

AUDIT & RISK COMMITTEE

22nd January 2013

INTERNAL AUDIT PERFORMANCE REPORT

Report of the Head of Welland Internal Audit Consortium

STRATEGIC AIM	All
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1. PURPOSE OF THE REPORT

1.1 To allow Members to monitor the performance of the Consortium in delivering the Council's internal audit service.

2. RECOMMENDATIONS

2.1 That Members note the report.

2.2 That Members consider whether there are any aspects of the Consortium's performance that require further explanation.

3. PROGRESS WITH THE PLAN

3.1 Appendix A and the associated papers provide an analysis of progress against the plan as well as a broader analysis of the Consortium's performance. To provide Members with the most current performance information, the report analyses performance to the end of week 39 (i.e. 28th December) the latest date practical for reporting to this Committee.

4. KEY PERFORMANCE ISSUES

4.1 A long-running restructuring exercise has meant that the Consortium has been operating below establishment for over a year. The Consortium has now come to the end of this extended restructuring exercise which has had a major impact on staffing levels and capacity. A newly established post of Audit Manager has been filled by an internal promotion. The two vacant auditor posts were filled at the end of October with the vacant Audit Manager/Deputy post being filled in January. The time commitment that was required of the Head of Consortium to redesign the structure; produce new job descriptions; and carry out a job evaluation exercise for each of the new posts has also impacted on the delivery of planned work.

5. RISK MANAGEMENT

RISK	IMPACT	COMMENTS
Time	Low	The report does not prompt or require any time-bound response
Viability	Low	There are no resourcing issues arising
Finance	Low	There are no financial issues arising
Profile	Low	The report should improve the effectiveness of the Committee
Equality and Diversity	Low	EIA screening indicates no issues arising therefore full Impact Assessment has not been carried out.

Background Papers
None

Report Author
Richard Gaughran
Tel No: (01572) 722577
e-mail: enquiries@rutland.gov.uk

A Large Print or Braille Version of this Report is available upon request – Contact 01572 722577.