

Schedule of all identified Audit Entities

AUDIT ENTITY	Class	Risk Score	Base Budget	Sub Total	Total for 2010/11
FUNDAMENTAL FINANCIAL SYSTEMS					
<i>Benefits</i>	FF	1.9	20		
<i>Creditors</i>	FF	1.7	10		
<i>Main Accounting System</i>	FF	1.7	5		
<i>Payroll & Employee Benefits</i>	FF	1.6	10		
<i>Debtors</i>	FF	1.6	7		
<i>Local Taxes</i>	FF	1.4	15		
<i>Budgeting & Budgetary Control</i>	FF	1.4	8		
<i>Treasury Management</i>	FF	1.3	5		
<i>Cash & Banking</i>	FF	1.1	5	85	85
FINANCIAL MANAGEMENT SYSTEMS					
<i>Financial Management</i>	MF	2.8	15		
<i>Medium Term Financial Planning</i>	MF	2.1	10		
<i>Managing External Funding</i>	MF	2.0	10		
<i>Accounting for Tax</i>	MF	1.8	5	40	40

AUDIT ENTITY	Class	Risk Score	Base Budget	Sub Total	Total for 2010/11
GOVERNANCE & PERFORMANCE					
<i>Appraisal & Development</i>	GP	2.3	8		
<i>Business Continuity</i>	GP	2.3	10		
<i>Procurement</i>	GP	2.2	10		
<i>Managing Absence</i>	GP	2.2	10		
<i>Shared Services</i>	GP	2.1	10		
<i>Partnership Working</i>	GP	2.1	10		
<i>Service Planning and Design</i>	GP	2.1	8		
<i>Equalities</i>	GP	2.0	7		
<i>Corporate Health & Safety</i>	GP	2.0	5		
<i>Enterprise Risk Management</i>	GP	2.0	10		
<i>Recruitment, Retention & Redeployment</i>	GP	2.0	10		
<i>Strategic Decision Making & Leadership</i>	GP	2.0	10		
<i>Managing Capital Contracts</i>	GP	2.0	10		
<i>Performance Monitoring & Management</i>	GP	2.0	10		
<i>Internal Communications</i>	GP	2.0	7		
<i>Managing Revenue Contracts</i>	GP	2.0	10		
<i>Public Consultation</i>	GP	1.9	5		
<i>External Communications</i>	GP	1.9	5		155
Customer Service Standards	GP	1.9	5		
Records Management (inc Fol/DPA)	GP	1.9	5		
Corporate Governance Arrangements	GP	1.9	10		
Corporate Counter-Fraud Arrangements	GP	1.8	15		
Member Development	GP	1.8	5		
Managing Change	GP	1.7	10		
Asset Management & Maintenance	GP	1.7	7		
Promoting Value for Money	GP	1.6	8		
Democratic Process	GP	1.2	5	225	

AUDIT ENTITY	Class	Risk Score	Base Budget	Sub Total	Total for 2010/11
<i>Corporate ITC</i>	IT	1.7	15	15	15
CUSTOMER FACING SERVICES					
<i>Catmose Campus</i>	CF	2.7	20		
<i>Direct Payments</i>	CF	2.2	10		
<i>Social Housing</i>	CF	2.1	8		
<i>Care Homes for the Elderly</i>	CF	2.0	10		
<i>Provision of Leisure Facilities</i>	CF	2.0	7		
<i>Support & Oversight of Schools</i>	CF	2.0	10		
<i>Environmental Health - Inspections</i>	CF	2.0	8		
<i>Environmental Health -Statutory Nuisance</i>	CF	2.0	7		
<i>Working with Voluntary/Third Sector</i>	CF	1.9	10		90
Use of Planning Powers (s106 etc)	CF	2.0	5		
Inclusion	CF	2.0	8		
Strategic Planning Function	CF	1.9	7		
Elections	CF	1.9	5		
Early Learning	CF	1.9	8		
Recreation Grounds	CF	1.9	5		
Library & Information Services	CF	1.9	7		
Meals Services	CF	1.9	5		
Business Support (Economic Development)	CF	1.8	7		
Adult Learning	CF	1.8	7		
Conservation Services	CF	1.8	5		
Managing/Maintaining Public Space	CF	1.8	8		
Electoral Registration	CF	1.8	5		
Support in the Community	CF	1.8	10		
Supporting (Elderly) People at Home	CF	1.8	8		
Adult Learning - Disabilities	CF	1.8	7		

Building Control	CF	1.8	8		
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AUDIT ENTITY	Class	Risk Score	Base Budget	Sub Total	Total for 2010/11
Culture	CF	1.8	7		
Land Charges	CF	1.8	5		
Dog Warden Service	CF	1.8	5		
Pest Control	CF	1.8	5		
Prison Library Service	CF	1.8	5		
Educational Transport	CF	1.8	5		
Museum Services	CF	1.8	7		
Development Control & Enforcement	CF	1.7	8		
Licensing & Enforcement	CF	1.7	7		
Waste Management (inc recycling)	CF	1.7	8		
Managing Clients' Funds	CF	1.7	5		
Registration of Births, Deaths, Marriages	CF	1.6	8		
Social Transport	CF	1.6	7		
Contact Centre	CF	1.6	5		
Cemeteries	CF	1.5	5		
Mental Health Services	CF	1.5	8		
Community Safety (inc CCTV)	CF	1.5	7		
Youth Services	CF	1.4	5		
Road Safety	CF	1.3	8		
Fostering	CF	1.2	8		
Housing Grants	CF	1.2	7		
Traffic Management & Car Parking	CF	1.1	7		
Managing Pooled Budgets	CF	1.0	5	332	
TOTALS				697	385