APPENDIX C SAFEGUARDING

Context

This report combines adult and children's safeguarding data and analysis and provides an overview of safeguarding activity in Quarter 3 of 2014/15. It aims to highlight good practice and identify areas for development/improvement which will be incorporated into delivery plans for the relevant service areas. The children's data (except for the re-referral information) is shared with partners as required by the Local Safeguarding Children's Board (LSCB) performance scorecard.

CHILDREN & YOUNG PEOPLE UPDATE

Early Intervention

There were 16 new Common Assessment Frameworks (CAF's) opened in Quarter 3, 4 of which were referred by Social Care, representing 25% of the total number of CAF for the quarter.

Rutland	Q1	Q2	Q3	Q4	Total	Reporting Frequency
Number of new CAF's	23	20	16		23	Quarterly
Number/Proportion of Children's Social Care referrals that result in a	6	9	4		15	Quarterly
CAF*	11.3%	4.7%	3%			Quarterly

^{*}The proportion of referrals resulting in a CAF is calculated on referrals only, not referrals/contacts

Contact referral and assessment

- There was a 1% decrease in contacts this quarter (190 as opposed to 192 in quarter 2). Of those contacts, 39% (74) went on to referral compared to 27% (52) last quarter.
- The timeliness of assessments continues to be good at 100% within 10 days.
- There were 22 section 47 enquiries during quarter 3.

	Q1	Q2	Q3	Q4	Total/ Cumulative	Reporting Frequency
Number of contacts to Children's Social Care (include referrals)	162	192	190		544	Quarterly
Number of referrals to Children's Social Care	53	52	74		179	Quarterly
Number of referrals made by EDT/Out of Hours Team (including those that were recorded as contacts only)	0	1	4		5	Quarterly
Number/Percentage of Single	2	61	33		96	
Assessments carried out within 40 working days	40%	73.2%	75%			Quarterly
Number of strategy discussion	7	17	21		45	
meetings Number of S47 enquiries	7	12	22		41	Quarterly

Child Protection

- There were 32 child protection plans at 31st December 2014. This is a 10% increase on Quarter 2.
- The largest category of abuse for CP plans at end of December 2014 was multiple, which represented 62% of all plans, followed by emotional at 19%.
- Of the children with a CP plan for 3 months or more at 31st December 2014, 100% had been reviewed within timescales (PI 67).
- Of children with a child protection plan at 30th June 2014, the largest age group with 12 children was the 0 to 4 age group (representing 37.5%) followed by age 10 to 15 representing 34%. 53% of children with CP plans at the end of June 2014 were male, 47% were female. 88% of children subject to plans were White British.

