had been approved (following a further resubmission in November).</p> <p>The programme is on track; there is significant reliance on joint ownership of the projects with</p>	

Project	Status	RAG
	<p>health which is still being developed.</p> <p>Business cases for each scheme have been drafted for all schemes. 3 schemes have been merged into one “Step Up Step Down” project as follows:</p> <ul style="list-style-type: none"> • Integrated Crisis Response • Hospital Discharge • Reablement <p>The following schemes are already operational:</p> <ul style="list-style-type: none"> • Integrated Care Coordinator in GP surgeries (awaiting recruitment) • Step Up Step Down (partially in operation) Stepping Stones flat at Gainsborough Court to be available from 12th January. • Adaptations and Disabled Facilities Grant • Care Act (Enabler) • IT and Data Sharing (Enabler) • Assistive Technology trial with Olympus already underway <p>The following schemes are being planned and are due to be live from 1st April:</p> <ul style="list-style-type: none"> • Community Agents • Integrated Health and Social Care Pathways & service delivery • Integrated Dementia Pathways <p>A proposal is due to go to the Health and Wellbeing Board on 27th January requesting that the allocation for the Learning Disability scheme (Out of County Link Worker) is re-allocated to other schemes due to the minimal impact this scheme will have on the BCF metrics.</p> <p>A Section 75 agreement is in the process of being drafted to ensure the pool budget arrangement with health is in place for 1st April 2015. Due to go to Cabinet on 3rd March 2015.</p> <p>A performance dashboard against the BCF metrics has been developed but will need refining over time and will be reported on a quarterly basis to the Health and Wellbeing Board.</p>	