

AUDIT & RISK COMMITTEE

7 April 2015

INTERNAL AUDIT UPDATE

Report of the Head of Welland Internal Audit Consortium

STRATEGIC AIM	All
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1. PURPOSE OF THE REPORT

- 1.1 To update Members on delivery of the 2014/15 Audit Plan.

2. RECOMMENDATIONS

- 2.1 That Members note the audit update report (Appendix A).

3. KEY ISSUES

- 3.1 The Public Sector Internal Audit Standards (the Standards) require the Committee to develop a consistent focus on the effectiveness of service delivery by the Consortium. The Internal Audit Update (Appendix A) has been developed as a basis for consistent performance reporting which sets out the information required by the Committee in a format that satisfies the requirements of the Standards.
- 3.2 At the date of writing, work has commenced on all 2014/15 assignments, of these sixteen final reports have been issued; four assignments are at draft report stage; and work is in progress on a further three assignments. At the end of March, it is anticipated that the audit plan will be at least 90% delivered (measured by % of audit reports in draft).
- 3.3 Since the last Audit and Risk Committee meeting, four assignments have been finalised (one with a substantial rating and three sufficient). The Council's Section 151 Officer has also requested a

change to the Audit Plan. It was agreed that the audit of Carer Support Arrangements is deferred on the basis that it would be of more value to the Council in the 2015/16 audit plan to take into account the new Care Act. The five days allocated to this assignment will be added to the Continuing Health Care audit to enable a more detailed review.

4. RISK MANAGEMENT

RISK	IMPACT	COMMENTS
Time	Low	The report does not prompt or require any time-bound response beyond approval of the report itself.
Viability	Low	There are no resourcing issues arising directly from this report.
Finance	Low	There are no financial issues arising directly from this report.
Profile	Medium	The report demonstrates that the Consortium and the Committee operates in conformance with the Standards.
Equality and Diversity	Low	EIA screening indicates no issues arising therefore full Impact Assessment has not been carried out.

Background Papers

None

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A Large Print or Braille Version of this Report is available upon request – Contact 01572 722577.