Number/Rate in each Category of Abuse Neglect 7		Q1	Q2	Q3	Q4	Cumulative	Reporting Frequency
Neglect	Number of children subject to a CP Plan	26	29	32		n/a	Quarterly
Neglect	Number/Rate in each Category of	of Abuse					
Physical 3		_	4	5		n/a	
Emotional 1	-	3	0	0		n/a	
Sexual 4	Emotional	1	6	6		n/a	Quarterly
White 25 27 28 n/a Mixed 0 0 0 n/a Asian 0 0 0 n/a Black 0 0 0 n/a Under 0 0 0 n/a Undetermined 1 2 4 n/a Unborn O - 4 10 - 4 11 1 11 12 n/a 10 - 15 9 12 11 n/a 10 - 15 9 12 11 n/a 10 n/a Male 11 15 17 n/a Female 15 14 14 n/a Unborn O 0 1 n/a Unborn O 0 1 n/a Unborn O 0 1 n/a Quarterly Target- 100% Coked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Reporting Reporting Reporting Rutland Q1 Q2 Q3 Q4 Cumulative Rutland Q1 Quarterly Asian O 0 0 0 n/a Quarterly Quarter	Sexual	4	1	1		n/a	,
White 25 27 28 n/a Mixed 0 0 0 n/a Asian 0 0 0 n/a Black 0 0 0 n/a Under 0 0 0 n/a Undetermined 1 2 4 n/a Unborn O - 4 10 - 4 11 1 11 12 n/a 10 - 15 9 12 11 n/a 10 - 15 9 12 11 n/a 10 n/a Male 11 15 17 n/a Female 15 14 14 n/a Unborn O 0 1 n/a Unborn O 0 1 n/a Unborn O 0 1 n/a Quarterly Target- 100% Coked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Reporting Reporting Reporting Rutland Q1 Q2 Q3 Q4 Cumulative Rutland Q1 Quarterly Asian O 0 0 0 n/a Quarterly Quarter	Multiple	11	18	20		n/a	
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Asian	White						
Black	Mixed						
Display	Asian	0	0	0			Quarterly
Unborn 0 0 1 n/a 0 - 4 14 11 12 n/a 5 - 9 3 6 7 n/a 10 - 15 9 12 11 n/a 16+ 0 0 1 n/a Male 11 15 17 n/a Female 15 14 14 n/a Unborn 0 0 1 n/a Percentage of CP cases which were reviewed within required timescales Number of CP cases allocated to a Social Worker Rutland Q1 Q2 Q3 Q4 Cumulative Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Number of Looked After Quarterly Ethnicity of LAC White 27 28 31 n/a Mixed 2 1 1 n/a Asian 0 0 0 0 n/a	Black						Qualiting
Unborn	Other	0	0	0		n/a	
0 - 4 14 11 12 n/a 5 - 9 3 6 7 n/a 10 - 15 9 12 11 n/a 16+ 0 0 1 n/a Male 11 15 17 n/a Female 15 14 14 n/a Quarterly Unborn 0 0 1 n/a Quarterly Percentage of CP cases which were reviewed within required timescales 100% 100% 100% 100% Target - 100% Number of CP cases allocated to a Social Worker 100% 100% 100% 100% Target - 100% Cooked After Children Rutland Q1 Q2 Q3 Q4 Cumulative Frequency Number of Looked After Children 37 32 33 n/a Quarterly Ethnicity of LAC White 27 28 31 n/a Quarterly Mixed 2	Undetermined	1	2	4		n/a	
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Unborn	Male					n/a	
Percentage of CP cases 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100	Female	15	14	14		n/a	Quarterly
which were reviewed within required timescales 100% 100% 100% 100% Target - 100% Number of CP cases allocated to a Social Worker 100% 100% 100% 100% 100% Target - 100% Looked After Children Q1 Q2 Q3 Q4 Cumulative Reporting Frequency Reporting Frequency Number of Looked After Children 37 32 33 n/a Quarterly Ethnicity of LAC White 27 28 31 n/a Quarterly Mixed 2 1 1 n/a Asian Quarterly	Unborn	0	0	1		n/a	
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Rutland Q1 Q2 Q3 Q4 Cumulative Frequency Frequency Number of Looked After Children 37 32 33 n/a Quarterly Ethnicity of LAC White 27 28 31 n/a Mixed 2 1 1 n/a Asian 0 0 0 n/a	Number of CP cases allocated to a Social Worker	100%	100%	100%		100%	
Rutland Q1 Q2 Q3 Q4 Cumulative Frequency Number of Looked After Children 37 32 33 n/a Quarterly Ethnicity of LAC White 27 28 31 n/a Mixed 2 1 1 n/a Asian 0 0 0 n/a	Looked After Children						
Children 37 32 33 n/a Quarterly Ethnicity of LAC White 27 28 31 n/a Mixed 2 1 1 n/a Asian 0 0 0 n/a	Rutland	Q1	Q2	Q3	Q4	Cumulative	
White 27 28 31 n/a Mixed 2 1 1 n/a Asian 0 0 0 n/a	Children	37	32	33		n/a	Quarterly
Mixed 2 1 1 n/a Asian 0 0 0 n/a		07	000	0.1		1-	
Asian 0 0 0 n/a Quarterly							
							Quarterly

Other	2	2	0	n/a
Undetermined	0	0	0	n/a
0 - 4	12	13	11	n/a
5 - 9	7	6	10	n/a
10 - 15	10	9	8	n/a
16+	7	4	4	n/a
Male	15	14	18	n/a
Female	22	18	15	n/a
Percentage of LAC at period end with 3 or more placements	0%	0%	0%	0%
LAC cases which were reviewed within required timescales	100%	100%	100%	100%
Stability of placements of LAC: length of placement				

ADULTS UPDATE

Safeguarding Adults Data Collection

86 alerts were received in Q3. The number of community alerts has increased to the extent that it was higher than residential alerts. This is the result of improved communication and clarity of roles between commissioners and the Contract's Team who investigate compliance issues. 10 of this number resulted in the implementation of the Safeguarding Adults Procedures. The writer is reporting on 9 due to one case being locked down. Robust and consistent application of the Threshold Criteria is still contributing to the reduction of alerts that meet the criteria for Adult Safeguarding Investigations. The Senior Practitioner is screening all enquiries with a Social Worker from the Duty and Assessment Team which assists with improving an overview of Safeguarding Activity. This process is now embedded in the RCC process of reporting a safeguarding concern which explains the rapid increase.

Location of alleged abuse	e (ຊ1		Q2	Q3	Q4	•	Total	Reporting Frequency
Community		10	22	2	40				Quarterly
Residential		18	25	5	46				Quarterly
Unknown		0			0				Quarterly
Source of Referral for all Alerts	C) 1			Q2		Q	3	Q4
Primary Health Care	(0			1		1		
Secondary Health Care	;	5			1		8	3	
Adult Mental Health Setting	(0			0		C)	
Residential	(6			16		1	7	
Day Care	(0			0		6	3	
Social Worker/Care		5			12		1:	5	

Manager				
Self-Directed Care Staff	0	0	0	
Domiciliary	1	2	9	
Other Care Workers	0	0	0	
Self	0	0	1	
Family Member	7	8	13	
Other Service User	0	0	0	
Friend/Neighbour	0	1	5	
Care Quality Commission	3	3	3	
Housing	1	2	4	
Education	0	0	0	
Police	0	0	1	
Other	0	1	1	
Not Known			2	

At the last Safeguarding Effectiveness Group meeting it was noted there were no recorded safeguarding referrals from the Police in Rutland. The Police <u>had</u> in fact raised alerts but the alerts had come into the council through various routes and so the information was not captured as being from the Police.

