

AUDIT & RISK COMMITTEE

Date 25th September 2012

INTERNAL AUDIT PERFORMANCE REPORT

Report of the Head of Welland Internal Audit Consortium

STRATEGIC AIM	All
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1. PURPOSE OF THE REPORT

- 1.1 To allow Members to monitor the performance of the Consortium in delivering the Council's internal audit service.

2. RECOMMENDATIONS

- 2.1 That Members note the report.
- 2.2 That Members consider whether there are any aspects of the Consortium's performance that require further explanation.

3. PROGRESS WITH THE PLAN

- 3.1 Appendix A and the associated papers provide an analysis of progress against the plan as well as a broader analysis of the Consortium's performance. To provide Members with the most current performance information, the report analyses performance to the end of week 22 (i.e. 31st August) the latest date practical for reporting to this Committee.

4. KEY PERFORMANCE ISSUES

- 4.1** A long-running restructuring exercise has meant that the Consortium has been operating below establishment for over a year and at the date of reporting only four of the seven posts in the reduced establishment are filled. In addition the time commitment required of the Head of Consortium to redesign the structure; produce new job descriptions; and carry out a job evaluation exercise for each of the new posts has impacted on the delivery of planned work.
- 4.2** A recruitment exercise is now in hand. One post – that of Audit Manager has been filled internally and interviews for two vacant Auditor posts are scheduled for the week commencing 17th September: the quality of the short-list makes it certain that appointments will be made and possible that the successful candidates could be in post by the beginning of November. Two well qualified candidates for the post of Audit Manager/Deputy have been invited to interview on 8th October although neither candidate could be in post until January 2013.

RISK MANAGEMENT

RISK	IMPACT	COMMENTS
Time	Low	The report does not prompt or require any time-bound response
Viability	Low	There are no resourcing issues arising
Finance	Low	There are no financial issues arising
Profile	Low	The report should improve the effectiveness of the Committee
Equality and Diversity	Low	EIA screening indicates no issues arising therefore full Impact Assessment has not been carried out.

Background Papers
None

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A Large Print or Braille Version of this Report is available upon request – Contact 01572 722577.