

Audit Plan 2010/11

AUDIT ENTITY	Class	Risk Score	Base Budget	Total for 2010/11
FUNDAMENTAL FINANCIAL SYSTEMS				
<i>Benefits</i>	FF	1.9	20	
<i>Creditors</i>	FF	1.7	10	
<i>Main Accounting System</i>	FF	1.7	5	
<i>Payroll & Employee Benefits</i>	FF	1.6	10	
<i>Debtors</i>	FF	1.6	7	
<i>Local Taxes</i>	FF	1.4	15	
<i>Budgeting & Budgetary Control</i>	FF	1.4	8	
<i>Treasury Management</i>	FF	1.3	5	
<i>Cash & Banking</i>	FF	1.1	5	85
FINANCIAL MANAGEMENT SYSTEMS				
<i>Financial Management</i>	MF	2.8	15	
<i>Medium Term Financial Planning</i>	MF	2.1	10	
<i>Managing External Funding</i>	MF	2.0	10	
<i>Accounting for Tax</i>	MF	1.8	5	40
GOVERNANCE & PERFORMANCE				
<i>Appraisal & Development</i>	GP	2.3	8	
<i>Business Continuity</i>	GP	2.3	10	
<i>Procurement</i>	GP	2.2	10	
<i>Managing Absence</i>	GP	2.2	10	
<i>Shared Services</i>	GP	2.1	10	
<i>Partnership Working</i>	GP	2.1	10	
<i>Service Planning and Design</i>	GP	2.1	8	
<i>Equalities</i>	GP	2.0	7	
<i>Corporate Health & Safety</i>	GP	2.0	5	
<i>Enterprise Risk Management</i>	GP	2.0	10	
<i>Recruitment, Retention & Redeployment</i>	GP	2.0	10	
<i>Strategic Decision Making & Leadership</i>	GP	2.0	10	
<i>Managing Capital Contracts</i>	GP	2.0	10	
<i>Performance Monitoring & Management</i>	GP	2.0	10	
<i>Internal Communications</i>	GP	2.0	7	
<i>Managing Revenue Contracts</i>	GP	2.0	10	
<i>Public Consultation</i>	GP	1.9	5	
<i>External Communications</i>	GP	1.9	5	155
<i>Corporate ITC</i>	IT	1.7	15	15

AUDIT ENTITY	Class	Risk Score	Base Budget	Total for 2010/11
<i>Catmose Campus</i>	CF	2.7	20	
<i>Direct Payments</i>	CF	2.2	10	
<i>Social Housing</i>	CF	2.1	8	
<i>Care Homes for the Elderly</i>	CF	2.0	10	
<i>Provision of Leisure Facilities</i>	CF	2.0	7	
<i>Support & Oversight of Schools</i>	CF	2.0	10	
<i>Environmental Health - Inspections</i>	CF	2.0	8	
<i>Environmental Health -Statutory Nuisance</i>	CF	2.0	7	
<i>Working with Voluntary/Third Sector</i>	CF	1.9	10	90
TOTAL DAYS				385