



# Rutland County Council

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Catmose Oakham Rutland LE15 6HP  
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Ladies and Gentlemen,

A meeting of the **AUDIT AND RISK COMMITTEE** will be held in the Council Chamber, Catmose, Oakham on **Tuesday 23 September 2014** commencing at 7.00pm when it is hoped you will be able to attend.

Yours faithfully

Helen Briggs  
**Chief Executive**

Recording of Council Meetings: Any member of the public may film, audio-record, take photographs and use social media to report the proceedings of any meeting that is open to the public. A protocol on this facility is available at [www.rutland.gov.uk/haveyoursay](http://www.rutland.gov.uk/haveyoursay)

## A G E N D A

### **APOLOGIES FOR ABSENCE**

#### **1. MINUTES**

To confirm the minutes of the Audit and Risk Committee held on 10 June 2014.

#### **2. DECLARATIONS OF INTEREST**

In accordance with the Regulations, Members are invited to declare any disclosable interests under the Code of Conduct and the nature of those interests in respect of items on this Agenda and/or indicate if Section 106 of the Local Government Finance Act 1992 applies to them.

#### **3. PETITIONS, DEPUTATIONS AND QUESTIONS**

To receive any petitions, deputations and questions from Members of the Public in accordance with the provisions of Procedure Rules.

The total time allowed for this item shall be 30 minutes. Petitions, deputations and questions shall be dealt with in the order in which they are received. Questions may also be submitted at short notice by giving a written copy to the Corporate Support Officer 15 minutes before the start of the meeting.

The total time allowed for questions at short notice is 15 minutes out of the total time of 30 minutes. Any petitions, deputations and questions that have been submitted with prior formal notice will take precedence over questions submitted at short notice. Any questions that are not considered within the time limit shall receive a written response after the meeting and be the subject of a report to the next meeting.

**4. STATEMENT OF ACCOUNTS 2013/14**

To receive Report No. 169/2014 from the Director of Resources.  
Pages 4 – 117

***NOTE:** The Accounts and Audit (England) Regulations 2011 require the approval of the Statement of Accounts by 30 September. This date falls within the period normally allowed for Members to refer a committee decision to the Council. In accordance with Procedure Rule 110(7), the Committee will be asked to decide that the matter is of such urgency that no referral of the Committee's decision should be allowed.*

**5. ANNUAL GOVERNANCE REPORT**

To receive Report No. 170/2014 from the Director of Resources.  
Pages 118 – 141

**6. INTERNAL AUDIT – SERVICE UPDATE**

To receive Report No. 208/2014 from the Director of Resources.  
Pages 142 – 143

**7. INTERNAL AUDIT – 2014/15 PROGRESS**

To receive Report No. 204/2014 from the Head of Welland Internal Audit Consortium.  
Pages 144 – 156

**8. INTERNAL AUDIT – 2013/14 'LIMITED' RATED REPORTS**

To receive Report No. 189/2014 from the Head of Welland Internal Audit Consortium.  
Pages 157 – 168

**9. ANNUAL FRAUD REPORT**

To receive Report No. 205/2014 from the Director of Resources.  
Pages 169 – 173

**10. FRAUD INCIDENT**

To receive Report No. 207/2014 from the Director of Resources.  
Pages 174 – 186

**11. RIPA QUARTERLY REPORT**

To receive Report No. 206/2014 from the Director of Resources.  
Pages 187 – 188

**12. ANY OTHER URGENT BUSINESS**

To receive items of urgent business which have previously been notified to the person presiding.

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**DISTRIBUTION**

**MEMBERS OF THE AUDIT AND RISK COMMITTEE:**

Mr M E Baines (Chairman)  
Mr A S Walters (Vice Chairman)  
Mr J M Lammie  
Mr J R Munton  
Mr D L Richardson

ALL CHIEF OFFICERS  
RELEVANT PORTFOLIO HOLDER(S)  
CORPORATE SUPPORT FILE  
PUBLIC NOTICEBOARD AT CATMOSE