The Interim Head of Service for Adult Safeguarding has had productive communication with the Police Adult Safeguarding lead and has ascertained that previous alerts from the LLR Police had not been routinely processed through Duty and had gone to Customer Service staff and Performance Officers. The HOS has now ensured that the single Point of Contact in Team 12 Adult Duty and Assessment is the known access point <u>for all</u> adult safeguarding alerts to ensure correct and consistent screening against the thresholds document and to capture all of the relevant data. This further ensures any officers receiving further alerts outside of the now established process will redirect them to the single point of access in duty.

Rutland is now reporting on the source of alerts (see above) which will identify the agencies raising concerns, whether or not these concerns met the criteria for the SA process.

Closed Cases in Quarter 3

Safeguarding Adults performance data is obtained when a case is closed at the end of the Safeguarding Adults process. 3 cases were closed in Quarter 3.

Outcome	Q1	Q2	Q3	Q4
Substantiated - fully	3	4	3	
Substantiated - partially	0	1	0	
Not Substantiated	4	4	0	
Inconclusive	1	1	0	

Primary Client Type	Q1	Q2	Q3	Q4
Older Person	7	8	3	

Mental Health		0	0	
Learning Disability	1	0	0	
Physical Disability		2	0	
Not recorded		0	0	
Primary Age Group	Q1	Q2	Q3	Q4
18-64	1	0	0	
65-74	3	1	0	
75-84	1	5	0	
85-94	3	3	3	
95+	0	1	0	

Type of Abuse*	Q1	Q2	Q3	Q4
Physical	5	3	0	
Sexual		0	0	
Psychological&Emotional		0	0	
Financial & Material	2	3	0	
Neglect & Acts of Omission	1	4	3	
Discriminatory		0	0	
Institutional		0	0	
Not Known		0	0	

^{*}Cases may include more than one category

Source of Referral	Q1	Q2	Q3	Q4
Primary Health Care	0	0	1	
Secondary Health Care	0	0	0	
Adult Mental Health Setting	0	0	0	
Residential	5	2	0	
Day Care	0	0	0	
Social Worker/Care Manager	0	3	0	
Self-Directed Care Staff	0	0	0	
Domiciliary	0	2	0	
Other Care Workers	0	0	0	
Self	0	0	0	
Family Member	3	2	2	
Other Service User	0	0	0	
Friend/Neighbour	0	1	0	
Care Quality Commission	0	0	0	

Housing	0	0	0	
Education	0	0	0	
Police	0	0	0	
Other	0	0	0	
Not Known		0	0	

Protection Plans	Q1	Q2	Q3	Q4
Adult Protection Plans accepted by either the service user or the agencies involved	0	1	0	
Adult Protection Plans not accepted	0	0	0	
Could not consent	0	0	0	

Repeat Referrals	Q1	Q2	Q3	Q4
No of Repeat Referrals	0	2	0	

In the closed cases, the allegations were substantiated. All the investigations were concerned with Older People reflecting the fact that this is consistently the largest service user group represented in safeguarding within adult social care services. There were no cases concerning a person with learning disability and no cases within the mental health service user group.

Activity:

- The Rutland Safeguarding Adults group is now being directed by an interim Head of Service for Safeguarding Adults. The HOS has been able to ensure appropriate senior representation from RCC at the Safeguarding Effectiveness Group and the Safeguarding Procedures Group. The Senior Practitioner had been attending but had recognised that as a social worker their ability to contribute to the decision making process within the groups was limited as they did not have the necessary authority or oversight that a senior manager would have.
- The role of the Safeguarding Adults Senior Practitioner is to include increased responsibility for maintaining an operational overview. This is being achieved by the worker being based in Adults to support with screening and applying the thresholds of the LLR Multi- Agency Policy and Procedures to all safeguarding adults alerts. The increase in alerts has not led to an increase in Safeguarding Investigations.
- Preparations remain ongoing to accommodate the changes to investigations in Leicestershire health settings.
- RCC has delivered safeguarding training for Health Watch volunteers.
- All Adult Teams have identified a Safeguarding Lead to ensure that the Multi Agency Policy and Procedures are embedded in practice.
- Interim HOS is ensuring that Making Safeguarding Personal is being embedded in preparation for the Adult Safeguarding Peer Review in February 2015.